

A Selfenergy Cookbook — State-of-the-Art Computing for the NEGF Key Ingredient

Niclas Schlünzen

in collaboration with:

Jan-Philip Joost and Michael Bonitz

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Christian-Albrechts-Universität zu Kiel

Mathematisch-
Naturwissenschaftliche Fakultät

KBET², Kiel

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$$\begin{aligned} \sum_l \left[i\hbar \frac{d}{dz_1} \delta_{il} - h_{il}(z_1) \right] G_{lj}(z_1, z_2) \\ = \delta_C(z_1, z_2) \delta_{ij} + \sum_l \int_{z_3} \Sigma_{il}(z_1, z_3) G_{lj}(z_3, z_2) \end{aligned}$$

$$\begin{aligned} \sum_l G_{il}(z_1, z_2) \left[-i\hbar \frac{d}{dz_2} \delta_{lj} - h_{lj}(z_2) \right] \\ = \delta_C(z_1, z_2) \delta_{ij} + \sum_l \int_{z_3} G_{il}(z_1, z_3) \Sigma_{lj}(z_3, z_2) \end{aligned}$$

$$\Sigma_{ij}^{\text{xc}}(z_1, z_2) = i\hbar \sum_{mpq} w_{ipqm}(z_1) \int_C dz_3 \sum_n G_{mn}(z_1, z_3) \Lambda_{nqpj}(z_3, z_2, z_1)$$

$$\begin{aligned} \Lambda_{ijkl}(z_1, z_2, z_3) &= \delta_C(z_1, z_{2+}) \delta_C(z_3, z_2) \delta_{ik} \delta_{jl} \\ &+ \int_C dz_4 dz_5 \sum_{mn} \frac{\delta \Sigma_{il}(z_1, z_2)}{\delta G_{mn}(z_4, z_5)} \int_C dz_6 \sum_p G_{mp}(z_4, z_6) \\ &\int_C dz_7 \sum_q G_{qn}(z_7, z_5) \Lambda_{pjkk}(z_6, z_7, z_3) \end{aligned}$$

$$\begin{aligned} G_{ij}(z_1, z_2) &= G_{ij}^{(0)}(z_1, z_2) + \\ &+ \int_C dz_3 dz_4 \sum_{mn} G_{im}^{(0)}(z_1, z_3) \Sigma_{mn}(z_3, z_4) G_{nj}(z_4, z_2) \end{aligned}$$

$$W_{ijkl}^{\text{ns}}(z_1, z_2) = \sum_{mn} w_{imnl}(z_1) \int_C dz_3 \sum_{pq} P_{nqpm}(z_1, z_3) W_{pjkk}(z_3, z_2)$$

$$\begin{aligned} \Sigma_{ij}^{\text{xc}}(z_1, z_2) &= i\hbar \int_C dz_3 \sum_{mpq} W_{ipqm}(z_1, z_3) \times \\ &\int_C dz_4 \sum_n G_{mn}(z_1, z_4) \Gamma_{nqpj}(z_4, z_2, z_3) \end{aligned}$$

$$\begin{aligned} P_{ijkl}(z_1, z_2) &= \pm i\hbar \int_C dz_3 \sum_m G_{im}(z_1, z_3) \times \\ &\int_C dz_4 \sum_n G_{nl}(z_4, z_1) \Gamma_{mjkn}(z_3, z_4, z_2) \end{aligned}$$

$$\begin{aligned} \Gamma_{ijkl}(z_1, z_2, z_3) &= \delta_C(z_1, z_{2+}) \delta_C(z_3, z_2) \delta_{ik} \delta_{jl} + \\ &+ \int_C dz_4 dz_5 \sum_{mn} \frac{\delta \Sigma_{il}^{\text{xc}}(z_1, z_2)}{\delta G_{mn}(z_4, z_5)} \int_C dz_6 \sum_p G_{mp}(z_4, z_6) \\ &\int_C dz_7 \sum_q G_{qn}(z_7, z_5) \Gamma_{pjkk}(z_6, z_7, z_3) \end{aligned}$$

$$\sum_l \left[i\hbar \frac{d}{dz_1} \delta_{il} - h_{il}(z_1) \right] G_{lj}(z_1, z_2) = \delta_c(z_1, z_2) \delta_{ij} + \sum_l \int_{z_3} \Sigma_{il}(z_1, z_3) G_{lj}(z_3, z_2)$$

$$\sum_l G_{il}(z_1, z_2) \left[-i\hbar \frac{d}{dz_2} \delta_{lj} - h_{lj}(z_2) \right] = \delta_c(z_1, z_2) \delta_{ij} + \sum_l \int_{z_3} G_{il}(z_1, z_3) \Sigma_{lj}(z_3, z_2)$$

$$\Sigma_{ij}^{xc}(z_1, z_2) = i\hbar \sum_{mpq} w_{ipqm}(z_1) \int_C dz_3 \sum_n G_{im}(z_1, z_3) \Lambda_{jn}(z_3, z_2)$$

$$\Lambda_{ijkl}(z_1, z_2, z_3) = \delta_c(z_1, z_2) \delta_c(z_3, z_2) + \int_C dz_4 dz_5 \sum_{mn} \frac{\delta \Sigma_{il}(z_1, z_2)}{\delta G_{mn}(z_4, z_5)} \int_C dz_6 \sum_p G_{mp}(z_4, z_6) \Gamma_{pjkl}(z_6, z_7, z_3) + \int_C dz_7 \sum_q G_{qn}(z_7, z_5) \Lambda_{pjkl}(z_6, z_7, z_3)$$

$$G_{ij}(z_1, z_2) = G_{ij}^{(0)}(z_1, z_2) + \int_C dz_3 dz_4 \sum_{mn} G_{im}^{(0)}(z_1, z_3) \Sigma_{mn}(z_3, z_4) G_{nj}(z_4, z_2)$$

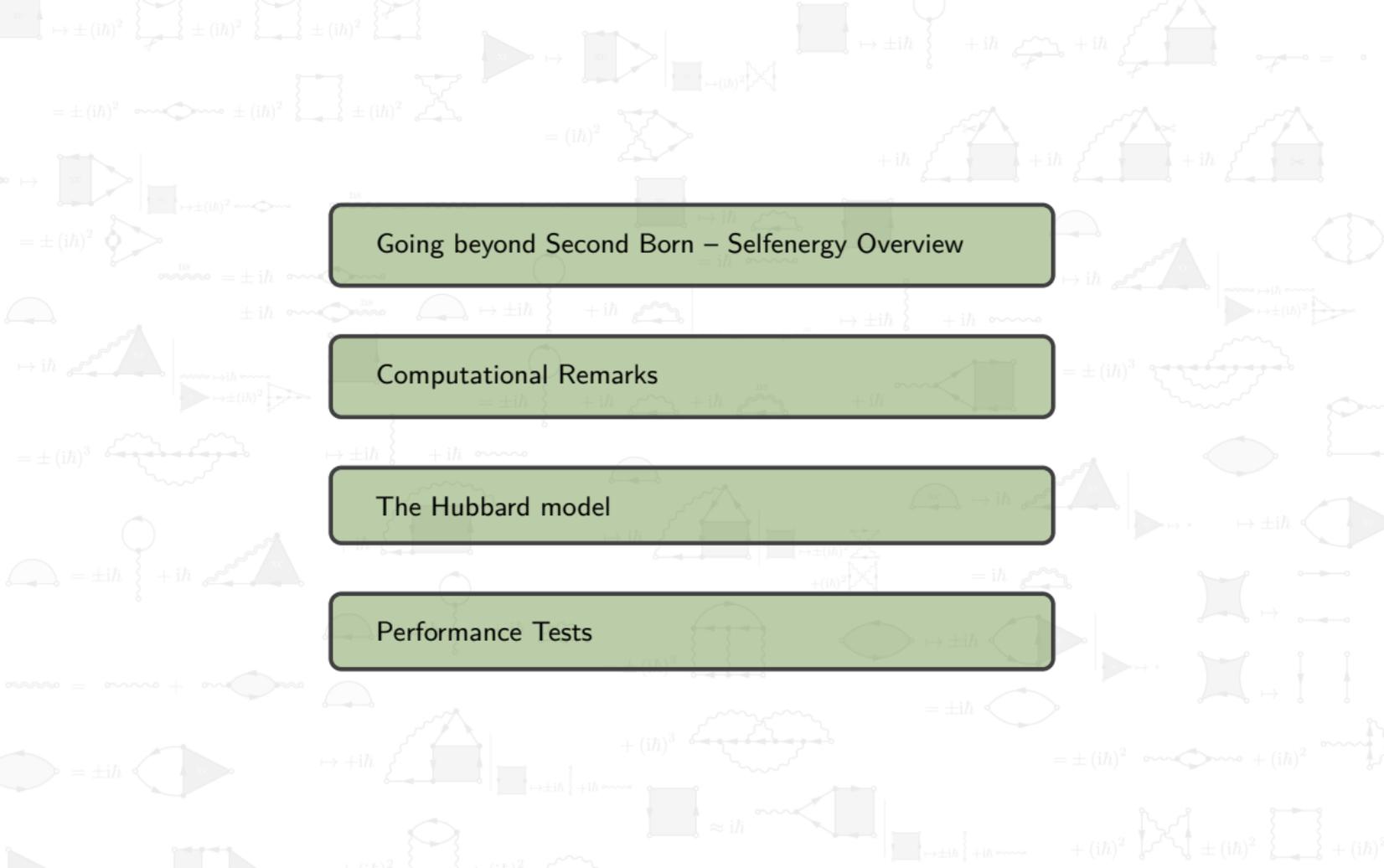
$$W_{ijkl}^{ns}(z_1, z_2) = \sum_{mn} w_{imnl}(z_1) \int_C dz_3 \sum_{pq} P_{nqpm}(z_1, z_3) W_{pjkl}(z_3, z_2)$$

$$\Sigma_{ij}^{xc}(z_1, z_2) = i\hbar \int_C dz_3 \sum_{mpq} W_{ipqm}(z_1, z_3) \times \int_C dz_4 \sum_n G_{mn}(z_1, z_4) \Gamma_{nqjj}(z_4, z_2, z_3)$$

**Central Quantity:
 Selfenergy Σ**

$$P_{ij}(z_1, z_2) = \pm i\hbar \int_C dz_3 \sum_m G_{im}(z_1, z_3) \times \int_C dz_4 \sum_n G_{nl}(z_4, z_1) \Gamma_{mjkn}(z_3, z_4, z_2)$$

$$\Gamma_{ijkl}(z_1, z_2, z_3) = \delta_c(z_1, z_2) \delta_c(z_3, z_2) \delta_{ik} \delta_{jl} + \int_C dz_4 dz_5 \sum_{mn} \frac{\delta \Sigma_{il}^{xc}(z_1, z_2)}{\delta G_{mn}(z_4, z_5)} \int_C dz_6 \sum_p G_{mp}(z_4, z_6) \Gamma_{pjkl}(z_6, z_7, z_3) + \int_C dz_7 \sum_q G_{qn}(z_7, z_5) \Gamma_{pjkl}(z_6, z_7, z_3)$$

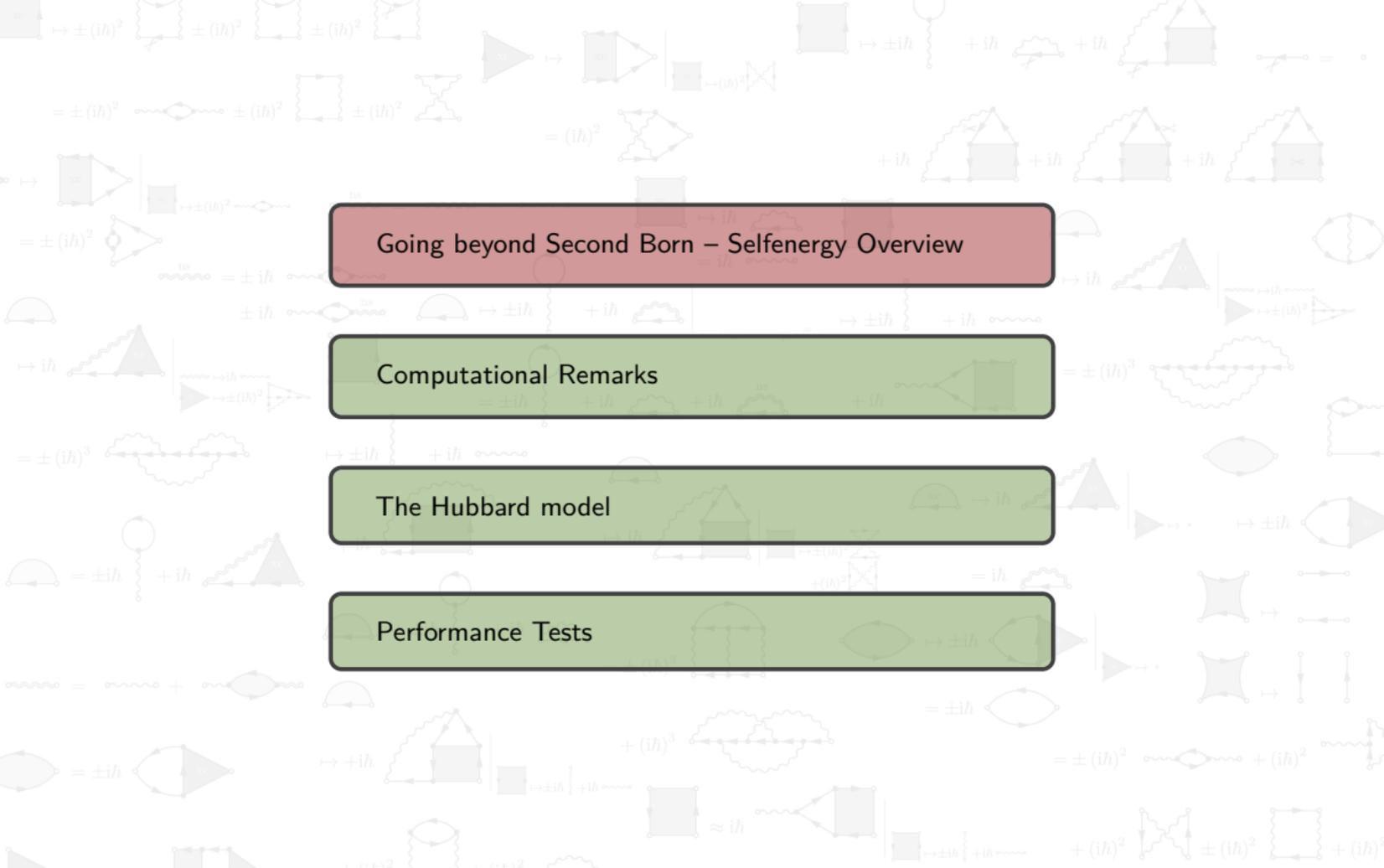
The background of the slide is filled with various Feynman diagrams, including self-energy loops, vertex corrections, and higher-order diagrams, all rendered in a light gray color. These diagrams are scattered across the entire page, creating a technical and academic atmosphere.

Going beyond Second Born – Selfenergy Overview

Computational Remarks

The Hubbard model

Performance Tests

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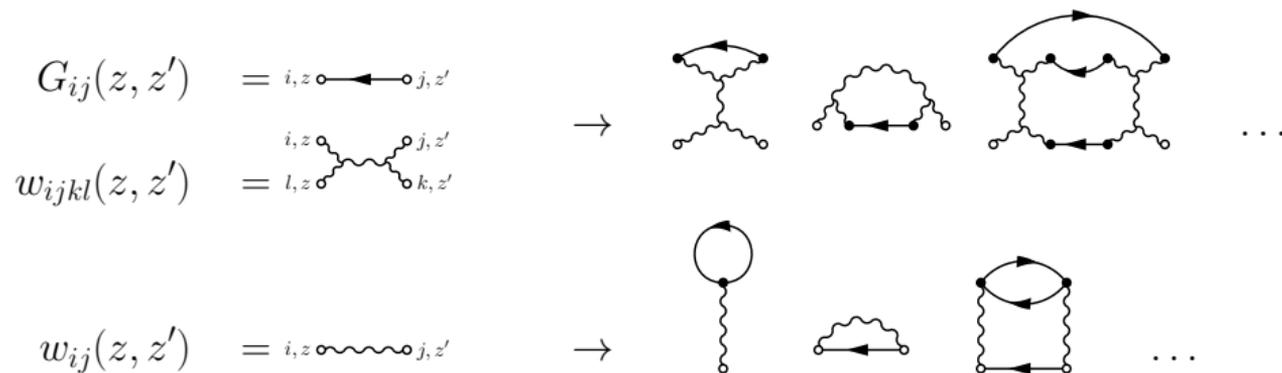
Going beyond Second Born – Selfenergy Overview

Computational Remarks

The Hubbard model

Performance Tests

- Use Feynman diagrams to visualize Green functions and interactions
- general vs. diagonal basis:



- Hartree-Fock selfenergy becomes:

$$\Sigma_{ij}^{\text{HF}}(z, z') = \pm i\hbar \delta_C(z, z') \sum_{k,l} \int_C d\bar{z} w_{iklj}(z, \bar{z}) G_{lk}(\bar{z}, \bar{z}^+) + i\hbar \sum_{k,l} w_{ikjl}(z, z') G_{lk}(z, z'^+)$$

selfenergy approximations that solve the Martin–Schwinger hierarchy can be derived from a closed set of equations

two equivalent, formally exact approaches based on:

– the **screened** interaction/
vertex:

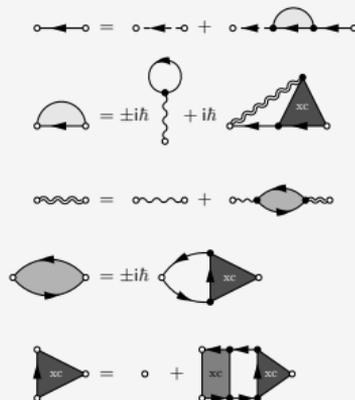
$$G(1,2) = G^{(0)}(1,2) + G^{(0)}(1,3)\Sigma(3,4)G(4,2)$$

$$\Sigma(1,2) = \pm i\hbar\delta(1,2)w(1,3)G(3,3^+) + i\hbar W(1,3)G(1,4)\Gamma(4,2,3)$$

$$W(1,2) = w(1,2) + w(1,3)P(3,4)W(4,2)$$

$$P(1,2) = \pm i\hbar G(1,3)G(4,1)\Gamma(3,4,2)$$

$$\Gamma(1,2,3) = \delta(1,2^+)\delta(3,2) + \frac{\delta\Sigma^{\text{xc}}(1,2)}{\delta G(4,5)}G(4,6)G(7,5)\Gamma(6,7,3)$$



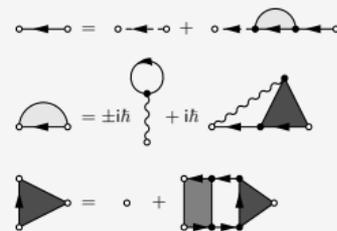
– the **bare** interaction/
vertex:

$$G(1,2) = G^{(0)}(1,2) + G^{(0)}(1,3)\Sigma(3,4)G(4,2)$$

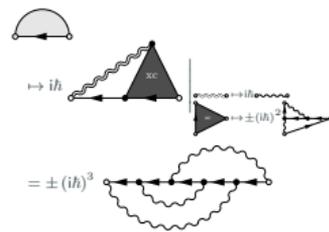
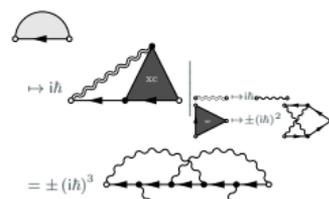
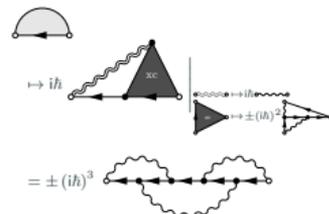
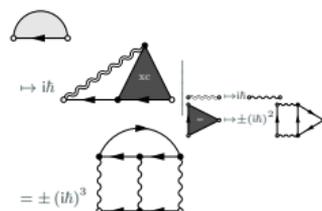
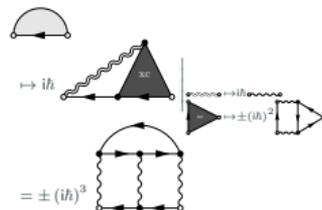
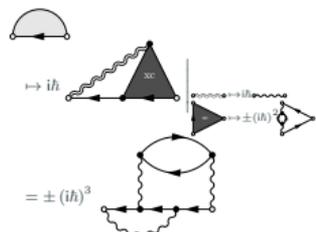
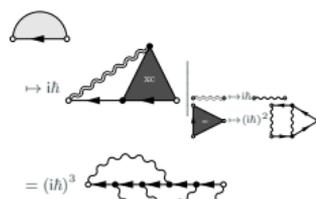
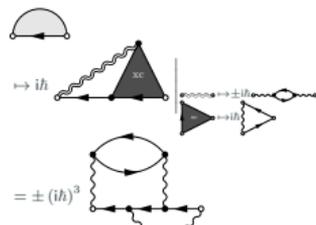
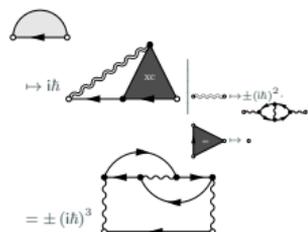
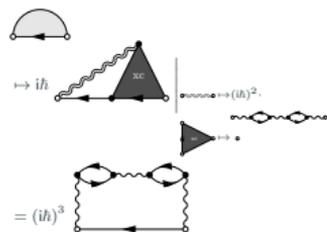
$$\Sigma(1,2) = \pm i\hbar\delta(1,2)w(1,3)G(3,3^+) + i\hbar w(1,3)G(1,4)\Lambda(4,2,3)$$

$$\Lambda(1,2,3) = \delta(1,2^+)\delta(3,2)$$

$$+ \frac{\delta\Sigma(1,2)}{\delta G(4,5)}G(4,6)G(7,5)\Lambda(6,7,3)$$



Derivation of third-order terms from Hedin's equations (screened approach):



the nitpicker

TOA

Third-Order Approximation

- all diagrams up to third order in the interaction
- more involved calculation
- applicability range restricted to moderate basis size

Computation

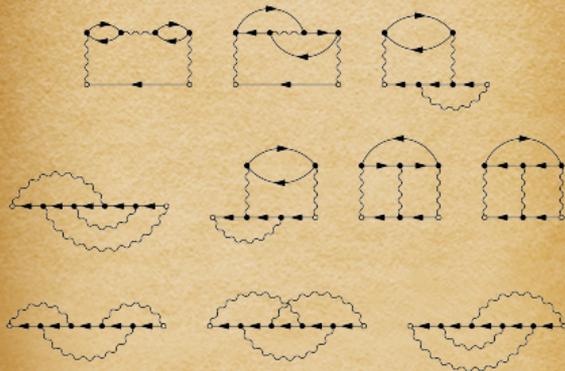
matrix multiplication +
numerical integration

Numerical Scaling

- general basis:
 $\mathcal{O}(N_t^3 N_b^5)$
- diagonal basis:
 $\mathcal{O}(N_t^3 N_b^4)$

Accuracy Range

- moderate to
strong coupling
- no filling
dependence



$$\Sigma^{(3)}(1,2)$$

$$\begin{aligned} &= (i\hbar)^3 G(1,2)w(1,3)G(3,4)G(4,3)w(4,5)G(5,6)G(6,5)w(6,2) \\ &\pm (i\hbar)^3 w(1,3)G(3,5)G(6,3)w(5,6)G(5,4)G(4,6)w(4,2)G(1,2) \\ &\pm (i\hbar)^3 w(1,5)G(5,6)G(6,5)w(6,3)G(1,4)w(4,2)G(4,3)G(3,2) \\ &+ (i\hbar)^3 w(1,3)G(1,4)w(4,2)G(4,5)G(6,2)w(5,6)G(5,3)G(3,6) \\ &\pm (i\hbar)^3 w(1,3)G(1,4)w(4,6)G(6,7)G(7,6)w(7,2)G(4,3)G(3,2) \\ &+ (i\hbar)^3 w(1,3)G(1,4)w(4,6)w(5,2)G(5,6)G(6,2)G(4,3)G(3,5) \\ &\pm (i\hbar)^3 w(1,3)G(1,4)w(4,6)G(6,5)w(5,2)G(4,2)G(5,3)G(3,6) \\ &+ (i\hbar)^3 w(1,3)G(1,4)w(4,6)w(5,2)G(5,6)G(6,2)G(4,3)G(3,5) \\ &+ (i\hbar)^3 w(1,3)G(1,4)w(4,6)G(4,5)w(5,2)G(6,2)G(5,3)G(3,6) \\ &+ (i\hbar)^3 w(1,3)G(1,4)w(4,5)G(4,6)w(6,2)G(6,5)G(5,3)G(3,2) \end{aligned}$$

it all comes down to bubbles

GWA

GW Approximation

- easiest way to describe dynamical-screening effects
- sums up polarization-bubble diagram series
- computationally demanding, but scaling advantage for diagonal basis sets

Computation

matrix multiplication + numerical integration, solution by **iteration** or **inversion**

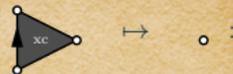
Numerical Scaling

- general basis:
 $\mathcal{O}(N_t^3 N_b^6)$
- diagonal basis:
 $\mathcal{O}(N_t^3 N_b^3)$

Accuracy Range

- **moderate to strong** coupling
- around **half filling**

screened approach for



$$\text{wavy line} = \text{wavy line} \pm i\hbar \text{bubble}$$

$$\begin{aligned} \text{bubble} &\mapsto +i\hbar \text{bubble} \\ &= +i\hbar \text{bubble} \pm (i\hbar)^2 \text{bubble} \\ &\quad + (i\hbar)^3 \text{bubble} + \dots \end{aligned}$$

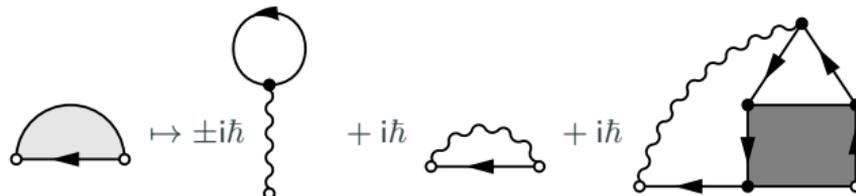
$$W(1, 2)$$

$$\begin{aligned} &= w(1, 2) \pm i\hbar w(1, 3) G(3, 4) G(4, 3) W(4, 2) \\ \Sigma^{GW}(1, 2) &= \Sigma^H(1, 2) + i\hbar G(1, 2) W(1, 2) \end{aligned}$$

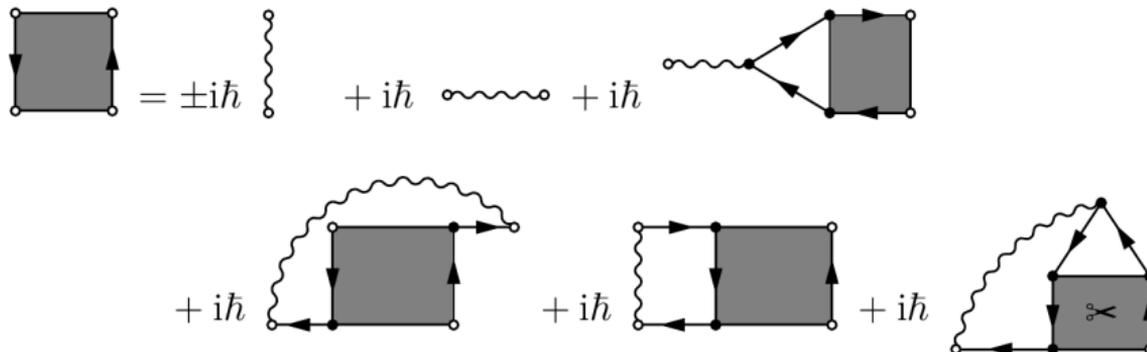
Truncation of the **bare**-vertex recursion by



The selfenergy becomes:

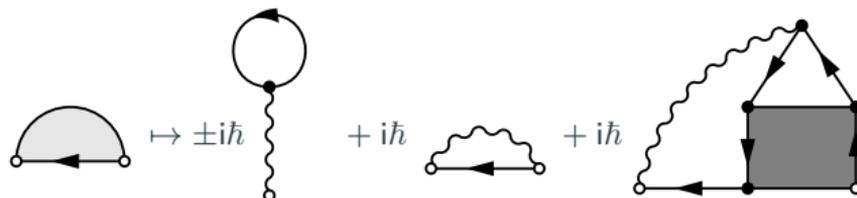


The selfenergy derivative starts off three diagram series:

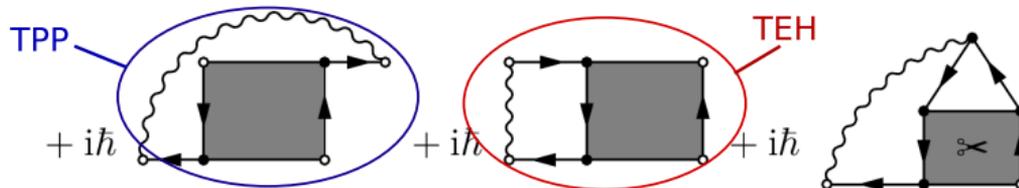
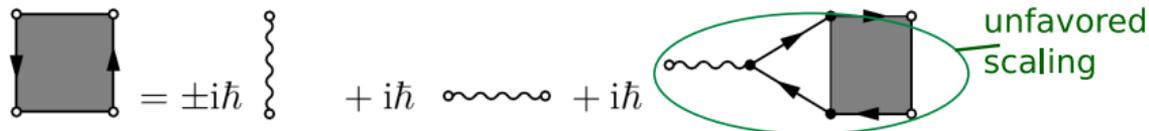




The selfenergy becomes:



The selfenergy derivative starts off three diagram series:



climbing the ladder I

TPP

Particle-Particle
T-Matrix Approximation

- sums up the diagrams of the Born series
- computationally expensive \rightarrow applicable only to moderate basis size
- becomes exact in the limit of low (large) density

Computation

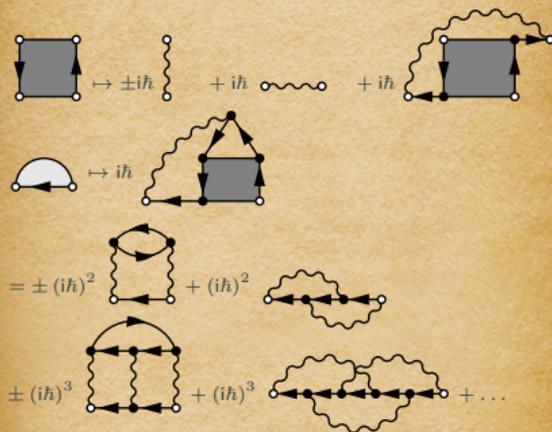
matrix multiplication + numerical integration, solution by **iteration** or **inversion**

Numerical Scaling

- general basis:
 $\mathcal{O}(N_t^3 N_b^6)$
- diagonal basis:
 $\mathcal{O}(N_t^3 N_b^6)$

Accuracy Range

- **moderate** to **strong** coupling
- **low**/large density



$$T^{\text{PP}}(1,2) = w(1)G(1,2)G(1,2)w^\pm(2) \\ + w(1)G(1,3)G(1,3)T^{\text{PP}}(3,2) \\ \Sigma^{\text{TPP}}(1,2) = i\hbar T^{\text{PP}}(1,2)G(2,1)$$

climbing the ladder II

TPH, TEH

Particle (Electron)-Hole
T-Matrix Approximation

- sums up a series of particle-hole diagrams
- computationally demand and reach similar to TPP
- specifically designed to describe systems around half half filling

Computation

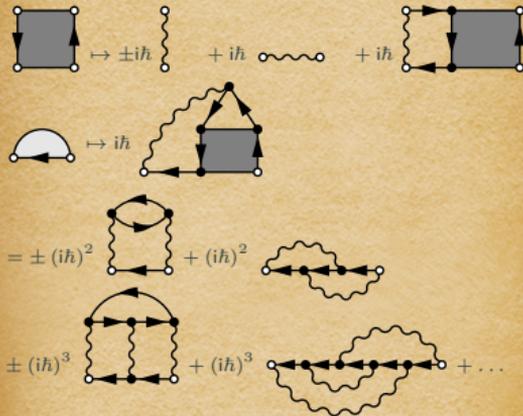
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- diagonal basis:
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Accuracy Range

- **moderate** to **strong** coupling
- around **half filling**



$$T^{\text{ph}}(1, 2) = w(1)G(1, 2)G(2, 1)w^{\pm}(2) \\ + w(1)G(1, 3)G(3, 1)T^{\text{ph}}(3, 2) \\ \Sigma^{\text{TPH}}(1, 2) = i\hbar T^{\text{ph}}(1, 2)G(2, 1)$$

it's a matter of patience

FLEX

Fluctuating-Exchange Approximation

- merges the diagram series of the TPP, the TPH and the GWA
- combines advantages of its ingredients
- highest computational demands of the presented approximations

Computation

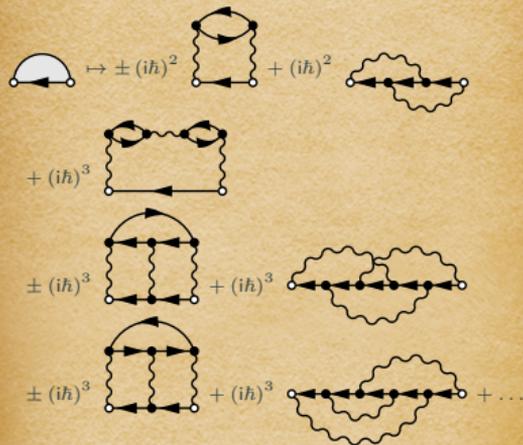
matrix multiplication + numerical integration, solution by **iteration** or **inversion**, avoid double counting of mutual terms

Numerical Scaling

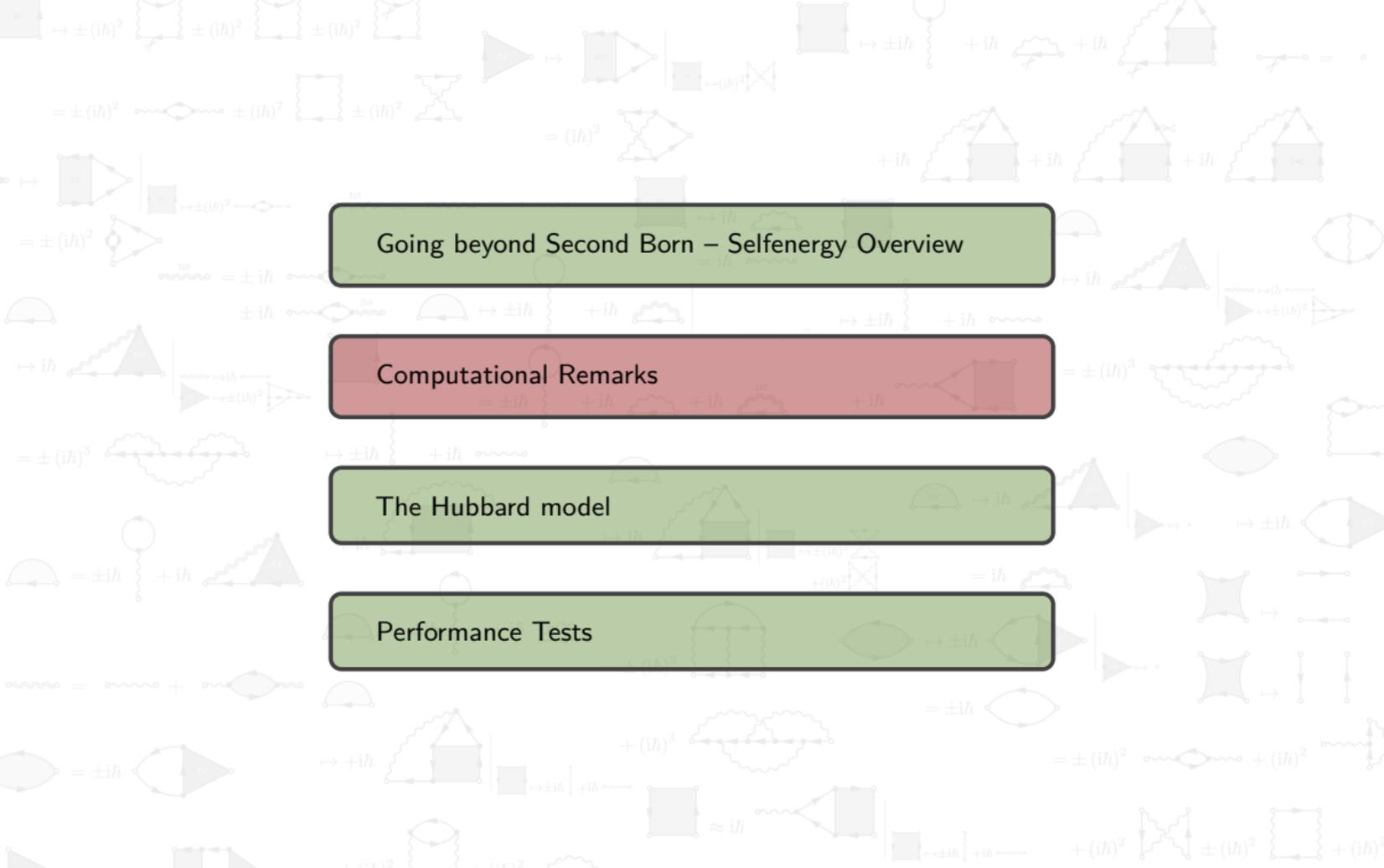
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- diagonal basis:
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Accuracy Range

- **moderate to strong** coupling
- no filling dependence



$$\begin{aligned}\Sigma^{\text{FLEX}}(1, 2) &= \Sigma^{(1)}(1, 2) + \Sigma^{\text{GW,corr}}(1, 2) \\ &+ \Sigma^{\text{TPP,corr}}(1, 2) + \Sigma^{\text{TPH,corr}}(1, 2) \\ &- 2\Sigma^{(2)}(1, 2)\end{aligned}$$

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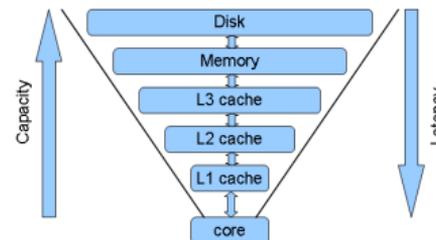
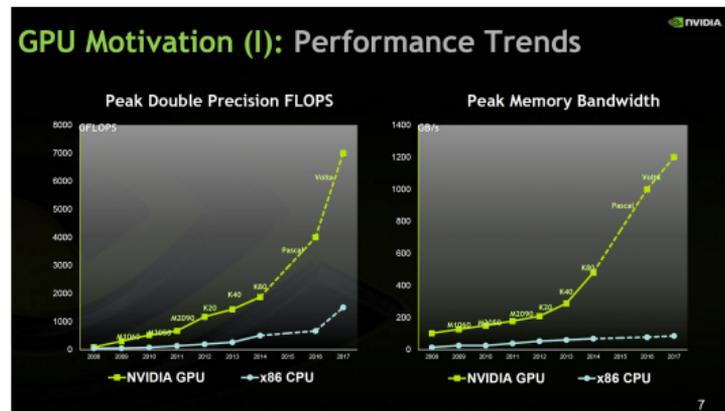
Going beyond Second Born – Selfenergy Overview

Computational Remarks

The Hubbard model

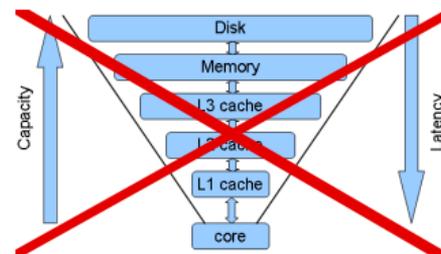
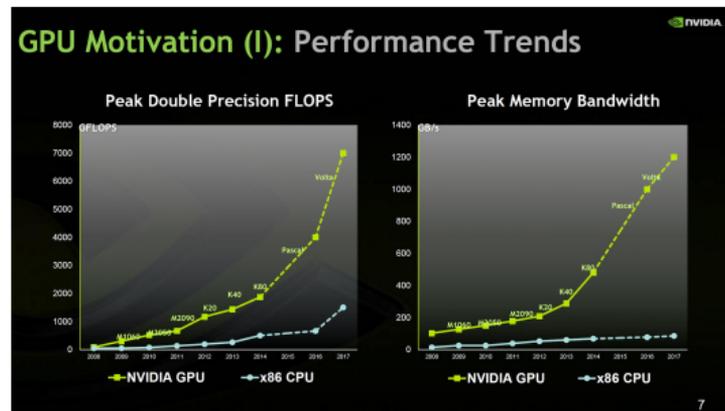
Performance Tests

- **high-performance** computing and massive **parallelization** are essential to outgrow toy models
- NEGF is **well-suited**, contains high degree of independent calculations in matrix multiplication and numerical integration
- possible with multi-core programming on multiple CPUs
- “cheaper” way \Rightarrow parallelization on GPUs
- con: memory structure is hard to manage

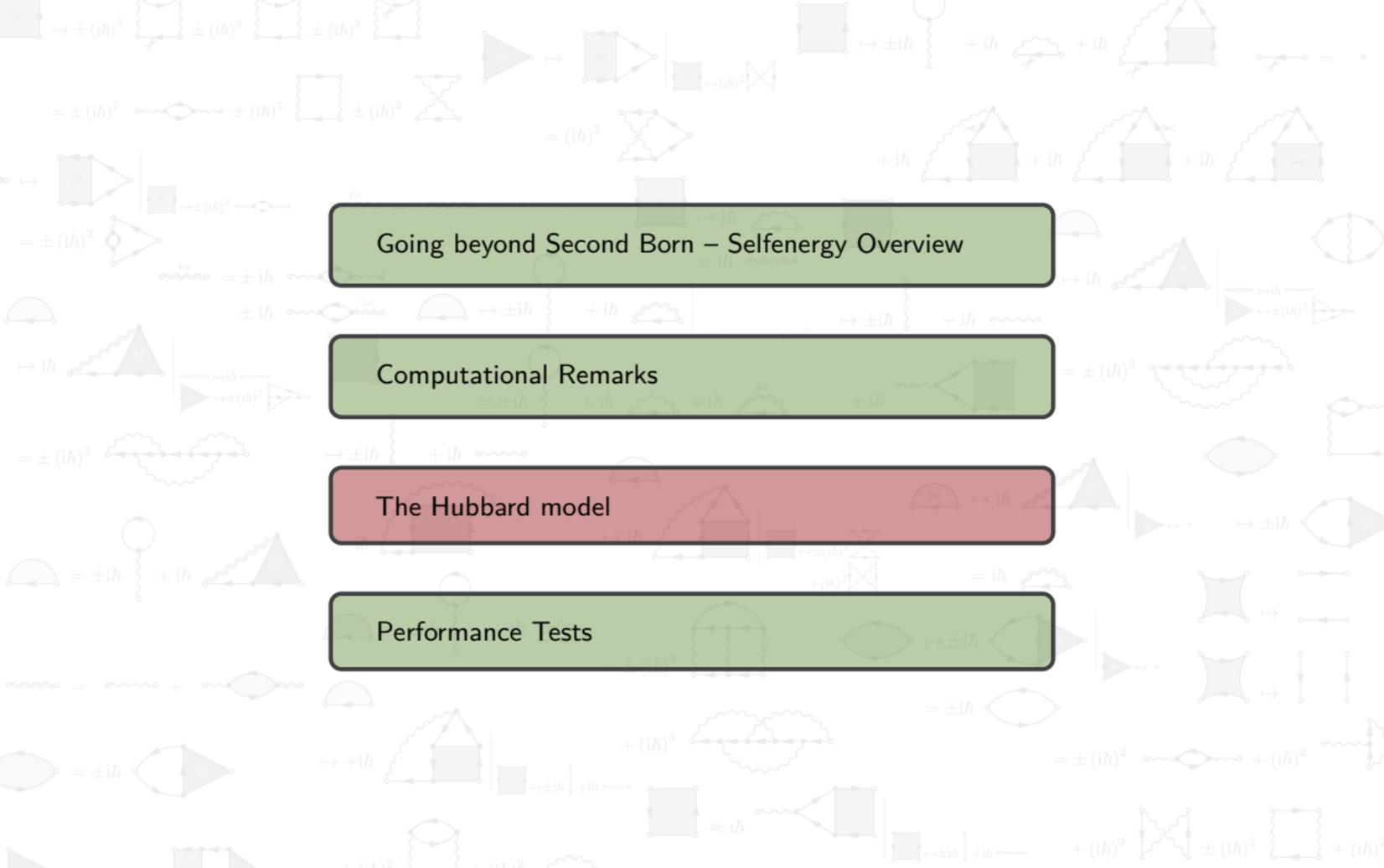


[HTTPS://WCCFTECH.COM/NVIDIA-PASCAL-GPU-ANALYSIS/](https://wccftech.com/nvidia-pascal-gpu-analysis/)

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- “cheaper” way \Rightarrow parallelization on GPUs
- con: memory structure is hard to manage
- salvation: unified memory since NVIDIA Pascal!



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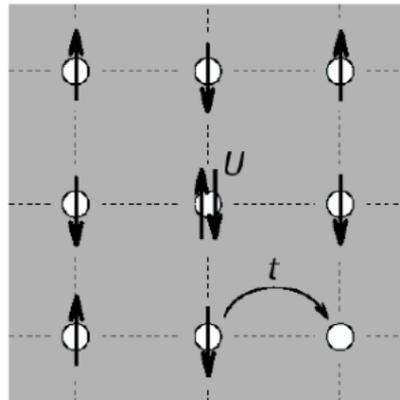
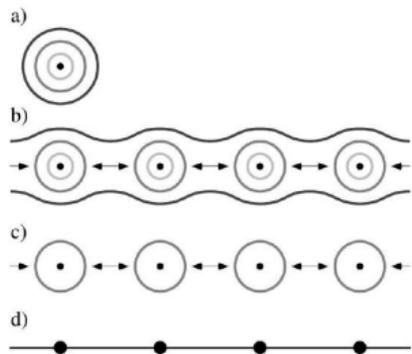
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Performance Tests

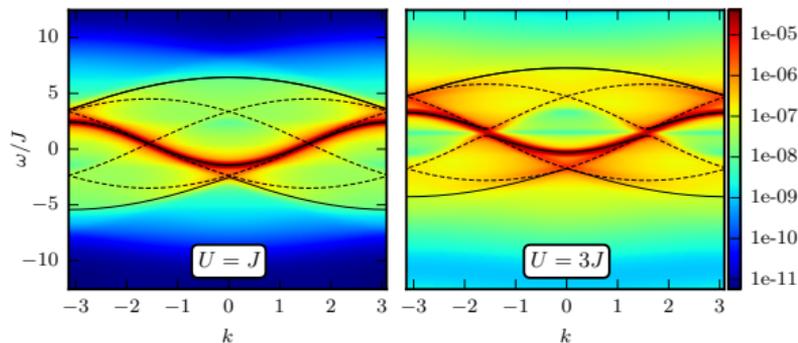


$$\hat{H}(t) = J \sum_{ij, \alpha} h_{ij} \hat{c}_{i\alpha}^\dagger \hat{c}_{j\alpha} + U \sum_i \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\uparrow} \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} + \sum_{ij, \alpha\beta} f_{ij, \alpha\beta}(t) \hat{c}_{i\alpha}^\dagger \hat{c}_{j\beta}$$

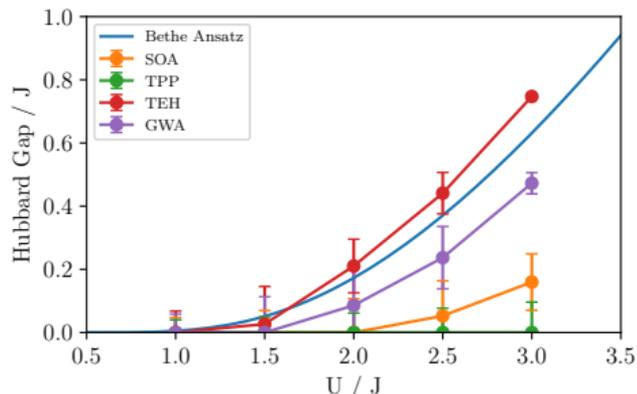
$h_{ij} = -\delta_{\langle i, j \rangle}$ and $\delta_{\langle i, j \rangle} = 1$, if (i, j) nearest neighbors, $\delta_{\langle i, j \rangle} = 0$ otherwise;
 on-site repulsion ($U > 0$) or attraction ($U < 0$), U favors *doublons* (correlations)

- f : **excitation** (1-particle hamiltonian): EM field, quench, particle impact etc.
- finite inhomogeneous system, size and geometry dependence

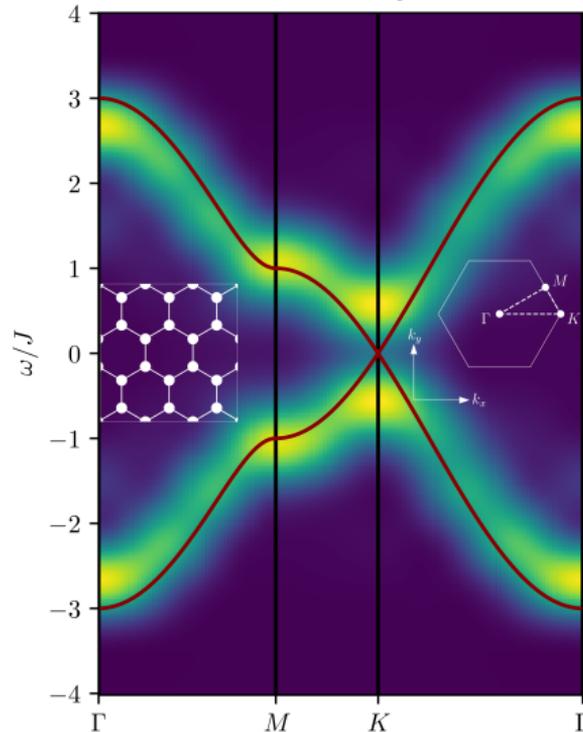
dispersion of 40-site Hubbard chain (2B/SOA):



band gap for the infinite 1D chain:



band structure for the honeycomb lattice:

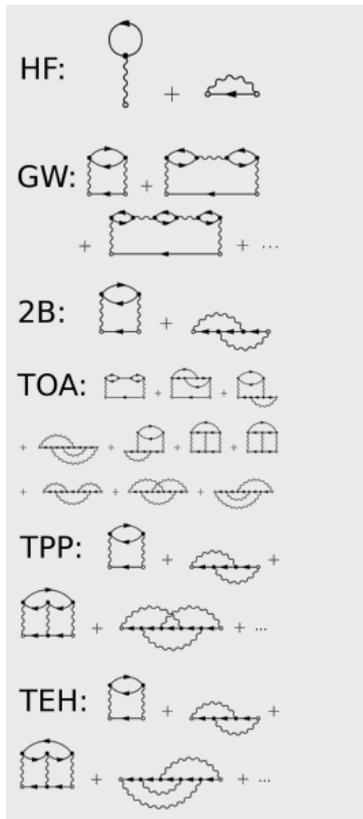
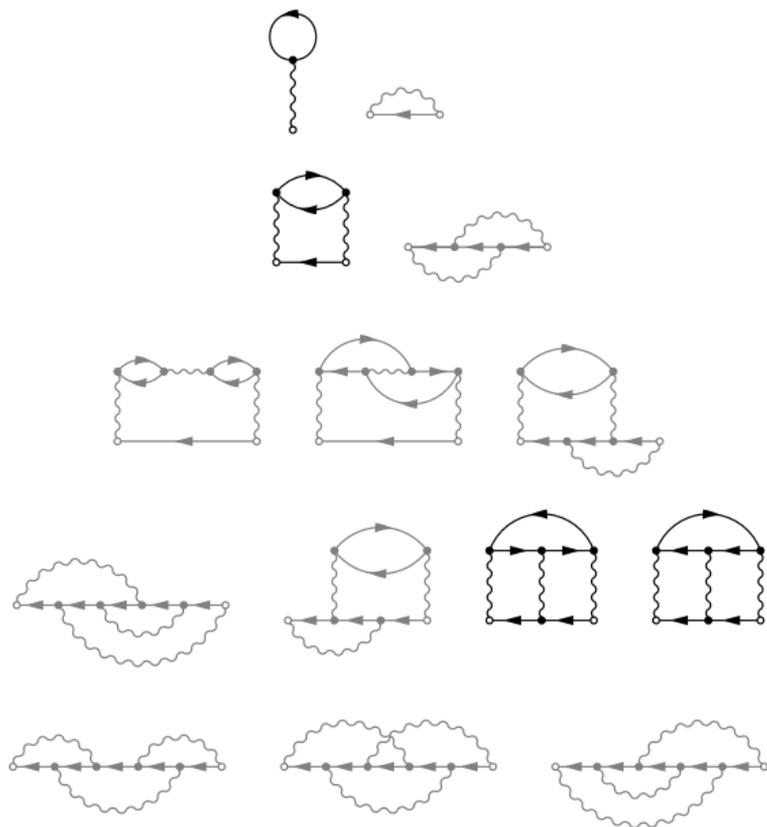


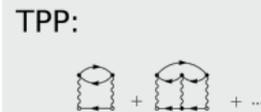
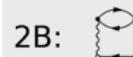
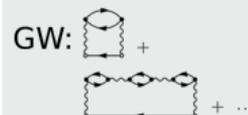
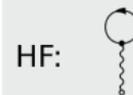
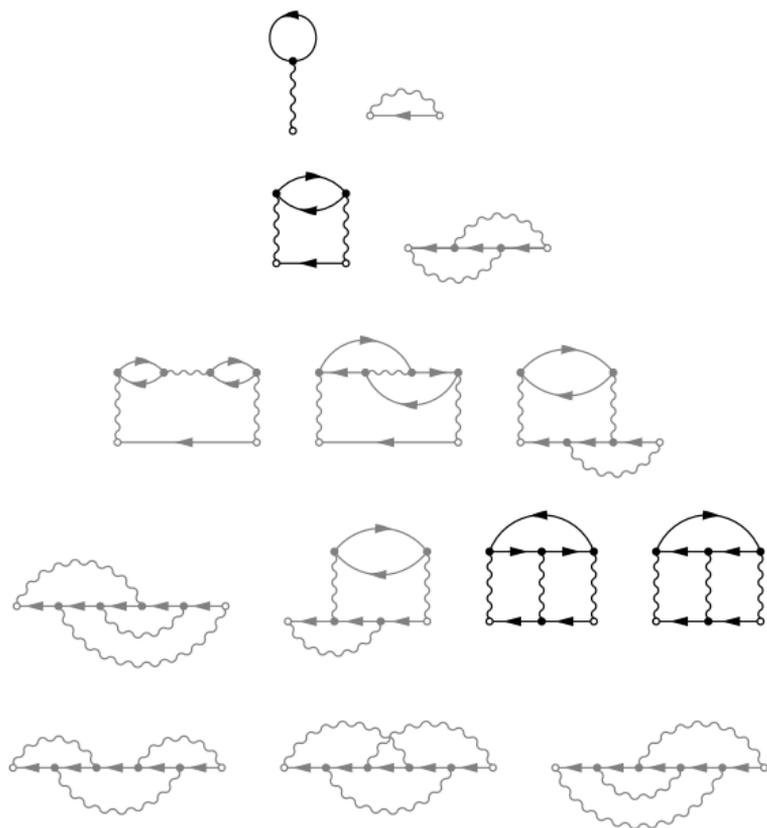
- N_t : number of time steps, N_b : basis size
- scaling of propagation scheme
 - full KBE: $\mathcal{O}(N_t^3)$, $\mathcal{O}(N_b^3)$
 - HF-GKBA: $\mathcal{O}(N_t^2)$, $\mathcal{O}(N_b^3)$
- scaling of selfenergy approximations:

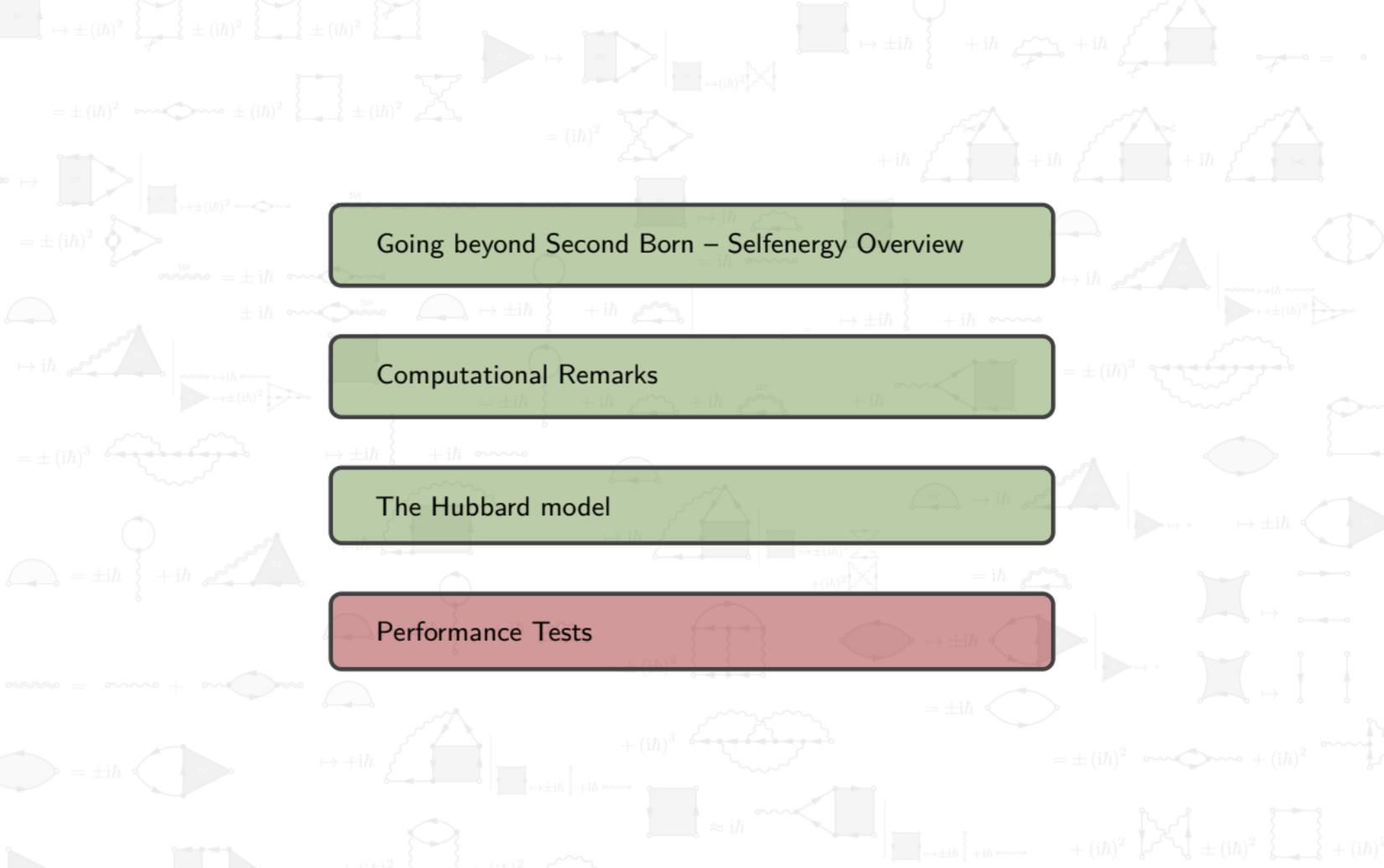
	HF	2B	TOA	GW	TPP	TEH	FLEX
order	$\sim w^1$	$\sim w^2$	$\sim w^3$	$\rightarrow w^\infty$			
N_t -scaling	$\mathcal{O}(N_t^1)$	$\mathcal{O}(N_t^2)$	$\mathcal{O}(N_t^3)$				
N_b -scaling	$\mathcal{O}(N_b^4)$	$\mathcal{O}(N_b^5)$	$\mathcal{O}(N_b^6)$				w_{ijkl}
	$\mathcal{O}(N_b^2)$	$\mathcal{O}(N_b^4)$	$\mathcal{O}(N_b^3)$	$\mathcal{O}(N_b^6)$			$V_{ij} := w_{ijij}$
	$\mathcal{O}(N_b^1)$	$\mathcal{O}(N_b^2)$	$\mathcal{O}(N_b^3)$				$U_i := w_{iiii}$

- lattice models greatly reduce numerical complexity

Selfenergy Approximations in the Hubbard Model





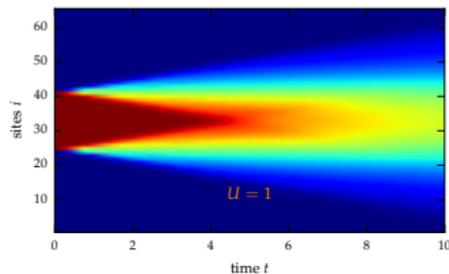
The background of the slide is filled with various Feynman diagrams, including self-energy loops, vertex corrections, and higher-order diagrams, all rendered in a light gray color. These diagrams are scattered across the entire page, creating a technical and academic atmosphere.

Going beyond Second Born – Selfenergy Overview

Computational Remarks

The Hubbard model

Performance Tests



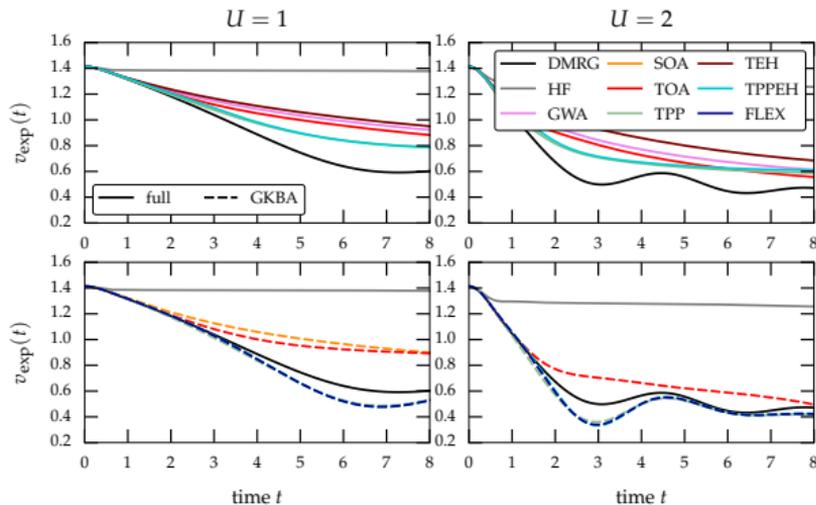
- initial state: doubly occupied sites at the center
- Hubbard chain of 65 sites with 34 particles
- non-trivial expansion, U -dependent
- mean squared displacement

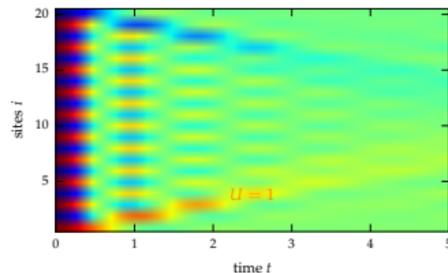
$$R^2(t) = \frac{1}{N} \sum_s n_s(t) [s - s_0]^2$$

s_0 : center of the system

- rescaled cloud **diameter** $d(t) = \sqrt{R^2(t) - R^2(0)}$
- **expansion velocity** $v_{\text{exp}}(t) = \frac{d}{dt} d(t)$

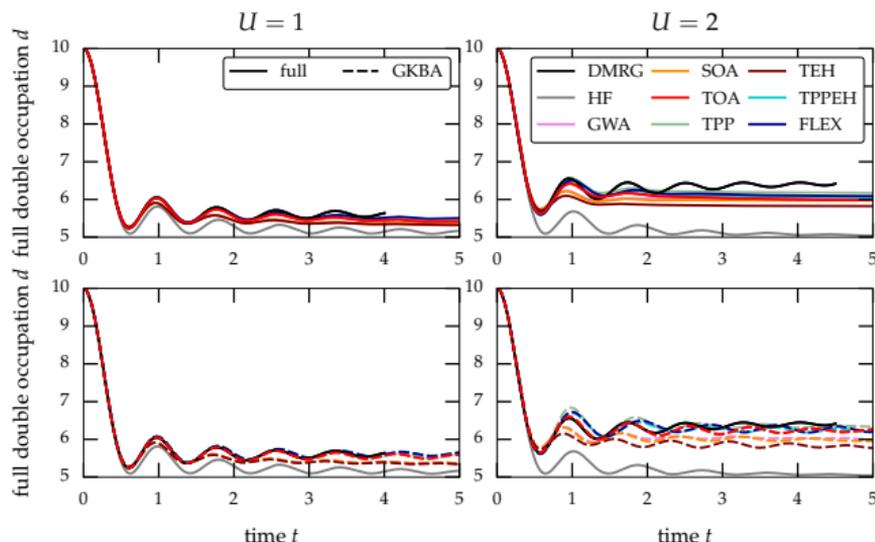
- Hartree–Fock misses the slowing-down of the expansion
- trend: two-time propagation results underestimate the slowing-down
- best performance by TPP, TPPEH, FLEX combined with GKBA



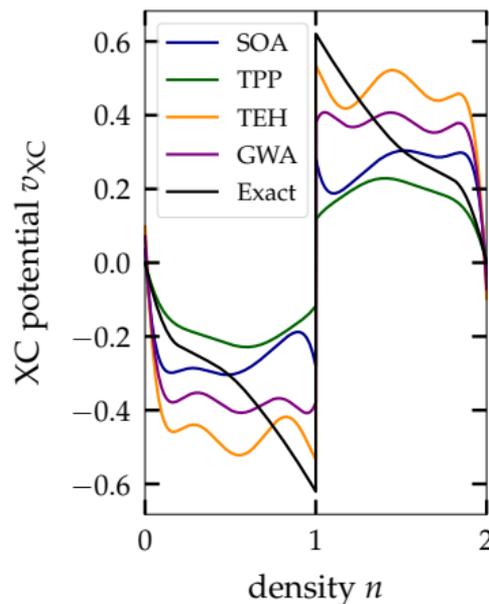
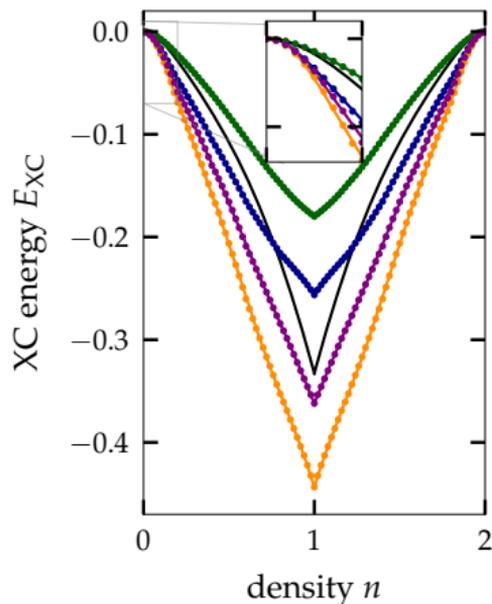


- initial state: doubly occupied sites arranged as a charge density wave
- Hubbard chain of 20 sites with 20 particles
- Relaxation dynamics towards homogeneous density distribution
- fast build-up of correlations
- observables: double occupation

- Hartree–Fock results are not sufficient
- two-time results become steady due to artificial damping
- best performance by GKBA+TOA



Results for a ten-site Hubbard chain for the $U = 4J$ ground state:



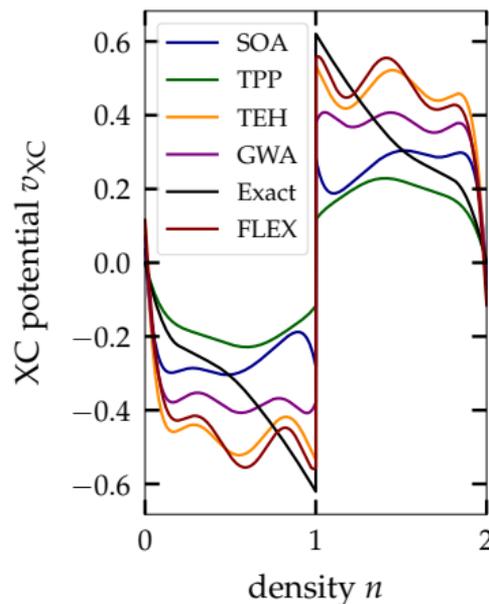
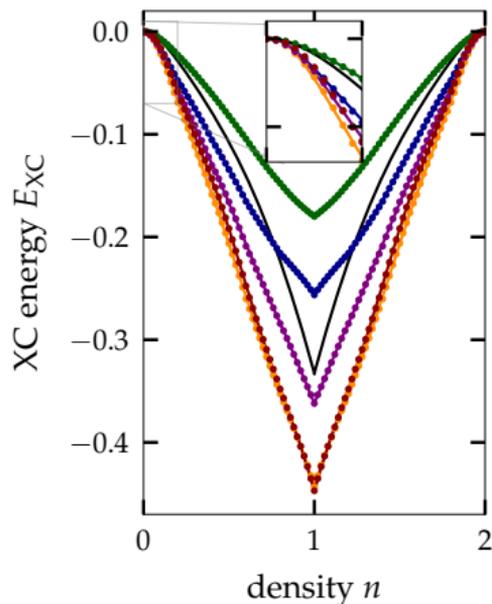
low/high density:

- **SOA**, **GWA** and **TEH** slightly off
- **excellent** agreement for the **TPP**

around half filling:

- **TPP** and **SOA** fail and underestimate band gap
- **GWA** becomes strikingly **accurate**
- **TEH** slightly overestimates correlations, precise band gap

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FLEX dominated by TEH, no significant improvement

no "allrounders" \Rightarrow physical circumstances prescribe the best choice of Σ

- in many cases **Second Born** is **not sufficient** to describe correlations accurately
- going beyond SOA is not straight forward \Rightarrow **no “allrounders”**
- controlled choice of selfenergy: **dictated** by **filling** and **interaction** strength, accurate up to $U \simeq$ bandwidth
- best performance by
 - low/large filling: TPP
 - half filling: GWA, TEH
 - mixed nonequilibrium: TOA
- Hubbard basis drastically reduces numerical effort by scaling and diagram number
- **parallelization** is crucial and can be done on GPUs

