Superfluidity of strongly correlated bosons in two- and three-dimensional traps

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(Received 6 October 2014; revised manuscript received 15 December 2014; published 3 February 2015)

We analyze the superfluid crossover of harmonically confined bosons with long-range interaction in both two and three dimensions in a broad parameter range from weak to strong coupling. We observe that the onset of superfluidity occurs in three dimensions at significantly lower temperatures compared to that in two dimensions. This is demonstrated to be a quantum degeneracy effect. In addition, the spatial distribution of superfluidity across the shells of the clusters is investigated. It is found that superfluidity is substantially reduced in the outer layers due to increased correlation effects.

DOI: 10.1103/PhysRevB.91.054503

PACS number(s): 67.25.dw, 67.90.+z, 61.25.Em

I. INTRODUCTION

Superfluidity (SF), i.e., the emergence of frictionless flow below a critical temperature, is one of the most astonishing manifestations of quantum coherence on a macroscopic scale. It was first observed in 1938 in liquid 4-He. In bulk systems, SF is known to being closely related to Bose-Einstein condensation (BEC) and a consequence of off-diagonal long-range order of the density matrix [1]. This concept, obviously, is not directly applicable to finite size systems in traps. Moreover, these systems are possibly strongly inhomogeneous as a result of boundary or confinement effects. An alternative approach to superfluidity is then to use the probability of realization of exchange cycles involving a sufficiently large number of particles.

A well-studied example for such mesoscopic quantum systems are parahydrogen clusters where for a small number of particles, $N \sim 10$, the superfluid properties explicitly depend on the precise particle number and the symmetry [2], and the superfluidity is essentially uniformly distributed among the system [3,4]. In addition, both solid-like order and SF do coexist [4], thus qualifying as a supersolid (see, e.g., [5]) state of matter. Another interesting test case involves harmonically confined two-dimensional Coulomb clusters, whose behavior can be controlled by varying the confinement field strength. There it has been found that not only the global superfluid fraction but also the spatial distribution of superfluidity crucially depends on N [6]. In particular, for magic numbers, e.g., $N = 12, 19, \ldots$ (closed shells), there is a strong hexagonal symmetry at the center of the trap and the superfluid density is pushed to the boundary of the system.

While the dependence of superfluidity on temperature, system size, and coupling strength is well understood, the effect of the system dimensionality in finite systems has not been studied systematically. In this work, we try to (partly) fill this gap by studying large spherically confined Coulomb clusters in two and three dimensions (2D and 3D) and compare results for both the global and spatial distribution of SF. The selected system size N = 150 is representative and large enough to eliminate the sensitivity of the properties to the

precise particle number [7]. Furthermore, our simulations cover the entire range from weak to strong coupling.

The analysis presented in this paper is primarily concerned with fundamental properties of superfluidity in strongly correlated spatially confined bosons in 2D and 3D traps. Examples include finite molecular bosonic clusters [2–4,8,9], e.g., hydrogen or helium droplets, doublons in strongly correlated solids or ultracold lattice gases [10,11], as well as dipole-interacting trapped gases [12,13]. In addition, there are numerous theoretical studies of the fundamental properties of the charged Bose gas (CBG) [14-16] and a variety of applications of the model to condensed matter physics. Indirect excitons [17–19] exhibit, despite their dipole-like interaction at long range, a Coulomb-like repulsion at high density [20]. Furthermore, the 3D CBG of spatially bound electron pairs (small bipolarons) is discussed in the context of high T_c superconductivity in cuprates [21–24]. Finally, applications also extend to macroscopic 3D bosonic Coulomb systems in the inner regions of neutron stars (proton pairing) [25-27] and the core of helium white dwarfs [28,29].

II. MODEL AND SIMULATION IDEA

We consider two- and three-dimensional systems of N identical bosons in a harmonic confinement potential with frequency Ω which are described by the dimensionless Hamiltonian

$$\hat{H} = -\frac{1}{2} \sum_{k=1}^{N} \nabla_k^2 + \frac{1}{2} \sum_{k=1}^{N} \mathbf{r}_k^2 + \frac{1}{2} \sum_{k\neq l}^{N} \frac{\lambda}{|\mathbf{r}_k - \mathbf{r}_l|}, \qquad (1)$$

and oscillator units (i.e., characteristic length $l_0 = \sqrt{\hbar/m\Omega}$ and energy scale $E_0 = \hbar\Omega$) are used throughout this work. The selected Coulomb repulsion in Eq. (1) serves as a test case for a broad class of isotropic long-range interactions, and the coupling constant $\lambda = q^2/(\hbar\Omega l_0)$ (with q being the charge) can be controlled experimentally by the variation of the trap frequency. To simulate the system of interest at a particular inverse temperature $\beta = E_0/k_BT$ in thermodynamic equilibrium, we employ a realization of the widely used worm algorithm path integral Monte Carlo method [30]. The latter delivers quasiexact results by performing a Trotter decomposition of the density operator $\hat{\rho} = \exp(-\beta \hat{H})$ and each particle is represented by a path of P positions (often

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denoted as "beads" or "time slices") in the imaginary time. To achieve sufficiently well converged results, we typically use $P = 80 \dots 410$ while performing $N_{\rm MC} \sim 10^7$ independent measurements.

III. RESULTS

A. Superfluid crossover in 2D and 3D

A convenient way to define superfluidity for finite sized, trapped systems is the consideration of the change of the moment of inertia due to quantum effects, also denoted as the Hess-Fairbank effect. Within the Landau two-fluid model the total density *n* is decomposed into a normal and a superfluid component, $n = n_n + n_{sf}$. Of particular interest is the superfluid fraction γ_{sf} , i.e., the fraction of particles that does not participate in a rotation of the system. This quantity can be expressed in terms of the moments of inertia, and within the path integral picture, one can define an estimator as [31]

$$\gamma_{\rm sf} = \frac{n_{\rm sf}}{n} = \frac{I_{\rm cl} - I}{I_{\rm cl}} = \frac{4m^2 \langle A_z^2 \rangle}{\beta \hbar^2 I_{\rm cl}},\tag{2}$$

with the total and classical moment of inertia I and I_{cl} , respectively, and the area **A** which is enclosed by the particle trajectories

$$\mathbf{A} = \frac{1}{2} \sum_{k=1}^{N} \sum_{i=1}^{P} (\mathbf{r}_{k,i} \times \mathbf{r}_{k,i+1}).$$
(3)

In Eq. (2) the system is assumed to be set into rotation around the z axis and, hence, only the area in the x-y plane A_z is relevant.

The results for the superfluid fraction, Eq. (2), are shown in Fig. 1, where γ_{sf} is plotted versus the inverse temperature β for N = 150 particles in 2D (red triangles) and 3D (blue squares) and for the coupling parameters $\lambda = 10$, $\lambda = 3$, and $\lambda = 1$. All curves exhibit the expected increase of superfluidity with increasing β and saturate to unity, i.e., a completely superfluid system. In addition, the crossover [32] is shifted to lower temperature with increasing particle interaction. This is a direct consequence of the increased interparticle distance, which reduces the probability of the occurrence of exchange cycles. However, it is interesting to note that for all λ , the onset of the crossover occurs at lower temperature for the 3D system.

This is a nontrivial observation and could be caused by different effects: (i) The availability of the additional dimension leads to a reduced degeneracy. This decreases exchange effects and hence explains the observed shift of the onset of superfluidity. (ii) The three-dimensional nature of the particle exchange could lead to a reduction of the projection of the area vector A from Eq. (3) onto a particular plane. In this case, one would observe the onset of the crossover at an increased degeneracy compared to the 2D system with the same parameters. To decide which (if any) of the two explanations is most likely we analyze the probability P(L)for a single bead to be involved in an exchange cycle which consists of L particles and, in addition, a degeneracy parameter χ [cf. Eq. (4)]. For (i) to be correct, we expect similar values of χ and P(L) for the same amount of superfluidity in the system. For (ii), on the other hand, we would expect a significantly

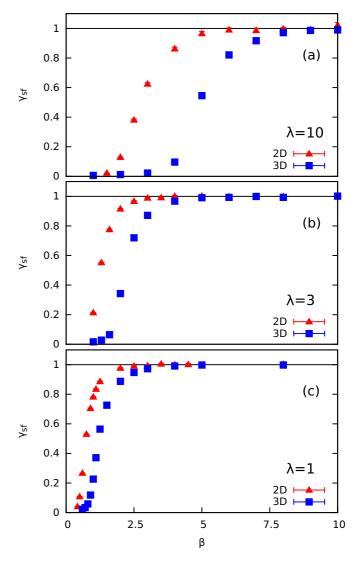


FIG. 1. (Color online) Superfluid crossover in 2D and 3D: The superfluid fraction γ_{sf} is plotted versus the inverse temperature β for N = 150 particles in 2D (red triangles) and 3D (blue squares) for the coupling constants (a) $\lambda = 10$, (b) $\lambda = 3$, and (c) $\lambda = 1$.

increased χ and higher probabilities P(L) for L > 1 for equal γ_{sf} in 3D.

In Fig. 2 the product P(L)L [with $\sum_{L} P(L)L = 1$] is plotted versus L again for N = 150 particles and $\lambda = 3$ for both 2D (red) and 3D (blue). The top image corresponds to an equal inverse temperature $\beta = 2$, i.e., two systems with a nonzero and nonunity superfluid fraction $\gamma_{sf}(2D) \approx 0.92$ and $\gamma_{\rm sf}(3D) \approx 0.34$. Both curves exhibit a similar decay with increasing L and a sharp bend for very large exchange cycles, which occurs for smaller particle numbers in 3D. In the 3D system both single- and two-particle trajectories are more probable than in 2D and the two curves intersect at L = 3. All larger exchange cycles are significantly more probable in 2D. The bottom image of Fig. 2 shows the same information but for a fixed superfluid fraction $\gamma_{\rm sf} \approx 0.6$. Here, the probability to be involved in a particular exchange cycle is nearly equal for both dimensionalities and the two curves intersect several times. In addition, the sharp bend for large L occurs at the same position.

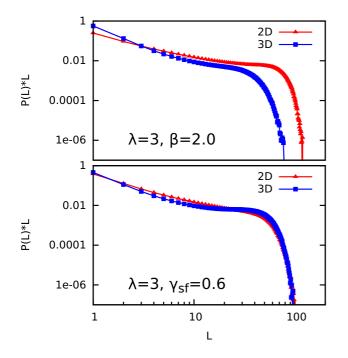


FIG. 2. (Color online) Probability distribution of exchange cycles in 2D and 3D. The probability for a single bead to be involved in an exchange cycle of length *L*, P(L)L, is plotted versus the former for N = 150 particles and $\lambda = 3$. The top image corresponds to $\beta = 2$ with $\gamma_{sf}(2D) \approx 0.92$ and $\gamma_{sf}(3D) \approx 0.34$, and for the bottom image the inverse temperature has been adjusted such that $\gamma_{sf} \approx 0.6$ in both dimensionalities, i.e., $\beta(2D) = 1.35$ and $\beta(3D) = 2.27$.

This is a first hint towards explanation (i) because the same amount of superfluidity requires comparable realization rates of exchange cycles while, at the same inverse temperature, exchange is suppressed in 3D which explains the later onset of superfluidity compared to 2D. For completeness, we note that both $\lambda = 1$ and $\lambda = 10$ exhibit the same behavior as shown for $\lambda = 3$ in Fig. 2.

Next, we define the degeneracy parameter as

$$\chi = \overline{n}\lambda_{\beta}^{d},\tag{4}$$

with the dimensionality d, the thermal de Broglie wavelength $\lambda_{\beta} = \hbar \sqrt{2\pi\beta/m}$ and the mean density \overline{n} (in 2D and 3D, we compute the radial density n by averaging over angular and spherical segments, respectively, with a fixed distance from the trap center), which has been averaged over the radial extension of the particular system. Thus, Eq. (4) provides a measure for the average number of particles within the approximate extension of a single-particle wave function. It should be noted that the strong inhomogeneity of the density of correlated trapped quantum particles, cf. Fig. 5, makes the definition in Eq. (4) arbitrary to some degree. However, we found that using \overline{n} gives reliable results even for different shell structures.

The results for Eq. (4) are shown in Fig. 3(a) where χ is plotted versus β for the systems from Fig. 1. The degeneracy increases with β for all simulated systems, as expected. However, a comparison between 2D and 3D systems with otherwise equal parameters reveals that at high *T*, the three-dimensional system exhibits a smaller χ until the two curves intersect. This is an immediate consequence of the

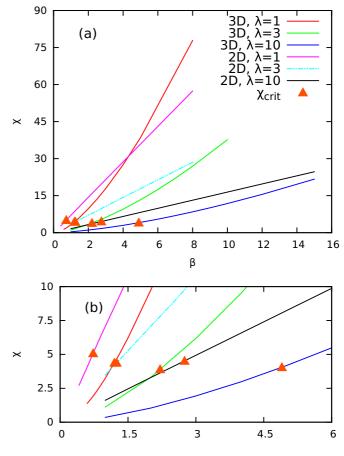


FIG. 3. (Color online) Degeneracy parameter. The degeneracy parameter χ is plotted versus the inverse temperature β for the systems from Fig. 1. The critical value χ_{crit} (orange triangles) marks the superfluid crossover, i.e., $\gamma_{sf} = 0.5$. Image (a) covers the entire inverse temperature range of our simulations, and (b) shows a magnified segment around the superfluid crossover.

definition (4), where the de Broglie wavelength enters with the power of the dimensionality d. This implies that for a constant mean density \overline{n} , which becomes valid with increasing β , it is $\chi(d=2) \propto \beta$ and $\chi(d=3) \propto \beta^{3/2}$, i.e., a linear and polynomial behavior with respect to the inverse temperature in 2D and 3D, respectively. The connection between Fig. 3 and the superfluid crossover from Fig. 1 is given by the orange triangles, which mark the critical degeneracy χ_{crit} , i.e., the degeneracy parameter at the inverse temperature for which $\gamma_{\rm sf} = 0.5$. Our simulations have revealed that despite the onset of superfluidity at significantly lower temperature in 3D, χ_{crit} takes similar values for both dimensionalities. In fact, the 2D systems from Fig. 3 even exhibit a slightly higher χ for the critical superfluid fraction than their 3D counterparts, cf. Fig. 3(b), where a magnified segment around the crossover is shown. However, this rather peculiar feature should not be over interpreted due to the average character of the definition of the degeneracy parameter itself. Thus, we conclude that the behavior observed in Fig. 3 rules out explanation (ii), and the different critical temperatures for the superfluid crossover in 2D and 3D appear to be a degeneracy effect.

B. Local superfluid density

Another interesting question is how the superfluidity is distributed across the system in the vicinity of the crossover. To investigate this topic we use a spatially resolved superfluid density estimator of Kwon *et al.* [8] that is consistent with the two-fluid interpretation:

$$n_{\rm sf}(\mathbf{r}) = \frac{4m^2}{\beta\hbar^2 I_{\rm cl}(\mathbf{r})} \langle A_z A_{z,\rm loc}(\mathbf{r}) \rangle.$$
(5)

Here, $A_{z,\text{loc}}(\mathbf{r})$ denotes a local contribution to the total area enclosed by the particle paths. In 2D, the system is assumed to rotate around the axis perpendicular to the trap, and the quantity from Eq. (5) can be radially averaged without loosing information. For a 3D trap, however, the axis of rotation causes a break of the spherical symmetry and the definition of a meaningful average is less obvious. In this work, we average n_{sf} over spherical segments with the distance r from the center of the trap. This gives a quantity that approaches the total radial density n for a completely superfluid system, i.e., for $\gamma_{\text{sf}} = 1$. Nevertheless, one should keep in mind that, in general, particles with the same distance from the center $|\mathbf{r}|$ have different contributions to the classical moment of inertia, depending on the orientation of their radius vector \mathbf{r} with respect to \mathbf{e}_z .

Figure 4 shows the local ratio $\gamma_{sf}(r) = n_{sf}(r)/n(r)$ for 2D and 3D systems and an inverse temperature that has been

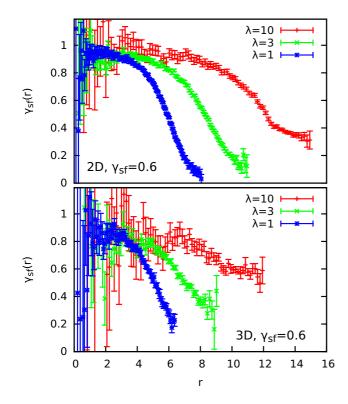


FIG. 4. (Color online) Local superfluid fraction in 2D and 3D. The spatially resolved ratio of the superfluid and total density $\gamma_{sf}(r) = n_{sf}(r)/n(r)$ is plotted versus the distance to the center of the trap r for N = 150 particles in 2D and 3D for a fixed superfluid fraction $\gamma_{sf} \approx 0.6$. The larger errors around the center of the trap are due to the worse statistics in small angular and spherical segments in 2D and 3D, respectively.

chosen in a way that $\gamma_{sf} \approx 0.6$ [33]. For both dimensionalities $\gamma_{sf}(r)$ exhibits a decay with increasing distance from the center of the trap, r, which is most distinct for smaller coupling. This behavior can be understood by considering the normalization of the local superfluid density

$$\int d\mathbf{r} \, n_{\rm sf}(\mathbf{r}) \mathbf{r}_{\perp}^2 = \gamma_{\rm sf} I_{\rm cl}. \tag{6}$$

Equation (6) implies that n_{sf} integrates to the quantum correction to the moment of inertia, i.e., the missing contribution due to the particles in the superfluid phase. However, this also means that

$$\frac{1}{N} \int d\mathbf{r} \, n_{\rm sf}(\mathbf{r}) \neq \gamma_{\rm sf},\tag{7}$$

because for the global SF fraction, not only the ratio of superfluid and total particle numbers but, in addition, the position of a particular particle is relevant. According to Eq. (6), particles near the center of the trap have a small contribution to the total moment of inertia and so their superfluidity only slightly influences γ_{sf} . At the boundary, however, each particle has a significant contribution to I and thus $n_{\rm sf}(r)$ in this region crucially influences the global SF fraction. With increasing λ , the interparticle repulsion grows and the system extends radially (cf. Fig. 5), so this effect becomes even more important. For a fixed γ_{sf} , as in Fig. 4, the spatially resolved SF fraction in the outermost shell must approach the global value, with increasing coupling strength. A similar effect has recently been observed by Kulchytskyy et al. [34] from path integral Monte Carlo (PIMC) simulation of ⁴He in a cylindrical nanopore where the local superfluid response has been obtained with both the area formula, Eq. (5), and a winding number approach [35] for a rotation around and the flow along the pore axis, respectively. Despite a very similar spatial distribution for the two estimators, there occurs a significant difference in the global SF fraction due to the different normalizations.

The comparison between the 2D and the 3D results in Fig. 4 reveals that for d = 3 and equal λ , the local superfluid fraction in the outer region exceeds its two-dimensional counterpart. In fact, the uppermost (red) curve ($\lambda = 10$) in the bottom image appears to be above the global value [33] almost everywhere, which seems to violate Eq. (6). However, this is a consequence of the symmetry break due to the external rotation around \mathbf{e}_z and the applied averaging over spherical segments in 3D. For this reason, all particles in the outermost shell have different contributions to the moment of inertia. The expected decrease of $\gamma_{sf}(r)$ for the largest \mathbf{r}_{\perp} is therefore masked in Fig. 4 by contributions near \mathbf{e}_z from the same shell.

The remaining open question is why γ_{sf} is distributed inhomogeneously in the first place, in particular, why there is more superfluidity around the center of the trap than in the outer regions. In Fig. 5, both the total (red triangles) and superfluid density (blue squares) are plotted with respect to *r* for the systems from Fig. 4. The top row shows density profiles for rather strong coupling, $\lambda = 10$, for both the two- and three-dimensional system. In 2D, the total density *n* exhibits a relatively smooth decay with increasing *r* until, at the boundary of the system, two shell-like oscillations appear. In 3D, *n* stays

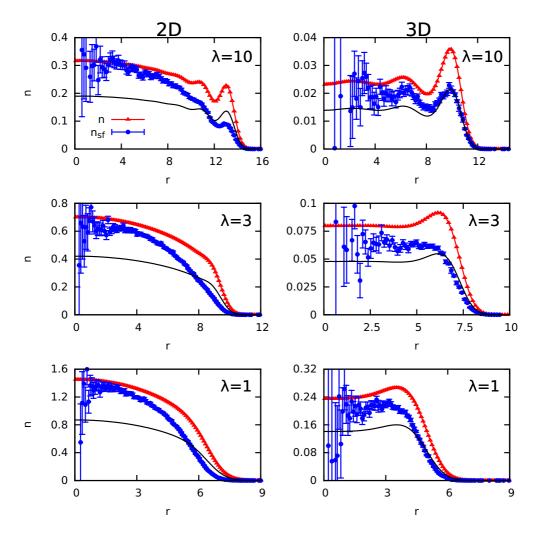


FIG. 5. (Color online) Spatially resolved superfluidity. The radial density *n* (red triangles) and superfluid density n_{sf} (blue circles) are plotted with respect to the distance to the center of the trap *r* for a fixed total superfluid fraction $\gamma_{sf} \approx 0.6$ for the systems from Figs. 1 and 3. The black curves correspond to a constant local SF ratio $\gamma_{sf}(r) = 0.6$.

almost constant around the center and a very pronounced shell appears at the boundary. The center and bottom rows show the same information for medium ($\lambda = 3$) and weak ($\lambda = 1$) coupling, respectively. One clearly sees that the shell-like features disappear for both dimensionalities when the coupling is reduced. A general feature for all couplings is that *n* always decays in 2D, whereas in 3D, it is nearly constant in the center. Also the peaks at the boundary are always significantly stronger in three dimensions.

The local superfluid density, on the other hand, exhibits a rather surprising behavior. For d = 2 and strong coupling, n_{sf} equals *n* around the center of the trap, whereas it is significantly suppressed for larger *r*, in particular within the outermost shell. The 3D counterpart exhibits a similar behavior. The two innermost, weakly pronounced shells are nearly completely superfluid, whereas the difference between *n* and n_{sf} is the largest in the outermost shell. This nonuniformity of the superfluidity can be made more transparent by comparing to the case of a constant local superfluid fraction $\gamma_{sf}(r) = 0.6$ which is depicted by the black curves in Fig. 5. Clearly, the true superfluidity is always above (below) the black curve in the center (at the edge).

Let us discuss the origin of this spatial variation of the superfluid density. The first reason that comes to mind is the spatial variation of the local degeneracy parameter which is proportional to the local total density, $\chi(r) \sim n(r)$. However, the numerical results for $n_{\rm sf}$ clearly deviate from this suggestion. In particular, for $\lambda = 1,3$ the superfluid density does not follow the local degeneracy (total density). Only at $\lambda = 10$ when shells are formed, $n_{\rm sf}$ increases around the outermost shell. However, the absolute value of the superfluid density does not reach a maximum despite the maximum of the degeneracy parameter. From this we conclude that the spatial distribution of superfluidity is not governed by the spatial variation of degeneracy but by the local strength of correlations.

In fact, it is well known that spatial order leads to a reduction of superfluidity. In Coulomb systems, particles mainly experience interaction with neighbors with smaller r, whereas most of the pair interactions with particles from the outer parts of the system cancel. This is a pure screening effect (Faraday cage effect), and cancellation would be complete in mean field approximation. Hence, the investigated Coulomb clusters clearly exhibit the strongest order around the boundary

and are less correlated around the center of the trap. This is also manifest in the shell formation at the outer boundary (red curves in Fig. 5) which is observed in classical Coulomb clusters as well [36]. Another mechanism that suppresses superfluidity at the cluster boundary is a geometrical effect: within the outermost shell there are fewer particles available for the formation of exchange cycles due to the lack of neighbors in radial direction.

The medium and weakly coupled systems in 2D exhibit the same trend as for $\lambda = 10$. In 3D, the largest deviation between n and n_{sf} appears around the outermost shell as well, whereas the superfluid density remains nearly constant over the rest of the system. This is in contrast to 2D and arises from the different behaviors of the total density n(r). At the same time, the spatially resolved SF fraction (cf. Fig. 4) exhibits similar trends in 2D and 3D.

IV. DISCUSSION

In summary, we have presented *ab initio* results for the superfluid properties of Coulomb interacting bosons in both 2D and 3D harmonic traps. It was revealed that the availability of an additional dimension causes a decrease of the critical temperature of the superfluid crossover. To explain this nontrivial feature, we have analyzed the probability distribution of exchange cycles and the degeneracy parameter (4), and it was revealed that the critical superfluid fraction, $\gamma_{sf} = 0.5$, occurs for similar degeneracy for both dimensionalities, despite the significantly higher β in 3D. In addition, we have investigated the spatial distribution of superfluidity across the system by using a local superfluid density estimator. In both 2D and 3D, the largest difference between the total and superfluid density *n* and $n_{\rm sf}$ occurs near the boundary of the system. This is a direct consequence of the increased order at large distances from the center of the trap.

The onset of superfluidity at comparatively lower temperature in 3D systems is expected to be a general feature and not specific for Coulomb interaction. For the investigated particle number N = 150, superfluidity requires a collective response of the entire system, in particular the frequent realization of large exchange cycles in the path integral picture. The probability for the latter crucially depends on the degeneracy. The reported β dependence of the degeneracy parameter χ is valid for other long-range interactions as well, because the coupling only enters in the average density \overline{n} which remains constant with decreasing *T*.

The observed nonuniform distribution of superfluidity in the vicinity of the crossover, on the other hand, depends on several system properties. For small N and strong coupling, the exact particle number plays an important role and, in the case of strong hexagonal order at the center of the trap, the superfluidity is essentially located at the boundary [6]. The almost complete screening of the interaction of a given particle with particles located at a larger distance from the center is a Coulomb specific effect. In the case of other pair interactions, a force from the outer regions may exist, which leads to different density profiles and spatial distributions of correlation effects [36]. In addition, the explicit choice of the confinement potential also influences these quantities. The application of, e.g., a quartic trap is expected to enhance the behavior observed in Sec. III B, whereas a weaker than harmonic confinement is expected to reduce these trends.

While we expect that the observed trends are typical for Bose systems in confinement potentials, we mention that for unconfined finite systems with attractive interaction, different behaviors have been predicted. Khairallah *et al.* reported that for mesoscopic parahydrogen clusters, superfluidity could be realized by loosely bound surface molecules [2], although this is in disagreement with results by Mezzacapo and Boninsegni [3].

ACKNOWLEDGMENTS

This work is supported by the Deutsche Forschungsgemeinschaft via SFB-TR24 and project FI 1252/2 and by Grant SMP006 for CPU time at the Norddeutscher Verbund für Hoch- und Höchstleistungsrechnen (HLRN).

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- [32] While the notion "phase transition" refers to infinite systems, very similar structural transitions are observed in finite systems in traps (see A. V. Filinov, M. Bonitz, and Yu. E. Lozovik, Wigner crystallization in mesoscopic 2D electron systems, Phys. Rev. Lett. 86, 3851 (2001)). The main difference is that the transition region is broadened. Therefore, we will use the term "crossover" here.
- [33] For each simulation the input parameters β and λ are given, which allows one to achieve the target value $\gamma_{sf} = 0.6$ only approximately. For the six cases shown in Figs. 4 and 5 we calculated the global SF fractions:

λ	1	3	10
2D :	0.59(9)	0.59(8)	0.62(1)
3D :	0.59(0)	0.58(8)	0.64(7)

The obtained γ_{sf} are reasonably close to the target, although for $\lambda = 10$ and 3D, a rather significant difference occurs. However, the deviations from the target value are smaller than the statistical uncertainty of $\gamma_{sf}(r)$ and a reliable comparison between the investigated λ and the 2D and 3D systems is possible.

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