

Momentum distribution function and short-range correlations of the warm dense electron gas – ab initio quantum Monte Carlo results

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in collaboration with Paul Hamann, Zhandos Moldabekov^{**†}, Jan Vorberger^{*}, Pavel Levashov⁴

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⁴ IVTAN, Moscow

Hirschegg 2021

DFG

DAAD



The momentum distribution function (thermodynamic equilibrium)

▶ Classical plasma

- ▶ ideal plasma: Maxwell distribution
- ▶ interacting plasma: Maxwell distribution
 - ⇒ **exponential decay** for large momenta

▶ Quantum plasma

- ▶ ideal plasma: Fermi/Bose function
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▶ What about nonideal Quantum plasmas?

- ▶ slower non-exponential decay, $\sim p^{-8}$, predicted¹
- ▶ relevant for threshold processes: dramatic increase of reaction rates, fusion rates could be possible
- ▶ important for electrons under warm dense matter (WDM) conditions or ions in dense stars

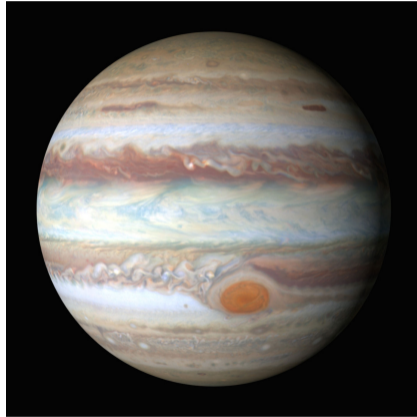
- ▶ First *ab initio* Quantum Monte Carlo results for WDM available:
K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

¹Daniel, Vosko (1960); Galitskii, Migdal (1967)

Warm Dense Matter: Occurrences and Applications

▶ **Astrophysics:**

- ▶ Giant planet interiors (e.g. Jupiter)
- ▶ Brown dwarfs
- ▶ Meteor Impacts



[Source: Sci-News.com \[Img4\]](#)

Warm Dense Matter: Occurrences and Applications

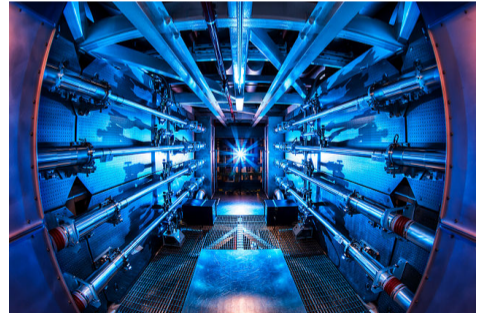
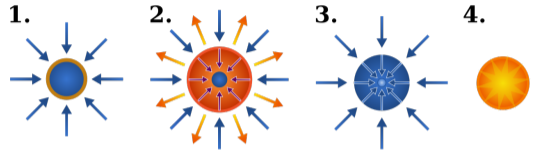
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▶ Experiments:

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Potential abundance of clean energy!



Source: en.wikipedia.org [Img5] and arstechnica.com [Img6]

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NIF, Omega (Rochester), LCLS (Stanford): Fundamental research into WDM properties: → Equation of state, $S(\mathbf{q}, \omega)$, conductivity etc.

National Ignition Facility (Livermore, California)



area: $70000m^2$

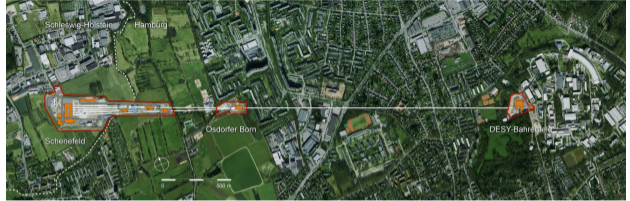
cost: ~ 1 billion Dollar

Source: C. Stolz, *Phil. Trans. R. Soc. A* **370**, 4115 (2012) [Img7]

Facilities for WDM experiments in Europe:

European XFEL:

- ▶ European X-ray Free-Electron Laser, Hamburg – Schenefeld
- ▶ HIBEF Beamline and consortium

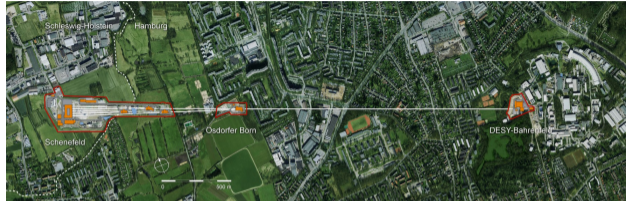


source: photon-science.desy.de

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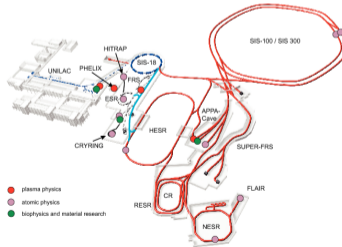
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FAIR:

- ▶ Facility for Antiproton and Ion Research, Darmstadt
- ▶ Construction started in 2017
- ▶ Heavy ion beams: Isochoric heating up to $\sim 10^6 K$



source: inspirehep.net



source: dw.com

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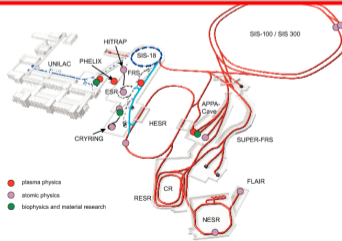
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Warm dense matter: indeed a **HOT** topic

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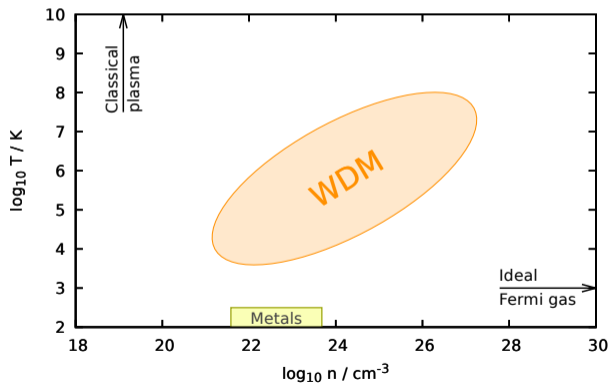
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Warm Dense Matter and quantum plasmas: relevant parameters

► Extreme and exotic state of matter:

- High temperature: $T \sim 10^3 - 10^8 \text{ K}$
- Extreme density: $n \sim 10^{21} - 10^{27} \text{ cm}^{-3}$

Source: T. Dornheim, S. Groth, and M. Bonitz,
Phys. Reports **744**, 1-86 (2018)



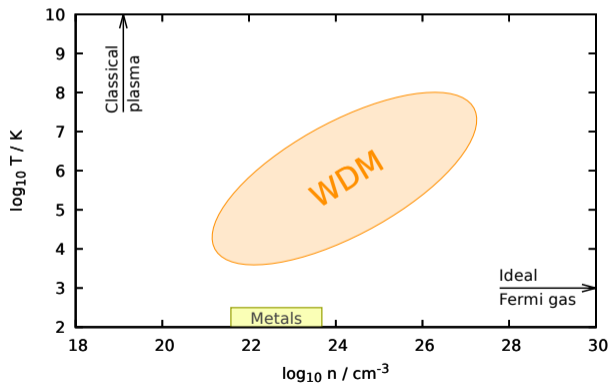
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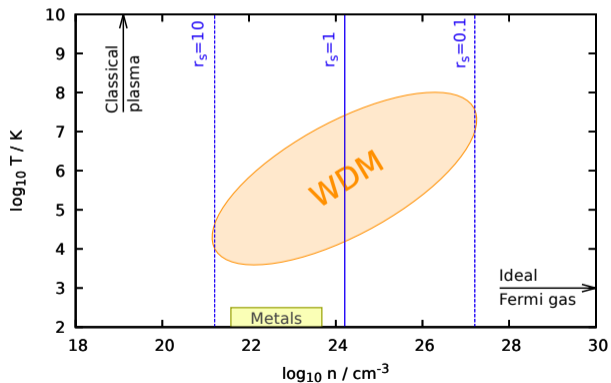
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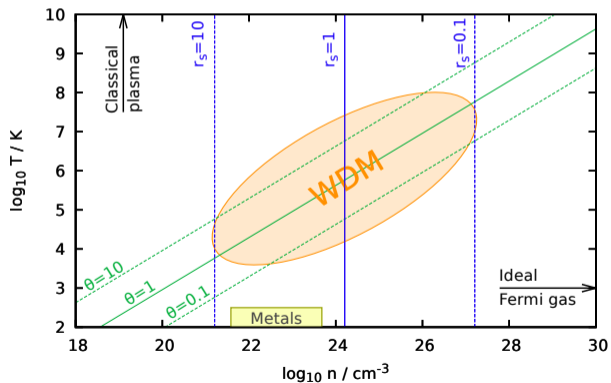
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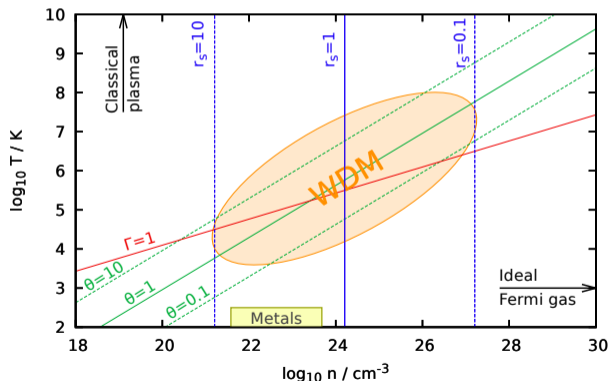
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- ▶ Classical coupling parameter $\Gamma = e^2/r_s k_B T \sim 1$

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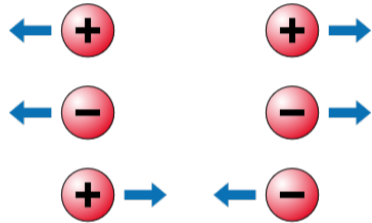
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Classical coupling parameter $\Gamma = e^2/r_s k_B T \sim 1$

▶ Nontrivial interplay of many effects:

▶ Coulomb coupling (non-ideality)



[Source: bin-br.at](http://bin-br.at) [Img1]

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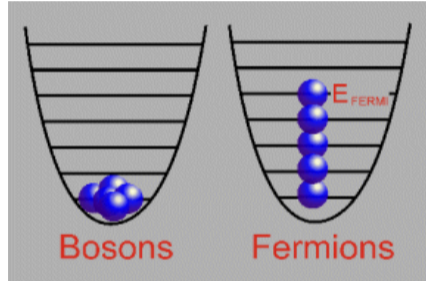
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Source: cidehom.com [Img2]

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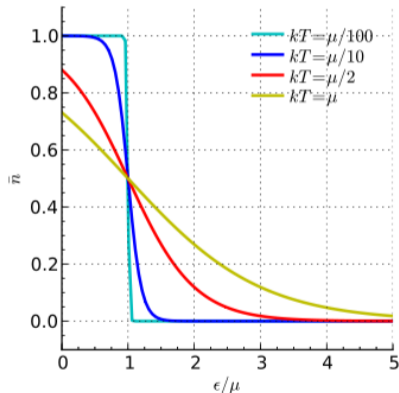
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► Nontrivial interplay of many effects:

- Coulomb coupling (non-ideality)
- Fermionic exchange (anti-symmetry)
- Thermal excitations (statistical description)



Importance of the uniform electron gas (UEG)

Model system of Coulomb interacting quantum electrons in a uniform positive background

Ground state ($T = 0$):

- ▶ Simple model for conduction electrons in metals
- ▶ **Exchange-correlation (XC) energy:**

$$e_{xc}(r_s) = e_{\text{tot}}(r_s) - e_0(r_s)$$

- **Input for density functional theory (DFT) simulations (in LDA and GGA)**
- Parametrization¹ of $e_{xc}(r_s)$ from ground state quantum Monte Carlo data²
- this made DFT-MD the basis of modern atomic, molecular physics, chemistry, material science

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Warm dense matter ($T \sim T_F$):

- ▶ **Thermal DFT³:** minimize free energy $F = E - TS$
- **Requires parametrization of XC free energy of UEG:**

$$f_{xc}(r_s, \theta) = f_{tot}(r_s, \theta) - f_0(r_s, \theta)$$

- ▶ $f_{xc}(r_s, \theta)$ direct input for **EOS models** of astrophysical objects⁴
- ▶ $f_{xc}(r_s, \theta)$ contains **complete thermodynamic information** of UEG

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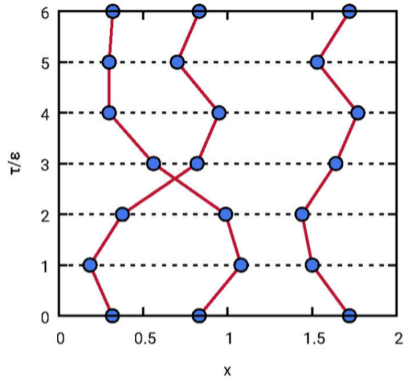
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Path Integral Monte Carlo (PIMC): Fermions

► Fermionic antisymmetry:

$$Z = \frac{1}{N!} \sum_{\sigma \in S_N} \text{sgn}(\sigma) \int d\mathbf{R} \langle \mathbf{R} | e^{-\beta \hat{H}} | \hat{\pi}_\sigma \mathbf{R} \rangle$$

⇒ We must include **permutation-cycles!**



PIMC configuration of $N = 3$ particles, $W(\mathbf{X}) < 0$

Taken from: T. Dornheim, S. Groth, A. Filinov, and M. Bonitz,
J. Chem. Phys. **151**, 014108 (2019)

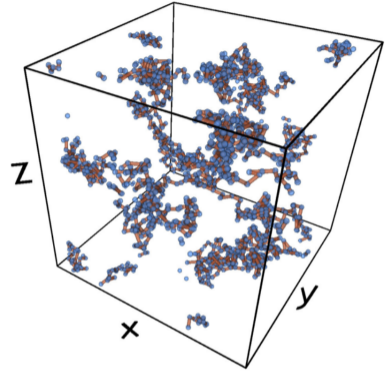
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Snapshot of PIMC simulation of UEG with $N = 19$, $r_s = 2$, $\theta = 0.5$

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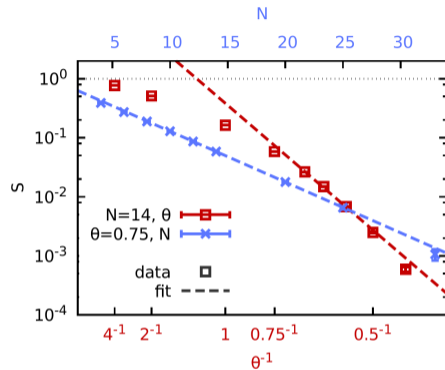
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▶ Sign changes due to particle exchange lead to vanishing signal-to-noise ratio

⇒ Fermion Sign Problem



Exponential decrease of the average sign S with system size N and quantum degeneracy θ^{-1}

Taken from: T. Dornheim, *Phys. Rev. E* **100**, 023307 (2019)

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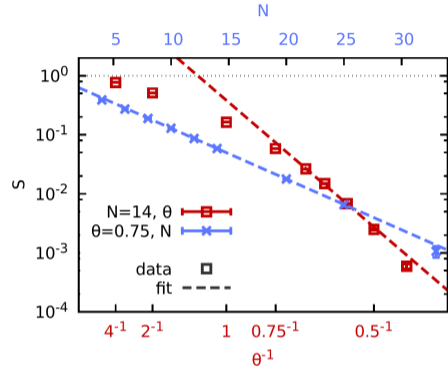
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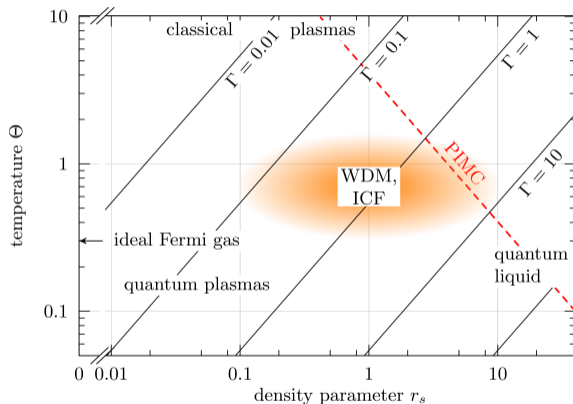
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PIMC simulations of WDM very challenging!

Path integral Monte Carlo (PIMC) simulation of the warm dense UEG

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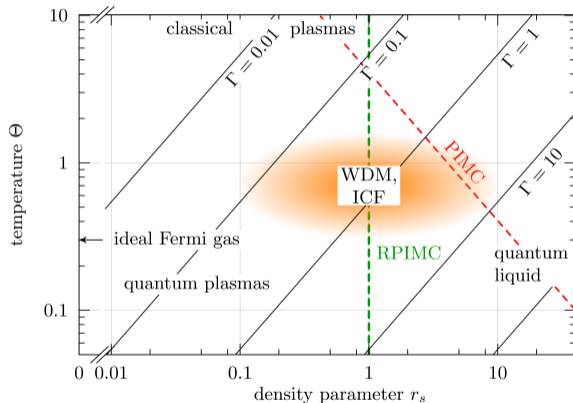
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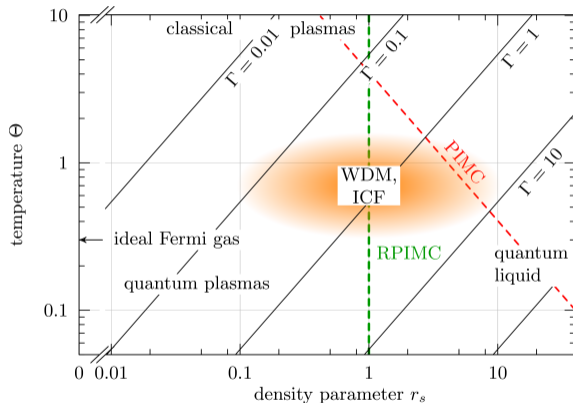
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Our approach:

Avoid fermion sign problem by combining two exact and complementary QMC methods:



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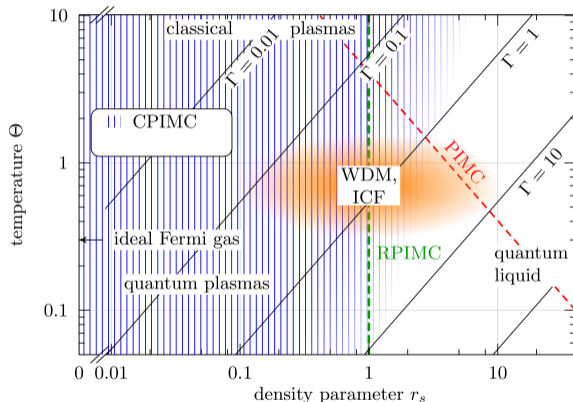
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→ Excels at high density $r_s \lesssim 1$ and strong degeneracy



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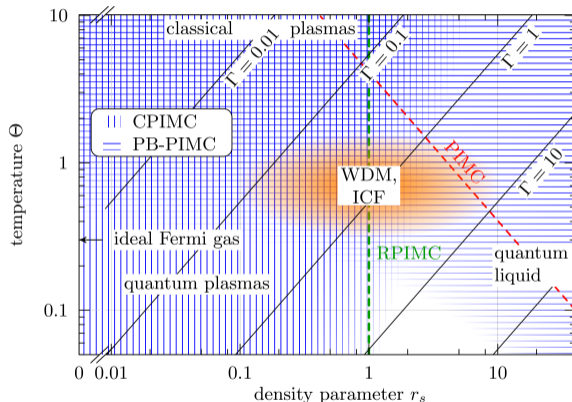
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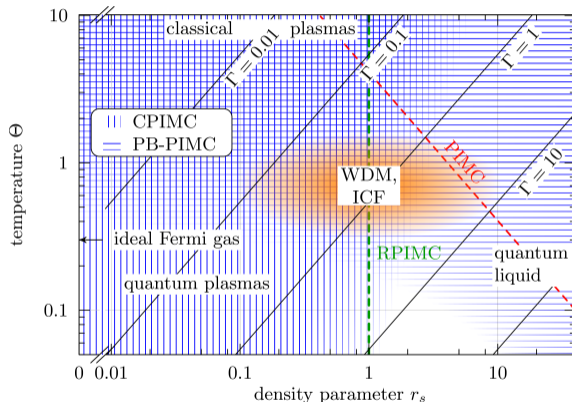
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Ab initio simulations over broad range of parameters possible

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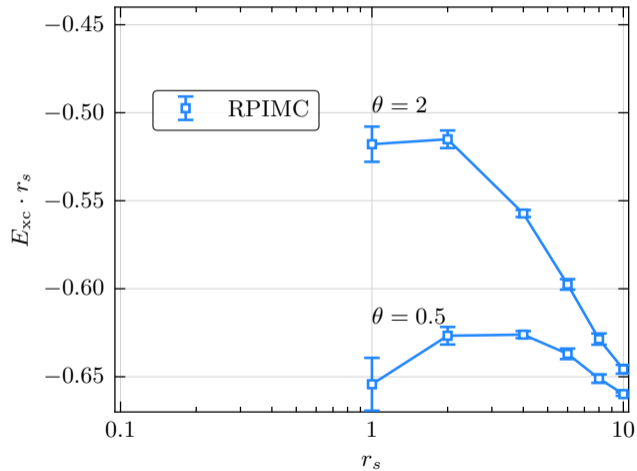
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⁶ T. Dornheim *et al.*, J. Chem. Phys. **143**, 204101 (2015)

1. Exact exchange-correlation energy $E_{xc} = E - E_0$ (E_0 : ideal energy)
($N = 33$ spin-polarized electrons, $\theta \geq 0.5, \forall r_s$)

► **RPIMC** limited to $r_s \geq 1$



¹S. Groth *et al.*, Phys. Rev. B **93**, 085102 (2016)

²T. Dornheim *et al.*, Phys. Rev. B **93**, 205134 (2016)

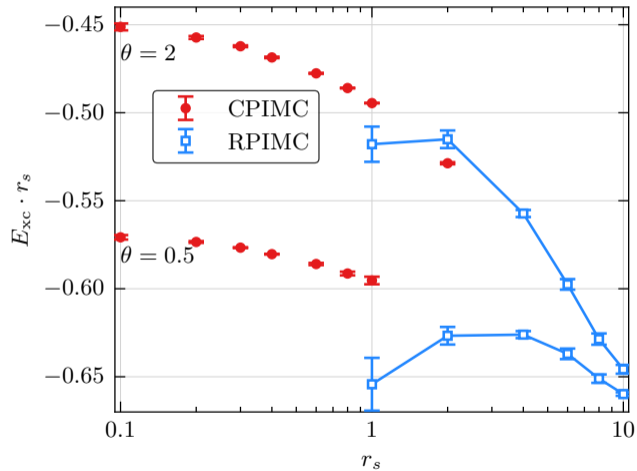
³F.D. Malone *et al.*, Phys. Rev. Lett. **117**, 115701 (2016)

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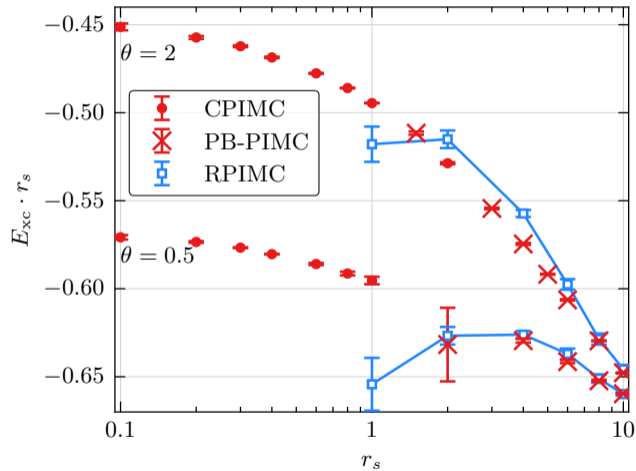
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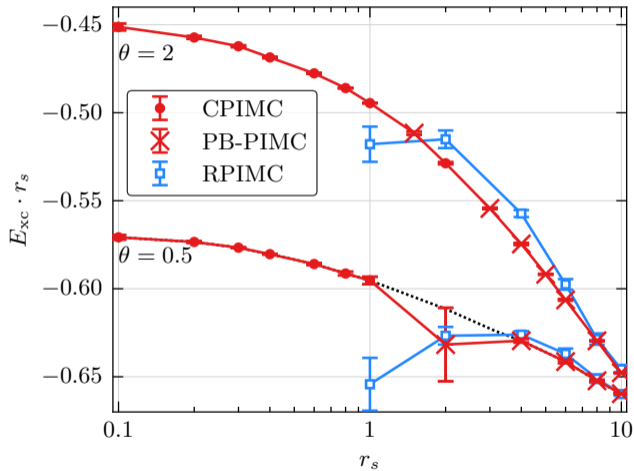
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Combination¹ yields exact results over entire density range down to $\theta \sim 0.5$

- ▶ Also applies to the **unpolarized** UEG²
- ▶ confirmed by independent **DMQMC** simulations³
- ▶ Extended to TD Limit⁴ and to the ground state⁵
- ▶ Analytical parametrization of $f_{xc}(r_s, \theta, \xi)$, with error below 0.3%, Integrated in standard DFT libraries⁵



¹S. Groth *et al.*, Phys. Rev. B **93**, 085102 (2016)

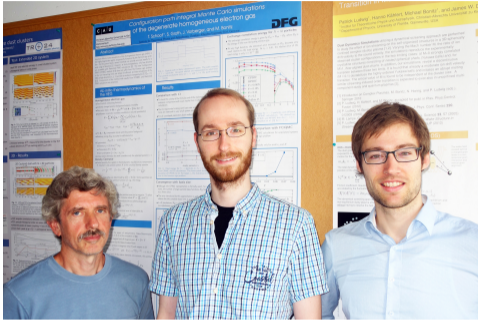
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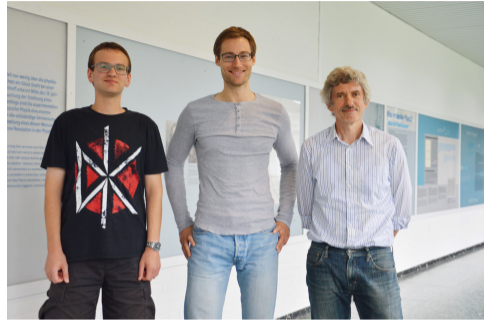
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Tim Schoof (PhD 2016), **Simon Groth** (PhD 2018):
CPIMC, finite size corrections etc.



Tobias Dornheim (PhD 2018): PB-PIMC
now at CASUS Görlitz and Helmholtz-Zentrum
Dresden
Extension to static and dynamic response,
transport, DFT, machine learning etc.

Recent review: T. Dornheim, S. Groth, and M. Bonitz, *Physics Reports* **744**, 1-86 (2018)
Photos: J. Siekmann

Ab Initio PIMC approach to equilibrium response and transport properties²

Quantities accessible in PIMC:

all thermodynamic functions from $F(r_s, \theta)$; structural properties: $g(r)$, $S(q)$

fluctuations in response to excitation: $\delta\hat{H}(\mathbf{q}) \rightarrow \delta\rho(\mathbf{q})$

correlation functions: e.g. $\langle\delta\rho(\mathbf{q}_1, \tau_1)\rho(\mathbf{q}_2, \tau_2)\rangle$ yield transport properties

Susceptibilities from linear response theory (LRT):

$\delta\rho(\mathbf{q}) = \chi(\mathbf{q})\delta H(\mathbf{q})$, χ : static density response \rightarrow comparison for PIMC to LRT/experiment

Correlation and exchange effects: encoded in “local field correction” $G(\mathbf{q}, \omega)$

straightforward connection to transport, optics etc.: $\chi(\mathbf{q}, \omega)$, $S(\mathbf{q}, \omega)$, $\epsilon(\mathbf{q}, \omega)$, $\sigma(\mathbf{q}, \omega)$, plasmon dispersion

PIMC: susceptibilities beyond validity limits of LRT

***Ab initio* spectral properties, momentum distribution**

²see talks by Paul Hamann, Tobias Dornheim, Jan Vorberger, Alexey Filinov

Momentum distribution of correlated electrons in WDM³

▶ Key questions

1. Is the large momentum asymptotic of $n(p)$ indeed of order p^{-8} ?
2. How does the asymptotic depend on density and momentum?
3. How do correlations and quantum effects influence the low-momentum states?

▶ Earlier works

- ▶ **non-exponential decay**, $\sim p^{-8}$, predicted by Daniel, Vosko (1960); Galitskii, Migdal (1967) and others
- ▶ Many ground state results: analytical and QMC: Gori-Giorgi *et al.*, Calmels, Overhauser, Spink *et al.*
- ▶ Observed also in cold atoms, but there asymptotic $\sim p^{-4}$, e.g. Doggen, Kinnunen, (2015)
- ▶ importance for dense plasmas discussed e.g. by Starostin, Fisch and others
- ▶ recent QMC simulations: Militzer, V. Filinov *et al.*

³K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

Momentum distribution of correlated electrons in WDM⁴

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- importance for dense plasmas discussed e.g. by Starostin, Fisch and others
- recent QMC simulations: Militzer, V. Filinov *et al.*
- **Asymptotic given by on-top pair distribution**, for all temperatures, via

$$\lim_{p \rightarrow \infty} n(p) = \frac{4}{9} \left(\frac{4}{9\pi} \right)^{2/3} \left(\frac{r_s}{\pi} \right)^2 \frac{\rho_F^8}{p^8} g^{\uparrow\downarrow}(0),$$

Kimball (1975); Yasuhara, Kawazoe (1976)

► Tasks

- Develop CPIMC and fermionic PIMC simulations for $n(p)$ and $g^{\uparrow\downarrow}(0)$
- Compute $n(p)$ and $g^{\uparrow\downarrow}(0)$ for WDM parameters, explore density and temperature dependence
- Generate accurate benchmark data for $n(p)$ for all momenta. Input for models, reaction rates etc.

⁴K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

CPIMC approach to the momentum distribution and the on-top PDF⁶

CPIMC is QMC in Fock space (second quantization)⁵

Exact description of quantum electrons at $r_s \lesssim 1$

$$\hat{H} = \sum_{ij} h_{ij} \hat{a}_i^\dagger \hat{a}_j + \frac{1}{2} \sum_{ijkl} w_{ijkl} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_l \hat{a}_k, \quad \hat{\rho} = \frac{1}{Z} e^{-\beta \hat{H}},$$

Uniform electron gas: Use plane wave basis.

Generate paths C in Fock space with weight $W(C)$

Estimators for single-particle and two-particle density matrix:

$$n_i = \langle \hat{a}_i^\dagger \hat{a}_i \rangle = -\frac{1}{\beta} \frac{\partial}{\partial h_{ii}} \ln Z = \frac{1}{Z} \sum_C \left(\sum_{\nu=0}^K n_i^{(\nu)} \frac{\tau_{i+1} - \tau_i}{\beta} \right) W(C)$$

$$d_{ijkl} = \langle \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l \rangle = -\frac{1}{\beta} \frac{\partial}{\partial w_{ijkl}} \ln Z,$$

$$g^{\uparrow\downarrow}(0, C) = \frac{1}{2N_{\sigma_1}(C)N_{\sigma_2}(C)} \sum_{ijkl} \delta_{s_i, s_l} \delta_{s_j, s_k} (1 - \delta_{s_i, s_j}) d_{ijkl}(C)$$

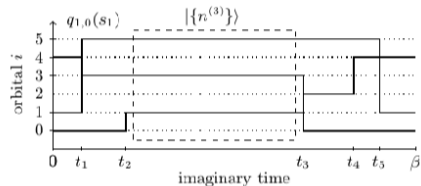


Figure: Continuous time representation of the path integral, for $N = 3$. Paths C are classified by the number K of kinks, their times and involved orbitals. Ideal Fermi gas corresponds to straight lines. Correlations lead to increase or K .

⁵Schoof, Bonitz *et al.*, Contrib. Plasma Phys. (2011)

⁶K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

Results for the momentum distribution – Overview⁷

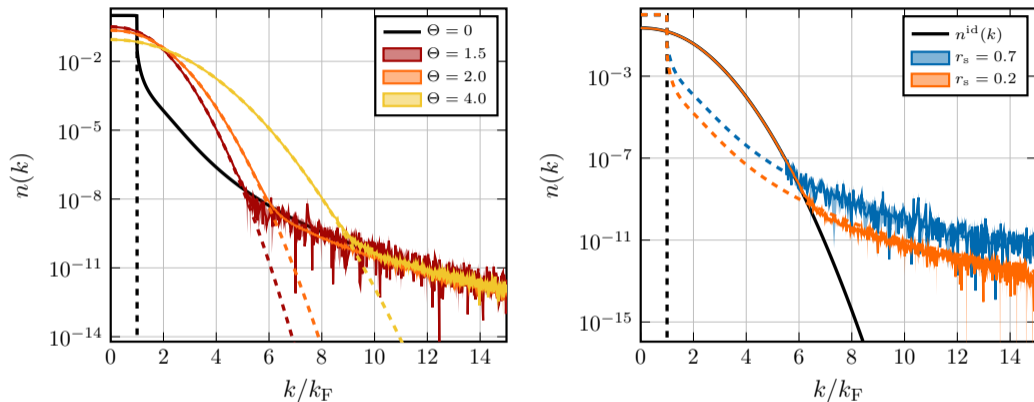
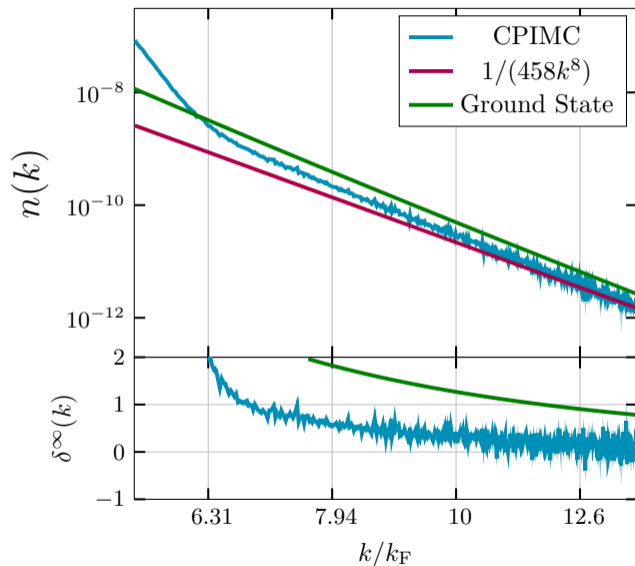


Figure: **Left:** Temperature dependence at $r_s = 0.5$. Full lines: CPIMC, dashed: Fermi function n^{id} .
Right: Density dependence at $\Theta = 2$. Full lines: CPIMC, dashed: ground state, black: n^{id} .

⁷K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842,
ground state results: Gori-Giorgi *et al.* (2001)

Results for the momentum distribution – large- k asymptotic⁸



Tail of $n(k)$,

$r_s = 0.5$ and $\Theta = 2$

pink: asymptotic $n^\infty(k)$,
using CPIMC result for
 $g^{\uparrow\downarrow}(0)$

δ^∞ : relative deviation from
asymptotic

$$\delta^\infty(k) = \frac{n(k)}{n^\infty(k)} - 1.$$

Ordering of curves
determined by $g^{\uparrow\downarrow}(0; \Theta)$

⁸K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842, ground state results: Gori-Giorgi *et al.* (2001)

Results for the momentum distribution – low- k states⁹

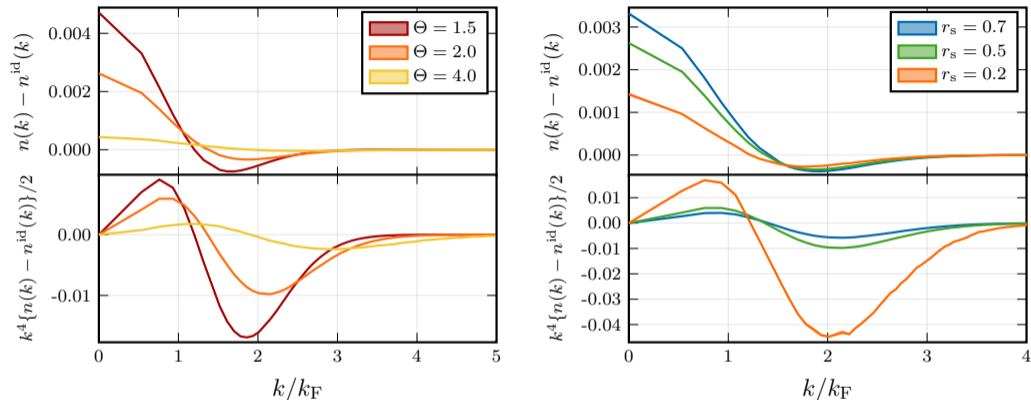
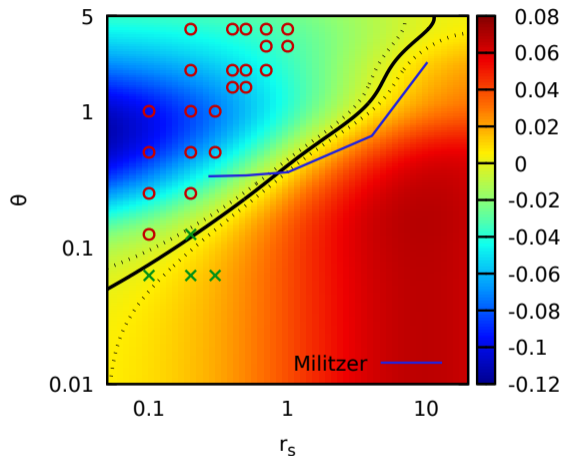


Figure: **Top:** Difference correlated (CPIMC) minus ideal distribution,
Bottom: Difference of kinetic energy densities. Total kinetic energy: area under curve
Left: Temperature dependence at $r_s = 0.5$. **Right:** Density dependence at $\Theta = 2$.

⁹K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

Interaction-induced lowering of the kinetic energy¹⁰



Exchange-correlation contribution to kinetic energy, K_{xc} ,

Black line: $K_{xc} = 0$,

symbols: CPIMC data points

blue line: Miltzer (2002)

Explanation: negative energy shift of low-momentum states:

$$E(k) = \frac{k^2}{2m} + \Sigma_F(k) + \dots$$

¹⁰ predicted by Miltzer *et al.* (2002), and Kraeft *et al.* (2002),
present results from: K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

On-top pair distribution function¹¹ $g^{\uparrow\downarrow}(0)$

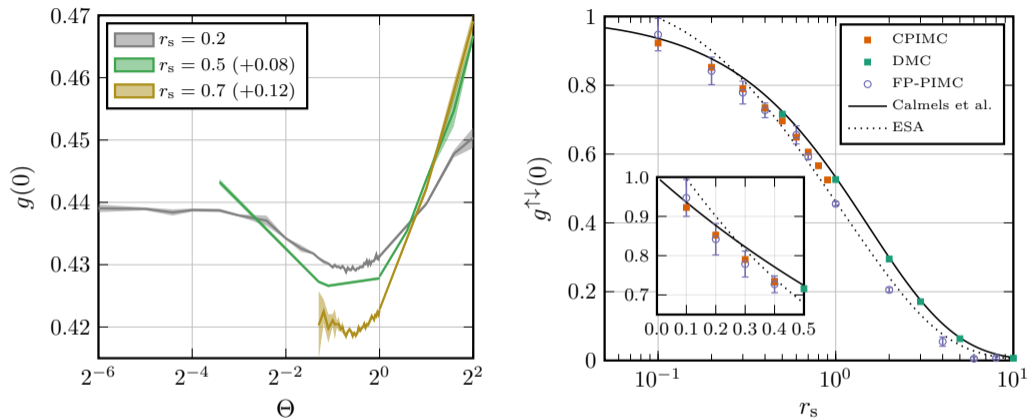


Figure: **Left:** Temperature dependence for three densities (CPIMC data where available, curves shifted vertically).

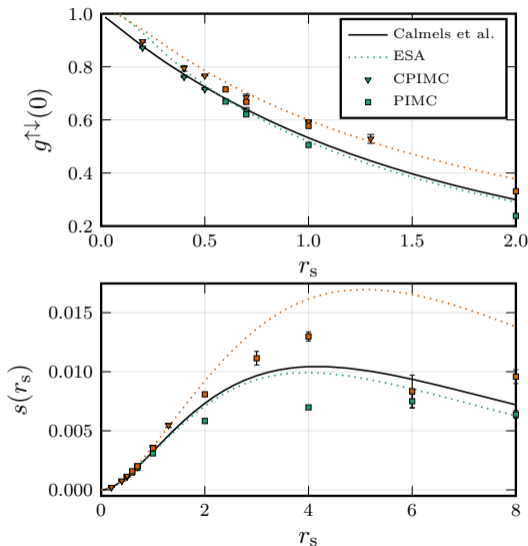
Right: Density dependence at $\Theta = 1$. **Red:** CPIMC, **blue circles:** fermionic propagator PIMC (A. Filinov), green and black line: ground state data of Spink *et al.* (2013) and Calmels *et al.* (1998)

ESA: “effective static approximation” by Dornheim *et al.* (2020)

Minimum due to competition between exchange and Coulomb correlations.

¹¹K. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

Particle number in the tail: temperature and density dependence¹²



large-momentum asymptotic:

$$n(k) \rightarrow s(r_s, \Theta) \cdot \left(\frac{k_F}{k}\right)^{-8}$$

$$\sim r_s^2 \cdot g^{\uparrow\downarrow}(0, r_s, \Theta) \left(\frac{k_F}{k}\right)^{-8},$$

s depends non-monotonically on Θ and r_s

black line: $T = 0$

green symbols: $\Theta = 2$

orange symbols: $\Theta = 4$

minimum around $\Theta \sim 0.65$

maximum around $4 \lesssim r_s \lesssim 5$

Summary and outlook

► momentum distribution of quantum electrons in WDM:

- crucial for rates of threshold processes (e.g. fusion)
- benchmarks, input in analytical models

► First *ab initio* results^a for $n(k)$ and $g^{\uparrow\downarrow}$:

- based on combination of CPIMC and direct fermionic PIMC, thereby avoiding the fermion sign problem, extending previous thermodynamic results^b
- non-exponential asymptotic quantified via on-top PDF

► Outlook:

- *ab initio* linear response functions (“exact RPA”),
- *ab initio* spectral function, energy dispersion
$$n(k) = \int d\omega a(k, \omega) f^{\text{EQ}}(\omega)$$

^aK. Hunger *et al.*, Phys. Rev. E (submitted), arXiv:2101.00842

^bT. Dornheim *et al.*, Phys. Reports (2018)

