

Accelerating Nonequilibrium Green functions simulations: the G1-G2 scheme and beyond

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PNGF 8 conference
Örebro, August 2023

PNGF2: August 2002 in flooded Dresden



from left (selection): Irena Knezevic, Manfred Schlages, David Ferry, Norman Horing, Frank Jahnke, Carlo Jacoboni, John Barker, Alex Abrikosov (Nobel prize 2003, † 2017), Leonid Keldysh († 2016), **Robert**, Roland Zimmermann, Jörn Knoll, **Pavel**, Antti Jauho, Rolf Binder, Paul Martin († 2016)

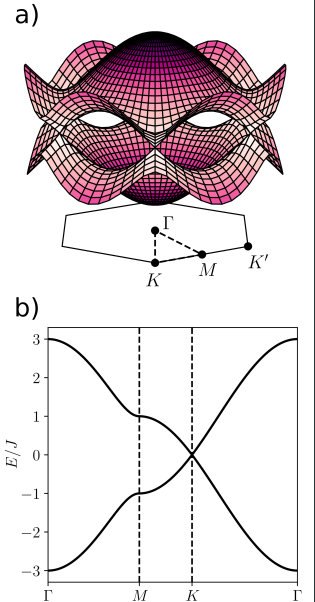
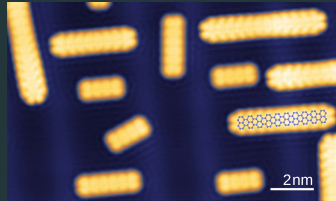
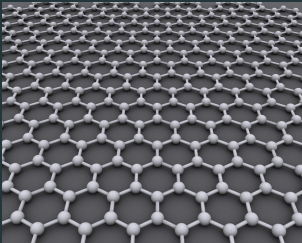
veterans at PNGF8

1. Motivation: Finite 2D quantum materials
2. Lattice models: Hubbard, PPP
3. Equilibrium GF: LDOS of quantum materials. Löwdin's "symmetry dilemma"
4. NEGF. Keldysh-Kadanoff-Baym equations. Selfenergies
5. Accelerating NEGF
 - 1: Hartree-Fock-GKBA
 - 2: G1-G2 scheme. Advanced selfenergies
 - Scalings and problems of the G1-G2 scheme
6. Nonequilibrium dynamics of 2D quantum materials
 - dynamics following laser excitation
 - charge transfer and ultrafast electron emission due to ion impact
7. Extended time-linear NEGF embedding schemes

Finite 2D quantum materials

Graphene:

- lots of interesting electronic and transport properties
- however, **no bandgap**
- therefore, not suitable for application in electronics, e.g. transistors
- solution: quantum confinement in finite graphene nanostructures e.g. clusters, flakes or **nanoribbons**

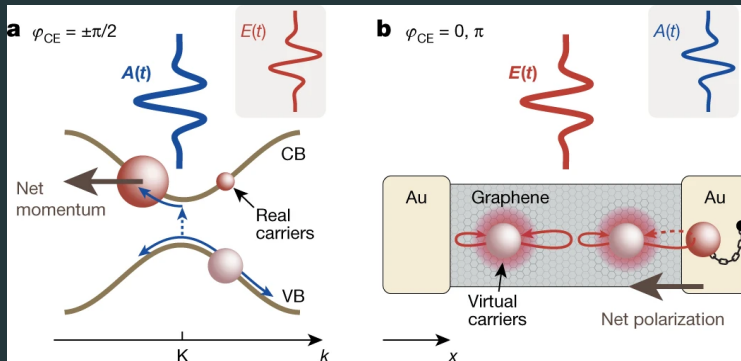


Potential Applications of Graphene: towards petahertz electronics¹

Experiments by P. Hommelhoff *et al.*: logic gate for lightwave electronics, variation of carrier envelope phase ϕ_{CE} of few cycle fs-laser pulse

a: momentum asymmetry ($A(t)$) creates $f_c(-k) \neq f_c(k)$ and net current

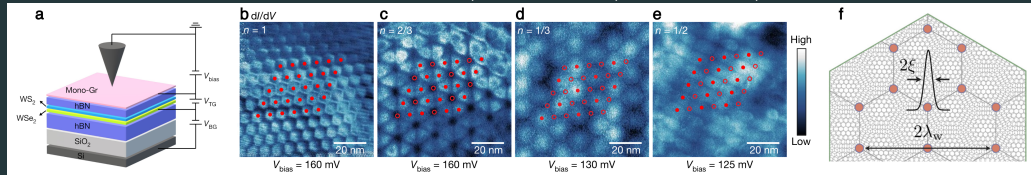
b: real space asymmetry ($E(t)$) of density creates net polarization



¹Boolakee et al., Nature **605**, 251 (2022)

- twisted bilayer graphene: Moiré lattices predicted, realization of strong correlation phenomena (low density, $r_s = \bar{r}/a_B \gtrsim 35$), including electron liquid, Wigner crystal, cf. Ref. 4
- even more flexibility: TMDC monolayers, twisted bilayers²
- STM experiments on WSe_2/WS_2 bilayers³ confirm electron localization. Even crystal-like behavior (“generalized Wigner crystal”) reported for certain fillings n :

b: $n = 1$, c: $n = 2/3$, d: $n = 1/3$, e: $n = 1/2$



²A. MacDonald *et al.*, Phys. Rev. Lett. **121**, 026402 (2018)

³H. Li *et al.*, Nature **597** 650 (2021)

⁴M. Bonitz and J.-P. Joost, *View point*, Physik Journal **20** (12), 20-21 (2021)

- finite size systems (molecules) of ~ 10 – 1000 atoms
- example: polycyclic aromatic hydrocarbon (PAH)
- going beyond a mean-field treatment of the electronic interactions is challenging
- exact solution (CI, MCSCF, etc.) not possible because configuration space grows exponentially

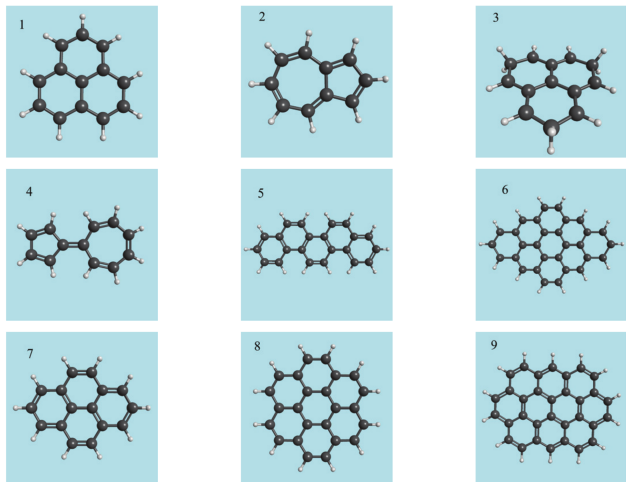


Figure 1. PAH referred to in this work: (1) phenalene (13 π orbitals and 14 valence electrons), (2) azulene, (3) 2, 5, 8 trihydro-phenalene, (4) pentaheptafulvalene, (5) picene, (6) dibenzo[bc,k]coronene (7) pyrene, (8) coronene and (9) oxalene.

Lattice Models

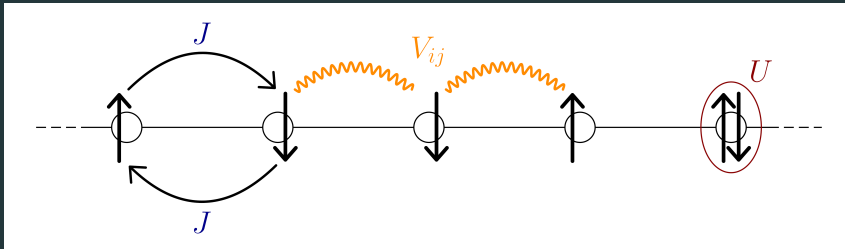
- general Hamiltonian in second quantization (\hat{c}_i^\dagger creation, \hat{c}_j annihilation operator)

$$\hat{H} = \sum_{ij} h_{ij}^{(0)} \hat{c}_i^\dagger \hat{c}_j + \frac{1}{2} \sum_{ijkl} w_{ijkl} \hat{c}_i^\dagger \hat{c}_j^\dagger \hat{c}_l \hat{c}_k$$

- Pariser–Parr–Pople (PPP) Hamiltonian (J hopping, U on-site, V_{ij} long-range interaction)

$$\hat{H} = \underbrace{\sum_{i,\sigma} \epsilon_i \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma}}_{\text{Hückel / tight binding}} - \underbrace{J \sum_{\langle i,j \rangle, \sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma}}_{\text{Hubbard}} + U \sum_i \hat{n}_{i,\uparrow} \hat{n}_{i,\downarrow} + \frac{1}{2} \sum_{i \neq j, \sigma, \sigma'} V_{ij} (\hat{n}_{i,\sigma} - 1) (\hat{n}_{j,\sigma'} - 1)$$

}
}
PPP

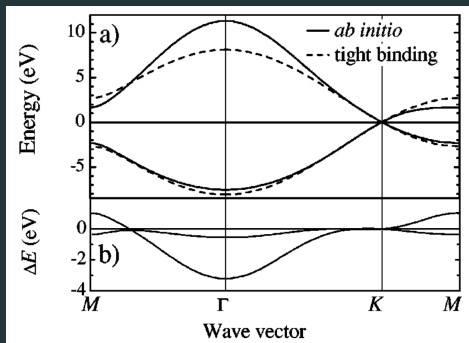


Tight-Binding Model. Geometry-dependent density of states

- tight-binding Hamiltonian

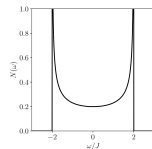
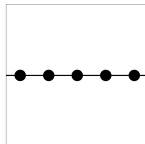
$$\hat{H} = -J \sum_{\langle i,j \rangle, \sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma}$$

- single fit parameter J
- determined by fit to DFT band structure
- for pristine graphene $J = 2.7 \text{ eV}$



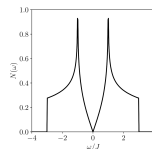
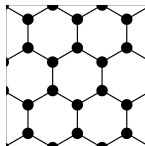
1D chain

bandwidth: $4J$



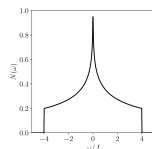
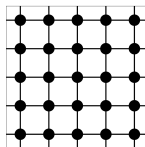
honeycomb lattice

bandwidth: $6J$



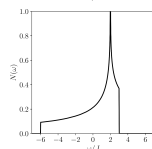
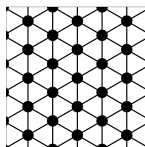
square lattice

bandwidth: $8J$



triangular lattice

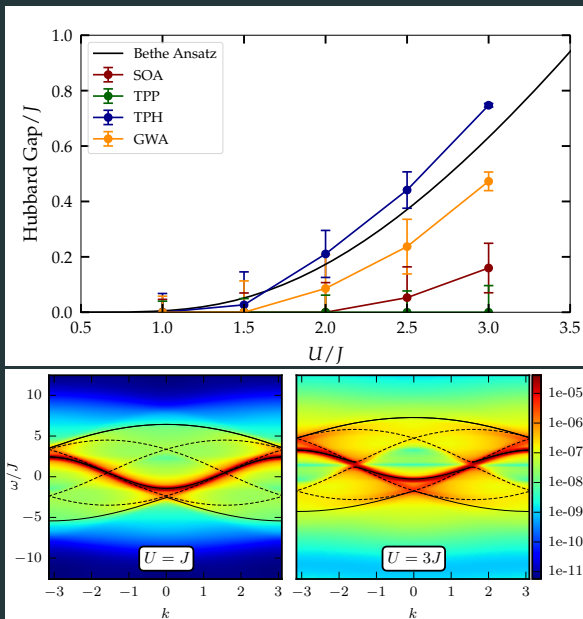
bandwidth: $9J$



- Hubbard Hamiltonian

$$\hat{H} = -J \sum_{\langle i,j \rangle, \sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + U \sum_i \hat{n}_{i,\uparrow} \hat{n}_{i,\downarrow}$$

- local on-site interaction U
- band gap above critical U_c
(depends on geometry)
- interaction for graphene strongly
depends on system geometry
 - pristine graphene $U \approx 1.6J$
 - nanoribbons $U \approx 3.5J$
- missing long-range interactions have to
be compensated by stronger local
interaction



- Pariser–Parr–Pople Hamiltonian

$$\hat{H} = \sum_{i,\sigma} \epsilon_i \hat{c}_{i,\sigma}^\dagger \hat{c}_{i,\sigma} - J \sum_{\langle i,j \rangle, \sigma} \hat{c}_{i,\sigma}^\dagger \hat{c}_{j,\sigma} + U \sum_i \hat{n}_{i,\uparrow} \hat{n}_{i,\downarrow} + \frac{1}{2} \sum_{i \neq j, \sigma, \sigma'} V_{ij} (\hat{n}_{i,\sigma} - 1) (\hat{n}_{j,\sigma'} - 1)$$

- typical parameters for graphene systems less volatile

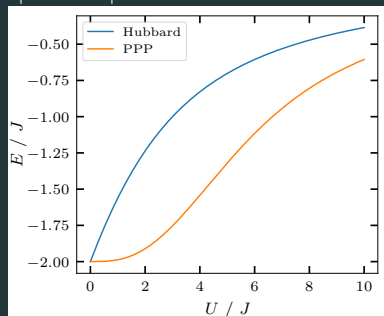
Parameter	3H-C ₁₃ H ₉	C ₁₂ H ₁₀	C ₆ H ₆	C ₂ H ₄	C ₁₄ H ₁₀
J/eV	2.34	2.39	2.54	2.92	2.4
ϵ_0/J	-3.25	-3.41	-	-	-
U/J	3.54	3.62	3.96	3.61	4.69

- ground-state energy of the Hubbard and PPP dimer:

$$E^{\text{HU}} = \frac{U}{2} - \frac{1}{2} \sqrt{U^2 + 16J^2}$$

$$E^{\text{PPP}} = \frac{U - V}{2} - \frac{1}{2} \sqrt{(U - V)^2 + 16J^2}$$

- U: on-site interaction
- V: nearest-neighbor interaction



- long-range interactions given by parametrization (interpolation formula):

$$V_{ij} = U \left[1 + \left(\frac{UR_{ij}}{k_e} \right)^n \right]^{-\frac{1}{n}}$$

- Mataga-Nishimoto ($n = 1$):

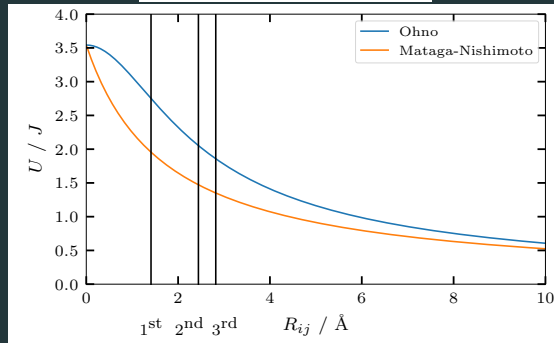
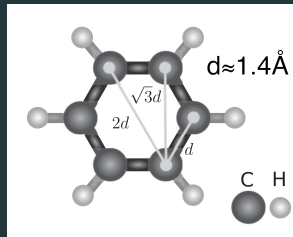
$$V_{ij} = U \left[1 + \frac{UR_{ij}}{k_e} \right]^{-1}$$

- Ohno ($n = 2$):

$$V_{ij} = U \left[1 + \left(\frac{UR_{ij}}{k_e} \right)^2 \right]^{-\frac{1}{2}}$$

- U in eV, R_{ij} in Å, k_e Coulomb constant

- $\lim_{R_{ij} \rightarrow 0} V_{ij} = U$, $\lim_{R_{ij} \rightarrow \infty} V_{ij} = \frac{k_e}{R_{ij}}$



Equilibrium Green Functions

Dyson equation:

$$G^{R/A}(\omega) = G_0^{R/A}(\omega) + G_0^{R/A}(\omega)\Sigma^{R/A}(\omega)G^{R/A}(\omega)$$

Selfconsistent scheme:

- 0) Initialize $G^{R/A}(\omega) = G_0^{R/A}(\omega)$
 - 1) Calculate $G^{\lessgtr}(\omega)$ from $G^{R/A}(\omega)$
 - 2) Perform FFT for $G^{\lessgtr}(\omega)$: $\omega \rightarrow t$
 - 3) Calculate $\Sigma^{\lessgtr}(t)$ and $\Sigma^{R/A}(t)$
 - 4) Perform FFT for $\Sigma^{R/A}(t)$: $t \rightarrow \omega$
 - 5) Solve Dyson equation for $G^{R/A}(\omega)$
- Iterate until convergence

Example: GW self-energy

Diagrammatic representation:



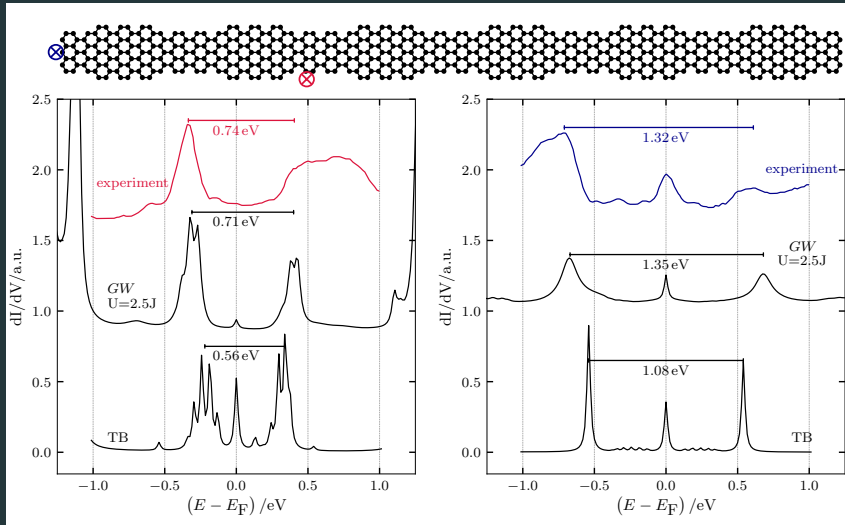
Advantages:

- summation of polarization-bubble diagrams
- moderate- to strong-coupling approximation
- accurate around half filling
- scaling: $\mathcal{O}(N_b^3 \cdot N_\omega \log(N_\omega))$

K. Balzer and M. Bonitz, Lecture Notes Phys. **867**, (2013)

N. Schlünzen, J.-P. Joost *et al.*, Phys. Rev. B **95**, 165139 (2017)

Local density of states of graphene nanoribbons: Bulk vs. End



Experiments: Rizzo et al. Nature, **560**, 204 (2018): topological states at the edges and at hetero-junctions
NEGF-GW-Hubbard simulations of 6 unit cells (768 atoms): Joost, Jauho, Bonitz, Nano Lett. **19**, 9045 (2019)
Failure of tight binding and Hartree-Fock results. Electronic correlations crucial for topological states

Multiple ground state solutions. “Löwdin’s symmetry dilemma”⁵

Dyson equation:

$$G^{R/A}(\omega) = G_0^{R/A}(\omega) + G_0^{R/A}(\omega)\Sigma^{R/A}(\omega)G^{R/A}(\omega)$$

Do NEGF simulations produce a Hubbard gap (as CI does)? Yes and No!

- SOA yields (at least) 3 ground states
- 1. **uniform** simulation: no gap, large E
- 2. **restricted spin**: small gap, lower E
- 3. **No restriction: accurate gap, best E**
- Allowing symmetry violations improves ground state energy and DOS
- Generalization of Löwdin’s Hartree-Fock result (P. Lykos, G. W. Pratt, RMP 1963)

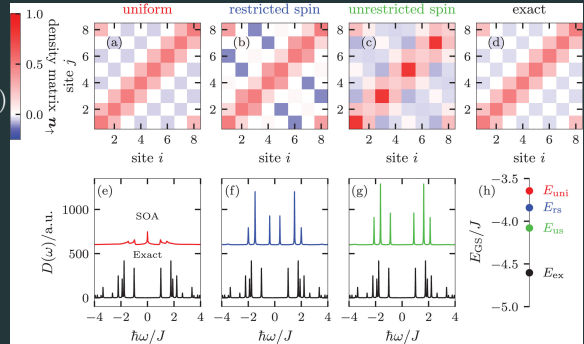


Figure 1: Ground-state properties of a periodic, half-filled Hubbard chain of length $L = 8$ and $U = 4 J$, within SOA. (a)–(d) Density matrix for a translationally invariant system (red), without imposing homogeneity but spin symmetry (blue), without both (green), and exact (CI solution without restrictions, black). (e)–(g) DOS, (h) Total ground-state energy for the three cases, compared to the exact result

⁵J.-P. Joost, M. Bonitz, C. Verdozzi *et al.*, *Contrib. Plasma Phys.* **62**, e202000220 (2021)

Nonequilibrium Green Functions (NEGF)

Second quantization

- Fock space $\mathcal{F} \ni |n_1, n_2 \dots\rangle$, $\mathcal{F} = \bigoplus_{N_0 \in \mathbb{N}} \mathcal{F}^{N_0}$, $\mathcal{F}^{N_0} \subset \mathcal{H}^{N_0}$
- $\hat{c}_i, \hat{c}_i^\dagger$ creates/annihilates a particle in single-particle orbital ϕ_i
- spin accounted for by canonical (anti-)commutation relations
$$\left[\hat{c}_i^{(\dagger)}, \hat{c}_j^{(\dagger)} \right]_{\mp} = 0, \quad \left[\hat{c}_i, \hat{c}_j^\dagger \right]_{\mp} = \delta_{i,j}$$
- Hamiltonian:
$$\hat{H}(t) = \underbrace{\sum_{k,m} h_{km}^0 \hat{c}_k^\dagger \hat{c}_m}_{\hat{H}_0} + \frac{1}{2} \underbrace{\sum_{k,l,m,n} w_{klmn}(t) \hat{c}_k^\dagger \hat{c}_l^\dagger \hat{c}_n \hat{c}_m}_{\hat{W}} + \hat{F}(t)$$

Particle interaction $w_{klmn}(t)$

- Coulomb interaction
- electronic correlations (adiabatic switch-on)

Time-dependent excitation $\hat{F}(t)$

- single-particle type
- em field, quench, particle impact etc.

Nonequilibrium Green Functions (contd.)

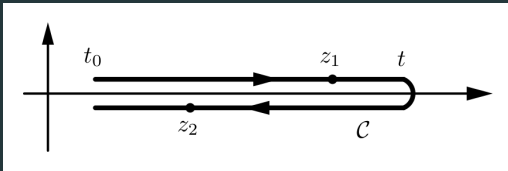
two times $z, z' \in \mathcal{C}$ ("Keldysh contour"), arbitrary one-particle basis $|\phi_i\rangle$

$$G_{ij}(z, z') = \frac{i}{\hbar} \langle \hat{T}_{\mathcal{C}} \hat{c}_i(z) \hat{c}_j^\dagger(z') \rangle$$

average with $\hat{\rho}_N$ (unperturbed)
 pure or mixed state

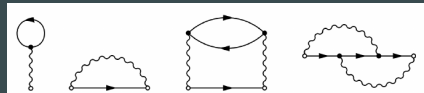
Keldysh–Kadanoff–Baym equations (KBE) on \mathcal{C} (2×2 matrix):

$$\sum_k \left\{ i\hbar \frac{\partial}{\partial z} \delta_{ik} - h_{ik}(z) \right\} G_{kj}(z, z') = \delta_{\mathcal{C}}(z, z') \delta_{ij} - i\hbar \sum_{klm} \int_{\mathcal{C}} d\bar{z} w_{iklm}(z^+, \bar{z}) G_{lmjk}(z, \bar{z}; z', \bar{z}^+)$$



KBE: first equation of Martin–Schwinger hierarchy for $G, G^{(2)} \dots G^{(n)}$ [and adjoint equation]

- $w G^{(2)} \longleftrightarrow \int_{\mathcal{C}} \Sigma G$, Selfenergy Σ
- Nonequilibrium Diagram technique
 Example: Hartree–Fock + Second Born selfenergy



- Correlation functions G^{\lessgtr} obey real-time KBE

$$\sum_l \left[i\hbar \frac{d}{dt} \delta_{i,l} - h_{il}^{\text{eff}}(t) \right] G_{lj}^{\lessgtr}(t, t') = I_{ij}^{(1),\lessgtr}(t, t'),$$

$$\sum_l G_{il}^{\lessgtr}(t, t') \left[-i\hbar \frac{d}{dt'} \delta_{l,j} - h_{lj}^{\text{eff}}(t') \right] = I_{ij}^{(2),\lessgtr}(t, t'),$$

with the effective single-particle **Hartree–Fock Hamiltonian**

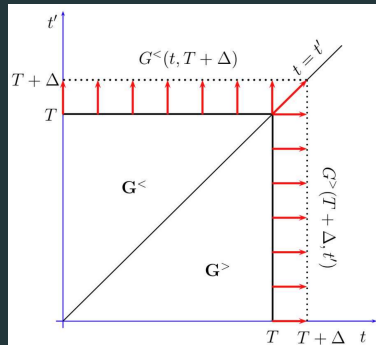
$$h_{ij}^{\text{eff}}(t) = h_{ij}^0 \pm i\hbar \sum_{kl} w_{ikjl}^{\pm} G_{lk}^{\lessgtr}(t)$$

and the collision integrals

$$I_{ij}^{(1),\lessgtr}(t, t') := \sum_l \int_{t_s}^{\infty} d\bar{t} \left\{ \Sigma_{il}^{\text{R}}(t, \bar{t}) G_{lj}^{\lessgtr}(\bar{t}, t') + \Sigma_{il}^{\lessgtr}(t, \bar{t}) G_{lj}^{\text{A}}(\bar{t}, t') \right\},$$

$$I_{ij}^{(2),\lessgtr}(t, t') := \sum_l \int_{t_s}^{\infty} d\bar{t} \left\{ G_{il}^{\text{R}}(t, \bar{t}) \Sigma_{lj}^{\lessgtr}(\bar{t}, t') + G_{il}^{\lessgtr}(t, \bar{t}) \Sigma_{lj}^{\text{A}}(\bar{t}, t') \right\}.$$

- numerically demanding due to N_t^3 scaling, but direct access to spectral observables



$$\rightarrow \mathcal{O}(N_t^3)$$

Conserving nonequilibrium selfenergy approximations⁶

Accuracy depends on coupling strength, density (filling)

Hartree–Fock (HF, mean field): $\sim w^1$

Second Born (2B): $\sim w^2$

GW : ∞ bubble summation,
dynamical screening effects

particle-particle T -matrix (TPP):

∞ ladder sum in pp channel

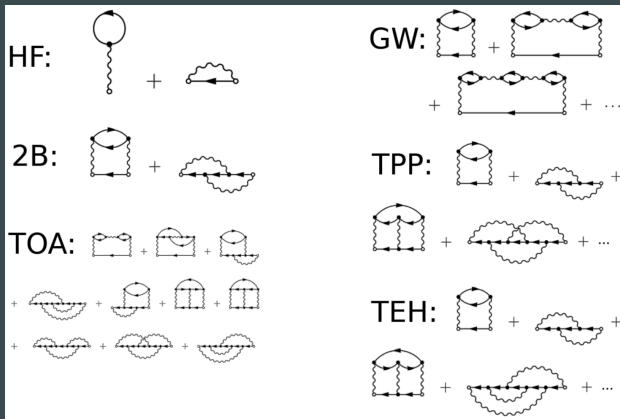
particle-hole T -matrix (TPH/TEH):

∞ ladder sum in ph channel

3rd order approx. (TOA): $\sim w^3$

dynamically screened ladder (DSL)*:

$\sim 2B + GW + TPP + TPH$



⁶tested against experiment, CI, DMRG: Schlünzen *et al.*, Phys. Rev. B **95**, 165139 (2017)

Review: Schlünzen *et al.*, J. Phys. Cond. Matt. **32**, 103001 (2020); *Joost *et al.*, PRB, 165155 (2022)

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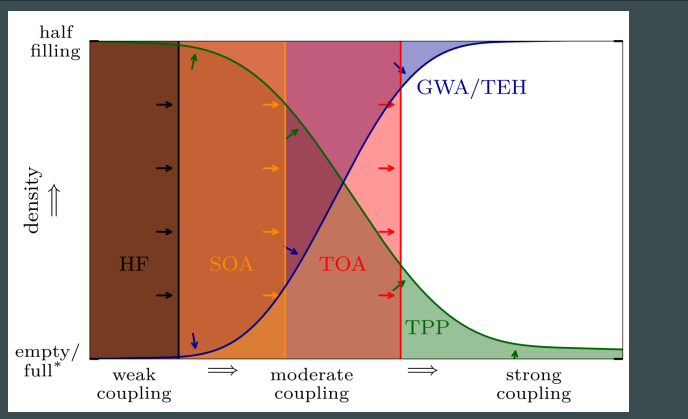
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Acceleration 1: the GKBA

Acceleration: Generalized Kadanoff–Baym Ansatz (GKBA)⁸

- originally for uniform systems (k - momentum)
- full propagation on the time diagonal ($I := I^<$):

$$i\hbar \frac{d}{dt} G_k^<(t) = [h^{\text{HF}}, G_k^<]_k(t) + [I + I^\dagger]_k(t)$$

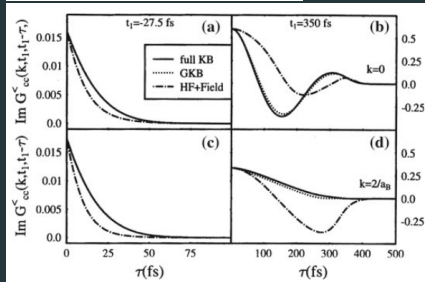
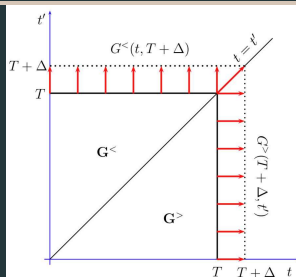
- reconstruct off-diagonal NEGF from time diagonal:

$$G_k^{\gtrless}(t, t') = \pm G_k^R(t, t') \rho_k^{\gtrless}(t') + \int_{t'}^t dt_1 \int_{-\infty}^{t'} dt_2$$

$$G_k^R(t, t_1) \left[\Sigma_k^R(t_1, t_2) G_k^{\gtrless}(t_2, t') + \Sigma_k^{\gtrless}(t_1, t_2) G_k^A(t_2, t') \right]$$

$$\text{for } t > t', \quad \text{with } \rho_k^{\gtrless}(t) = \pm i\hbar G_k^{\gtrless}(t, t)$$

- applied to optically excited semiconductors [6], laser plasmas, introducing gauge-invariant GKBA [7]
- quality of GKBA tested in [6], see figure



⁵ P. Lipavský, V. Špička, and B. Velický, Phys. Rev. B **34**, 6933 (1986);

[6] M. Bonitz *et al.*, J. Phys. Cond. Matt. **8**, 6057 (1996); N.H. Kwong *et al.*, phys. stat. sol. (b) **206**, 197 (1998)

[7] D. Kremp, Th. Bornath, M. Bonitz, and M. Schlages, Phys. Rev. E **60**, 4725 (1999)

- 2012: first application to inhomogeneous systems [7]

$$i\hbar \frac{d}{dt} G_{ij}^<(t) = [h^{\text{HF}}, G^<]_{ij}(t) + [I + I^\dagger]_{ij}(t)$$

- reconstruct off-diagonal NEGF from time diagonal:

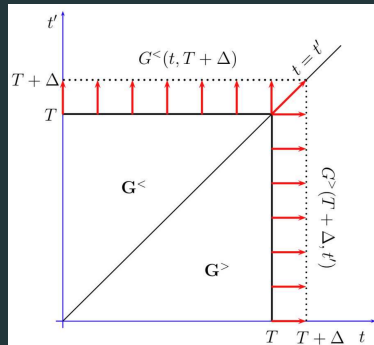
$$G_{ij}^{\gtrless}(t, t') = \pm \left[G_{ik}^{\text{R}}(t, t') \rho_{kj}^{\gtrless}(t') - \rho_{ik}^{\gtrless}(t) G_{kj}^{\text{A}}(t, t') \right]$$

$$\text{with } \rho_{ij}^{\gtrless}(t) = \pm i\hbar G_{ij}^{\gtrless}(t, t)$$

- HF-GKBA: use Hartree–Fock propagators for $G_{ij}^{\text{R/A}}$

$$G_{ij}^{\text{R/A}}(t, t') = \mp i\Theta(\pm[t - t']) \exp\left(-\frac{i}{\hbar} \int_{t'}^t d\bar{t} h_{\text{HF}}(\bar{t})\right) \Big|_{ij}$$

- conserves total energy
- applications to atoms, molecules, 2D quantum materials

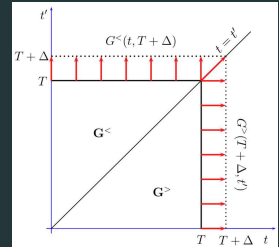


$$\mathcal{O}(N_t^2)$$

⁶ P. Lipavský, V. Špička, and B. Velický, Phys. Rev. B **34**, 6933 (1986);

[7] S. Hermanns, K. Balzer, and M. Bonitz, Phys. Scr. **2012**, 014036 (2012); K. Balzer and M. Bonitz, Lecture Notes in Physics **867** (2013)

- G. Stefanucci, R. van Leeuwen, Y. Pavlyukh, C. Verdozzi, A. Marini, and co-workers and many others
- atoms, molecules, quantum materials
- memory truncation^a
- long-time limit, transition to Boltzmann-type equations, retardation expansion^b
- transition from reversible to irreversible transport equations^c



^aW. Schäfer and M. Wegener, *Semiconductor Optics and Transport Phenomena*, Springer 2002, talk by M. Eckstein

^bM. Bonitz, *Quantum Kinetic Theory*, Teubner 1998

^cBonitz, Scharke, Schlünzen, *Contrib. Plasma Phys.* **58**, 1036 (2018)

Acceleration 2: the G1–G2 scheme

- quadratic/cubic scaling is caused by the structure of the collision integral

$$I_{ij}(t) = \sum_k \int_{t_0}^t d\bar{t} \left[\Sigma_{ik}^>(t, \bar{t}) G_{kj}^<(\bar{t}, t) - \Sigma_{ik}^<(t, \bar{t}) G_{kj}^>(\bar{t}, t) \right] =: \pm i\hbar \sum_{klp} w_{iklp}(t) \mathcal{G}_{lpjk}(t)$$

- example 2nd Born selfenergy:¹⁰

$$\Sigma_{ij}^{\gtrless}(t, t') = \pm (i\hbar)^2 \sum_{klpqr} w_{iklp}(t) w_{qrjs}^{\pm}(t') G_{lq}^{\gtrless}(t, t') G_{pr}^{\gtrless}(t, t') G_{sk}^{\lesseqgtr}(t', t)$$

- correlated part $\mathcal{G}(t)$ of 2-particle NEGF identified as

$$\mathcal{G}_{ijkl}(t) = i\hbar \sum_{pqrs} \int_{t_0}^t d\bar{t} w_{pqrs}^{\pm}(\bar{t}) \left[\mathcal{G}_{ijpq}^{\text{H},>}(t, \bar{t}) \mathcal{G}_{rskl}^{\text{H},<}(\bar{t}, t) - \mathcal{G}_{ijpq}^{\text{H},<}(t, \bar{t}) \mathcal{G}_{rskl}^{\text{H},>}(\bar{t}, t) \right]$$

with the two-particle Hartree Green function

$$\mathcal{G}_{ijkl}^{\text{H},\gtrless}(t, t') := G_{ik}^{\gtrless}(t, t') G_{jl}^{\gtrless}(t, t')$$

¹⁰N. Schlünzen, J.-P. Joost and M. Bonitz, Phys. Rev. Lett. **124**, 076601 (2020)

- two-particle SOA- \mathcal{G} in HF-GKBA with initial correlations

$$\mathcal{G}_{ijkl}(t) - \mathcal{G}_{ijkl}(t_0) = (i\hbar)^3 \sum_{pqrs} \int_{t_0}^t dt \bar{\mathcal{U}}_{ijpq}^{(2)}(t, \bar{t}) \Psi_{pqrs}^{\pm}(\bar{t}) \mathcal{U}_{rskl}^{(2)}(\bar{t}, t)$$

with the single-time source term (no longer depends on the outer time)

$$\Psi_{ijkl}^{\pm}(t) = (i\hbar)^2 \sum_{pqrs} w_{pqrs}^{\pm}(t) \left[\mathcal{G}_{ijpq}^{\text{H},>}(t, t) \mathcal{G}_{rskl}^{\text{H},<}(t, t) - \mathcal{G}_{ijpq}^{\text{H},<}(t, t) \mathcal{G}_{rskl}^{\text{H},>}(t, t) \right]$$

and the two-particle Hartree-Fock time-evolution operators obeying Schrödinger-type EOMs

$$\begin{aligned} \frac{d}{dt} \left[\mathcal{U}_{ijkl}^{(2)}(t, \bar{t}) \right] &= \frac{1}{i\hbar} \sum_{pq} h_{ijpq}^{(2),\text{HF}}(t) \mathcal{U}_{pqkl}^{(2)}(t, \bar{t}) \\ \frac{d}{dt} \left[\mathcal{U}_{ijkl}^{(2)}(\bar{t}, t) \right] &= -\frac{1}{i\hbar} \sum_{pq} \mathcal{U}_{ijpq}^{(2)}(\bar{t}, t) h_{pqkl}^{(2),\text{HF}}(t) \end{aligned}$$

with the effective two-particle Hamiltonian

$$h_{ijkl}^{(2),\text{HF}}(t) = \delta_{jl} h_{ik}^{\text{HF}}(t) + \delta_{ik} h_{jl}^{\text{HF}}(t)$$

- **full propagation** on the time diagonal, as for ordinary HF-GKBA:

$$i\hbar \frac{d}{dt} G_{ij}^<(t) = [h^{\text{HF}}, G^<]_{ij}(t) + [I + I^\dagger]_{ij}(t)$$

- but collision integral defined by correlated two-particle Green function

$$I_{ij}(t) = \pm i\hbar \sum_{klp} w_{iklp}(t) \mathcal{G}_{lpjk}(t)$$

- which obeys an ordinary (**time-local**) differential equation

$$i\hbar \frac{d}{dt} \mathcal{G}_{ijkl}(t) = [h^{(2),\text{HF}}, \mathcal{G}]_{ijkl}(t) + \Psi_{ijkl}^\pm(t)$$

- two initial values:

$$G_{ij}^{0,<} = \pm \frac{1}{i\hbar} n_{ij}(t_0) =: \pm \frac{1}{i\hbar} n_{ij}^0,$$

$$\mathcal{G}_{ijkl}^0 = \frac{1}{(i\hbar)^2} \{ n_{ijkl}^0 - n_{ik}^0 n_{jl}^0 \mp n_{il}^0 n_{jk}^0 \},$$

i.e. density matrix and pair correlations existing in the system at the initial time $t = t_0$
Correlated initial state generated by adiabatic switching, starting from $\mathcal{G} = 0$.



¹¹N. Schlünzen, J.-P. Joost, and M. Bonitz, Phys. Rev. Lett. **124**, 076601 (2020)

- other selfenergy approximations can be reformulated in the G1–G2 scheme in similar fashion:¹²

$$i\hbar \frac{d}{dt} \mathcal{G}_{ijkl}(t) = \left[h^{(2),\text{HF}}(t), \mathcal{G}(t) \right]_{ijkl} + \Psi_{ijkl}^{\pm}(t) + \underbrace{L_{ijkl}(t)}_{\text{TPP}} + \underbrace{P_{ijkl}(t)}_{\text{GW}} \pm \underbrace{P_{jikl}(t)}_{\text{TPH}}$$

$$L_{ijkl} := \sum_{pq} \left\{ h_{ijpq}^L \mathcal{G}_{pqkl} - \mathcal{G}_{ijpq} \left[h_{klpq}^L \right]^* \right\}, \quad h_{ijkl}^L := (i\hbar)^2 \sum_{pq} \left[\mathcal{G}_{ijpq}^{\text{H},>} - \mathcal{G}_{ijpq}^{\text{H},<} \right] w_{pqkl},$$

$$P_{ijkl} := \sum_{pq} \left\{ h_{qjpl}^{\text{II}} \mathcal{G}_{piqk} - \mathcal{G}_{qjpl} \left[h_{qkpi}^{\text{II}} \right]^* \right\}, \quad h_{ijkl}^{\text{II}} := \pm (i\hbar)^2 \sum_{pq} w_{qipk}^{\pm} \left[\mathcal{G}_{jplq}^{\text{F},>} - \mathcal{G}_{jplq}^{\text{F},<} \right]$$

and the Hartree/Fock (H/F) two-particle Green functions

$$\mathcal{G}_{ijkl}^{\text{H},\gtrless}(t) := G_{ik}^{\gtrless}(t,t) G_{jl}^{\gtrless}(t,t), \quad \mathcal{G}_{ijkl}^{\text{F},\gtrless}(t) := G_{il}^{\gtrless}(t,t) G_{jk}^{\lesseqgtr}(t,t)$$

- Dynamically-screened-ladder (DSL) approximation:** TPP + GW + TPH diagrams. No explicit selfenergy known.¹³ Nonequilibrium generalization of ground state results (Bethe-Salpeter equation, Wang-Cassing or Valdemoro approximation, $\mathcal{G}^3 = 0$)

¹²J.-P. Joost, N. Schlünzen, and M. Bonitz, PRB **101**, 245101 (2020), [Joost et al., PRB **105**, 165155 \(2022\)](#)

¹³J.-P. Joost, PhD thesis, Kiel University 2023

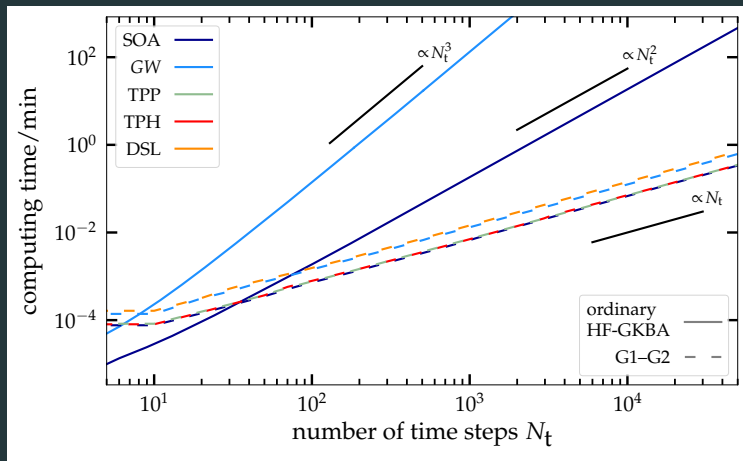
- G1–G2 scheme recovers conserving selfenergy approximations but contains more options¹⁴
- Exchange diagrams require special treatment (second lines)

approximation	G1–G2 notation	Selfenergy
SOA	Ψ^0	Σ^{SOA}
	Ψ^\pm	$\Sigma^{\text{SOA}} + \Sigma_x^{\text{SOA}}$
TPP	$\Psi^0 + L^0$	Σ^{TPP}
	$\Psi^\pm + L$	$\Sigma^{\text{TPP}} + \Sigma_x^{\text{TPP}}$
GW	$\Psi^0 + \Pi^0$	Σ^{GW}
	$\Psi^\pm + \Pi^\pm$	–
DSL	$\Psi^0 + \Pi^0 + L^0$	–
	$\Psi^\pm + \Pi^\pm + L$	–
TOA	$\Psi^\pm + \Pi^\pm [G_2^{\text{SOA}}]_+ + L [G_2^{\text{SOA}}]$	Σ^{TOA}

Table 1: Correspondence of many-body approximations of Green functions (correlation selfenergies) and reduced density operators (terms in the \mathcal{G}_2 -equation) and their defining equations

¹⁴J.-P. Joost, N. Schlünzen, and M. Bonitz, PRB **101**, 245101 (2020), [Joost et al., PRB **105**, 165155 \(2022\)](#)

- time-linear scaling achieved quickly. Dramatic gain compared to ordinary HF-GKBA
- Complex selfenergies: little overhead to SOA. Example: 10-site Hubbard chain



CPU time of G1–G2: dependence on Basis type and dimension N_b

Basis		SOA	GW	TPP	DSL
general w_{ijkl}	G1–G2 CPU time	$N_b^5 N_t$	$N_b^6 N_t$	$N_b^6 N_t$	$N_b^6 N_t$
	speedup vs HF-GKBA	N_t	N_t^2	N_t^2	–
Hubbard U	G1–G2 CPU time	$N_b^4 N_t$	$N_b^4 N_t$	$N_b^4 N_t$	$N_b^4 N_t$
	speedup vs HF-GKBA	N_t/N_b	N_t^2/N_b	N_t^2/N_b	–
jellium $\tilde{w}(q)$	G1–G2 CPU time	$N_b^3 N_t$	$N_b^3 N_t$	$N_b^4 N_t$	$N_b^4 N_t$
	speedup vs HF-GKBA	N_t	N_t^2	N_t^2/N_b	–

- largest speedup against HF-GKBA: general basis and jellium
- Hubbard: basis size disadvantage, but still huge gain
- DSL impossible with standard HF-GKBA or 2-time NEGF

CPU time of G1–G2: dependence on Basis type and dimension N_b

Basis		SOA	GW	TPP	DSL
general	G1–G2 CPU time	$N_b^5 N_t$	$N_b^6 N_t$	$N_b^6 N_t$	$N_b^6 N_t$
w_{ijkl}	speedup vs HF-GKBA	N_t	N_t^2	N_t^2	–
\mathcal{G}_{ijkl}	G1–G2 RAM	N_b^4	N_b^4		
Hubbard	G1–G2 CPU time	$N_b^4 N_t$	$N_b^4 N_t$	$N_b^4 N_t$	$N_b^4 N_t$
U	speedup vs HF-GKBA	N_t / N_b	N_t^2 / N_b	N_t^2 / N_b	–
\mathcal{G}_{ijkl}	G1–G2 RAM	N_b^4			
jellium	G1–G2 CPU time	$N_b^3 N_t$	$N_b^3 N_t$	$N_b^4 N_t$	$N_b^4 N_t$
$\tilde{w}(q)$	speedup vs HF-GKBA	N_t	N_t^2	N_t^2 / N_b	–
$\mathcal{G}_{\vec{p}_1, \vec{p}_2, \vec{q}}$	G1–G2 RAM	$N_b^{3 \cdot d}$	$N_b^{3 \cdot d}$		

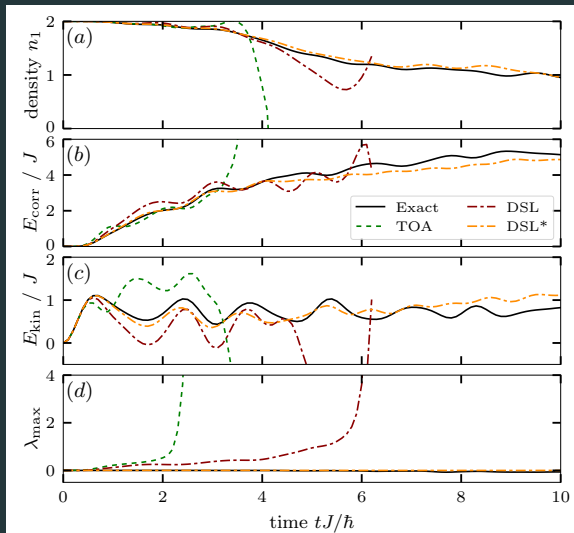
Drawbacks of G1–G2 scheme:

- large RAM for storage of instantaneous \mathcal{G} (e.g. jellium only possible in 1D¹⁵)
- propagation of two not fully independent equations (trace consistency between G_1 and G_2)
 \Rightarrow possible way around: quantum fluctuations approach (talk of Erik Schroedter)¹⁶
- possible instabilities for long times and/or strong coupling (requires regularization)

¹⁵C. Makait, F. Borges-Fajardo, and M. Bonitz, Contrib. Plasma Phys. e202300008 (2023)

¹⁶E. Schroedter, J.-P. Joost, and M. Bonitz, Cond. Matt. Phys. **25**, 23401 (2022)

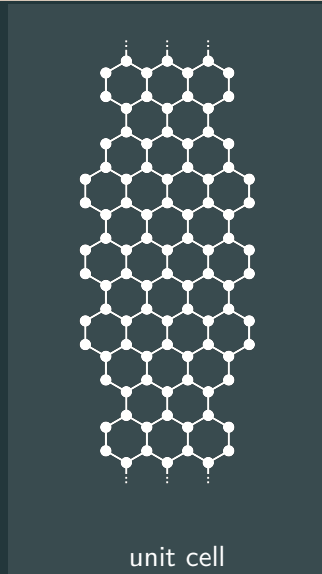
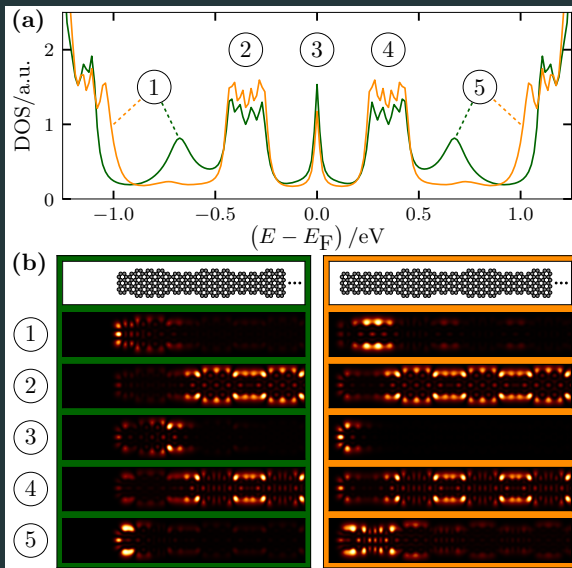
- instability related to violation of N-representability [Coleman, Maziotti] and of trace consistency [also discussed by Akbari, van Leeuwen *et al.*, PRB 2012]
- formal source: positive eigenvalues, $0 < \lambda \leq \lambda_{\max}$, of two-particle Green function
- problem similar to positive definiteness of spectral functions [Stefanucci, van Leeuwen *et al.*]
- “purification” procedure and trace consistency restoration: Lackner *et al.*, PRA 2015, 2017 and J.-P. Joost, PhD thesis, Kiel 2022
- **Open questions remain**



6-site Hubbard chain at half filling, $U/J = 4$, initially sites 1–3 doubly occupied, $t = 0$: confinement quench [Joost *et al.*, PRB **105**, 165155 (2022)]

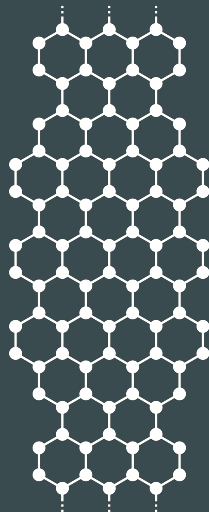
Nonequilibrium dynamics of 2D quantum materials

Excitation of Topological Edge States¹⁷

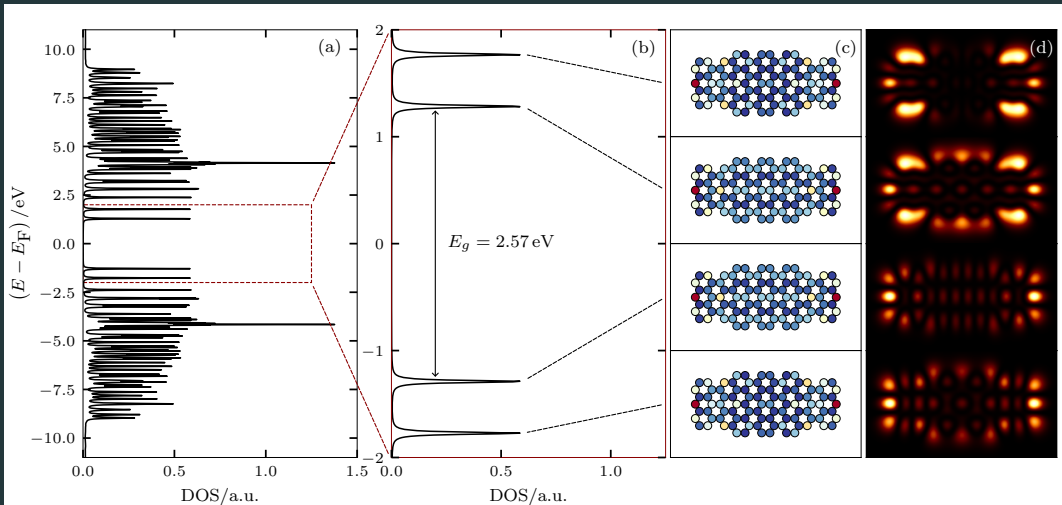


¹⁷J.-P. Joost, A.-P. Jauho, and M. Bonitz, *Nano Lett.* **19**, 9045 (2019)

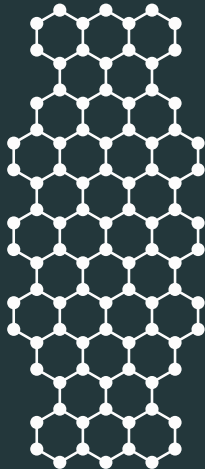
- one unit cell with 96 lattice sites
- nonequilibrium dynamics using the G1–G2 scheme with DSL + purification
- 96 lattice sites just fit in the memory of a V100 GPU on the CAU-NEC cluster (for now largest system we can consider)
- propagation time not an issue due to linear scaling



unit cell



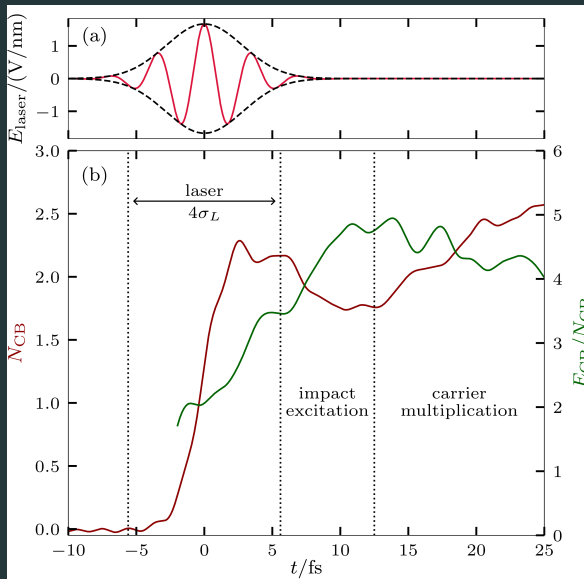
¹⁸J.-P. Joost, PhD thesis, Kiel University 2022

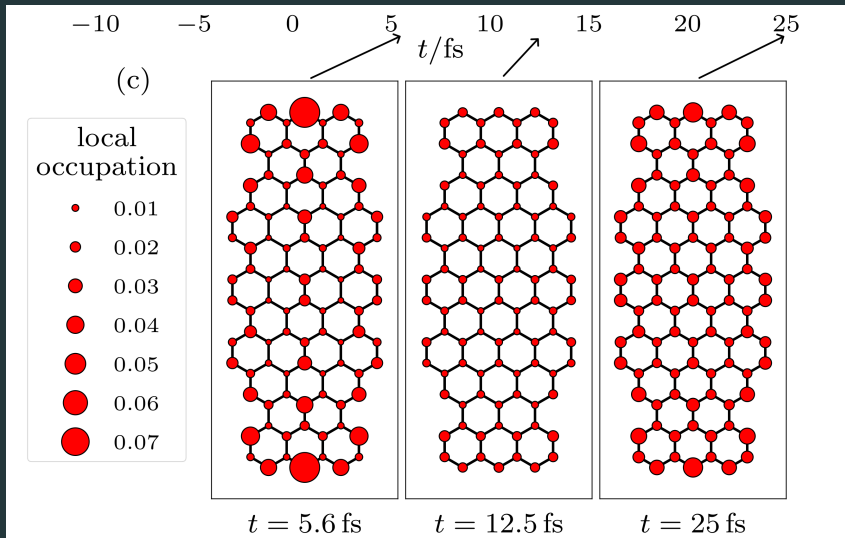


Laser parameters

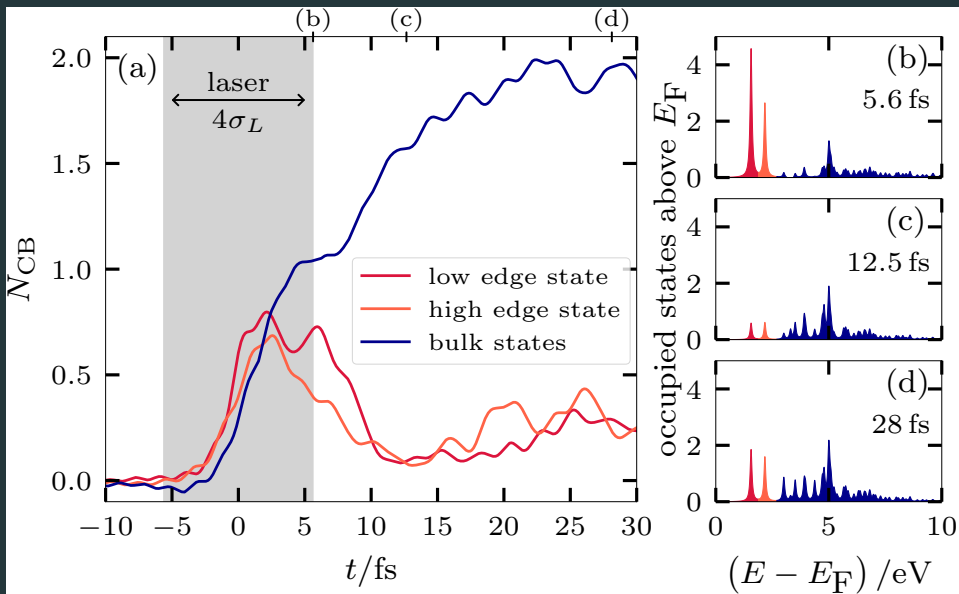
- dipole approx. (wavelength μm , system nm)
- $U_{\text{pot}} = -\vec{E}_{\text{Laser}} \cdot \vec{x}$
- $E_{\text{Laser}} = E_0 \exp\left(-\frac{(t-t_0)^2}{2\sigma_L^2}\right)$
- $E_0 = (6 - 60) V/\mu m$
- $\omega_L = (0.1 - 3.0) J \approx (0.2 - 7.0) eV$
- $\sigma_L = 10 J^{-1} \approx 3 \text{ fs}$
- fluence $F = (0.9 - 122) mJ/cm^2$
- polarizations: \parallel, \perp, \circ

Idea: try site-selective excitation (topological states), even though laser field (nearly) uniform across GNR [J.-P. Joost, PhD thesis, Kiel University 2022]

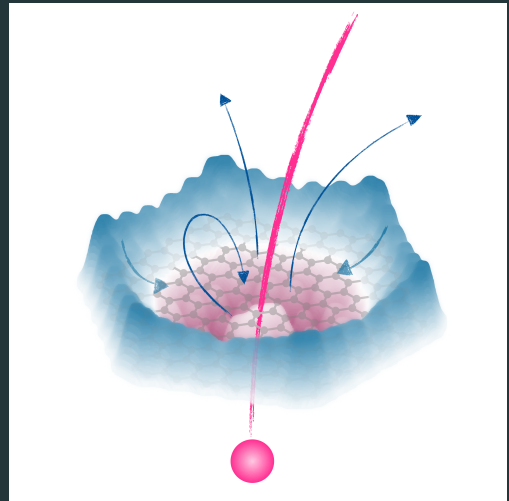
¹⁹J.-P. Joost, PhD thesis, Kiel University 2022



²⁰J.-P. Joost, PhD thesis, Kiel University 2022

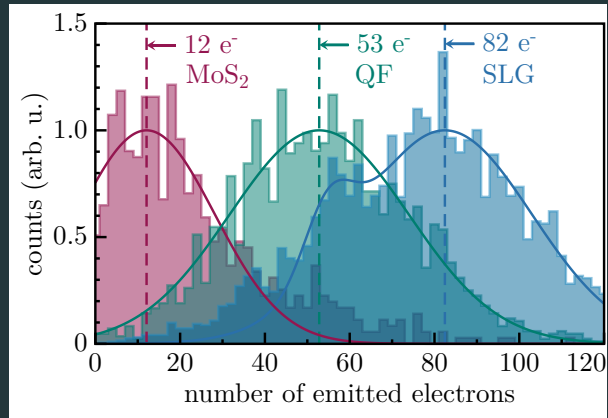


- of interest for plasma-surface interaction, e.g. Kiel CRC initiative of J. Benedikt
- Extreme cases: experiments with highly charged ions at TU Vienna (R. Wilhelm)
- Xe^{Z+} ion penetrates monolayers of graphene and MoS_2 , $Z = 20 \dots 40$
- ultrafast emission of slow electrons into vacuum: $\sim 20 \dots 80$ electrons per ion!
- complex charge transfer: interatomic Coulomb decay (Auger-type process)



²¹A. Niggas *et al.*, Phys. Rev. Lett. **129**, 086802 (2022), Editors' Choice

- Experiment: same ion causes emission of 7 times more electrons from graphene (SLG) than from MoS₂ (QF: target support)
- Ion acts as sensitive probe of the material with single-site resolution
- theoretical explanation requires sub-femtosecond resolution of electronic correlations



²²A. Niggas *et al.*, Phys. Rev. Lett. **129**, 086802 (2022), Editors' Choice

NEGF embedding scheme. Fast time-local formulation²³

²³K. Balzer, N. Schlünzen, H. Ohldag, J.-P. Joost, and M. Bonitz, Phys. Rev. B **107**, 155141 (2023)

Idea: Physical system (s) embedded in (“large”) environment (e) that is treated in simplified manner

I. Standard Thermodynamic approach: Averaging over degrees of freedom of environment, loss of information (Zubarev, Lindblad and others): $\hat{\rho}_s = \text{Tr}_e \hat{\rho}_{s+e}$, no access to dynamics of “e”

II. NEGF embedding idea: No averaging. Dynamical approach to system and environment plus coupling. Typically, “e” is treated as non-interacting, e.g. *Stefanucci, van Leeuwen* book. Examples:

- electron transport between leads (= environment, e)
- ionization of atoms (continuum state = e), *Covito et al.*
- resonant charge transfer between ion (= e) impacting target, *Bonitz et al.*, *Front. Chem. Sciences Engin.* **13**, 201-237 (2019);
model for highly charged ions: *Balzer and Bonitz*, *CPP* **62**, e202100041 (2021)

NEGF formulation:

$$\Omega = \{s, e\} : \quad H_{\text{total}} = \sum_{\alpha\beta \in \Omega} \sum_{ij} h_{ij}^{\alpha\beta}(t) c_i^{\alpha\dagger} c_j^{\beta} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta \in \Omega} \sum_{ijkl} w_{ijkl}^{\alpha\beta\gamma\delta} c_i^{\alpha\dagger} c_j^{\beta\dagger} c_k^{\gamma} c_l^{\delta}.$$

NEGF, density matrix : $G_{ij}^{\alpha\beta}(t, t') = -i \langle T_C c_i^{\alpha}(t) c_j^{\beta\dagger}(t') \rangle$, $\rho_{ij}^{\alpha\beta}(t) = -i G_{ji}^{\beta\alpha}(t, t^+)$,

Short notation : $G_{ij}^{\alpha\alpha}(t, t') \rightarrow G_{ij}^{\alpha}(t, t')$

Approximations: neglect correlations in environment and s-e coupling: $\Sigma^e \rightarrow 0$, $\Sigma^{se} \rightarrow 0$

Keldysh-Kadanoff-Baym equations of total system including coupling (se) terms:

$$\left\{ i\partial_t \delta_{ik} - h_{ik}^{\text{HF},s}(t) \right\} G_{kj}^s(t, t') = h_{ik}^{\text{HF},se}(t) G_{kj}^{\text{es}}(t, t') + \delta_{ij} \delta_C(t, t') + \int_C d\bar{t} \Sigma_{ik}^s(t, \bar{t}) G_{kj}^s(\bar{t}, t'). \quad (1)$$

$$\left\{ i\partial_t \delta_{ik} - h_{ik}^{\text{HF},e}(t) \right\} G_{kj}^{\text{es}}(t, t') = h_{ik}^{\text{HF},es}(t) G_{kj}^s(t, t'), \quad (2)$$

$$\left\{ i\partial_t \delta_{ik} - h_{ik}^{\text{HF},e}(t) \right\} g_{kj}^e(t, t') = \delta_{ij} \delta_C(t, t'). \quad (3)$$

Idea: rewrite effect of environment as additional selfenergy for G^s : Eq. (3) defines inverse GF:

$\sum_{\underline{k}} \int_C d\bar{t} g_{ik}^{e,-1}(t, \bar{t}) g_{kj}^e(\bar{t}, t') = \delta_{ij} \delta_C(t, t')$, with the results:

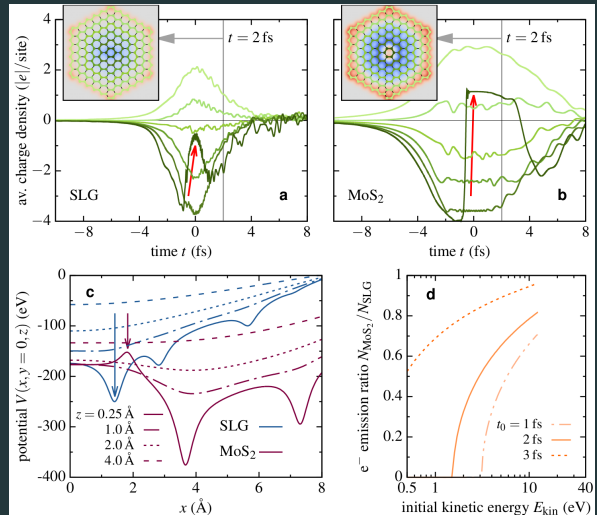
$$g_{ik}^{e,-1}(t, \bar{t}) = \left\{ i\partial_t \delta_{ik} - h_{ik}^{\text{HF},e}(t) \right\} \delta_C(t, \bar{t}), \quad G_{kj}^{\text{es}}(t, t') = \int_C d\bar{t} g_{kj}^e(t, \bar{t}) h_{ik}^{\text{HF},es}(\bar{t}) G_{kj}^s(\bar{t}, t'),$$

$$h_{ik}^{\text{HF},se}(t) G_{kj}^{\text{es}}(t, t') = \int_C d\bar{t} \Sigma_{il}^{\text{emb}}(\bar{t}, t') G_{lj}^s(t, t'), \quad \text{eliminate } G^{\text{es}} \text{ from (1)}$$

$$\Sigma_{ij}^{\text{emb}}(t, t') = \sum_{kl} h_{ik}^{\text{HF},se}(t) g_{kl}^e(t, t') h_{lj}^{\text{HF},es}(t'), \quad h_{ij}^{\text{HF},se}(t) = \int d^3r \phi_i^{s*}(\vec{r}) (\hat{T} + \hat{V}^{\text{HF}}) \chi_j^e(\vec{r}; t).$$

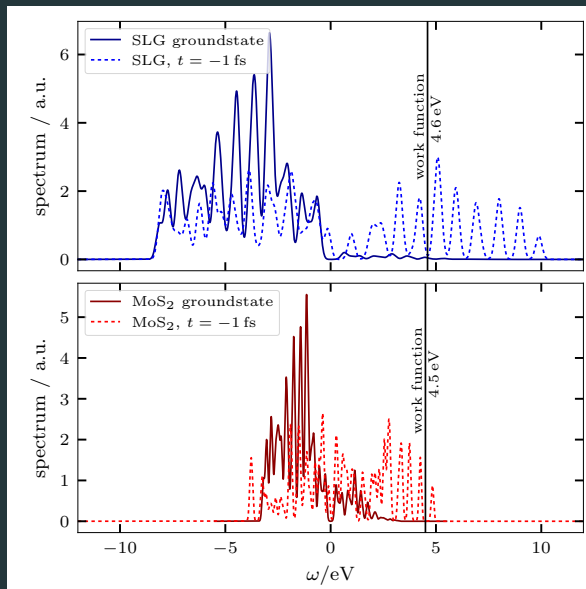
\Rightarrow retain closed equation (1) for system NEGF with total selfenergy $\Sigma^S + \Sigma^{\text{emb}}$

- NEGF simulations by Karsten Balzer (216 sites)
- initially all lattice sites half filled (uncharged, 4 bands)
- ion attracts electrons towards impact point (center), depletion of outer honeycombs
- resonant charge transfer from innermost ring to ion (red arrow)
- strongly differing induced potential in both materials
- emitted electrons (via ICD) will be accelerated away from SLG, but attracted back to MoS₂, explaining findings in experiment



²⁴A. Niggas *et al.*, Phys. Rev. Lett. **129**, 086802 (2022), Editors' Choice

- G1–G2 simulations by Niclas Schlünzen applying Koopmans' theorem (without charge transfer)
- significantly stronger excitation of electrons in graphene than in MoS₂ [−1fs: just before charge transfer]
- reproduces experimental trends of different number of ionized electrons despite similar work functions
- Reason for different material behaviors: higher electron mobility and larger bandwidth of SLG
- but: so far only TDHF simulations



²⁵A. Niggas *et al.*, Phys. Rev. Lett. **129**, 086802 (2022), Editors' Choice

Equation for $G^{s<}$ on time diagonal:

$$i\partial_t G_{ij}^{s<}(t) - [h^{\text{HF},s}, G^{<}]_{ij,t}^s = (I(t) + I^\dagger(t))_{ij}, \quad I_{ij}(t) = I_{ij}^{\text{cor}}(t) + I_{ij}^{\text{emb}}(t), \quad (4)$$

$$I_{ij}(t) = \int_{t_0}^t d\bar{t} \{ \Sigma_{ik}^>(t, \bar{t}) G_{kj}^{s<}(\bar{t}, t) - \Sigma_{ik}^<(t, \bar{t}) G_{kj}^{s>}(\bar{t}, t) \}, \quad \Sigma^{\geq} = \Sigma^{\text{cor}, \geq} + \Sigma^{\text{emb}, \geq} \quad (5)$$

Apply Hartree-Fock-GKBA:

$$G_{ij}^{\geq}(t, t') = i \left[G_{ik}^{\text{R}}(t, t') G_{kj}^{\geq}(t') - G_{ik}^{\geq}(t) G_{kj}^{\text{A}}(t, t') \right], \quad (6)$$

Time differentiation of I^{cor} yields equation for 2-particle NEGF²⁶ (example second Born approx.):

$$i\partial_t \mathcal{G}_{ijkl}(t) - \left[h^{(2),\text{HF}}(t), \mathcal{G}(t) \right]_{ijkl} = \Psi_{ijkl}^\pm(t), \quad (7)$$

$$h_{ijkl}^{(2),\text{HF}}(t) = h_{ik}^{\text{HF}}(t) \delta_{jl} + h_{jl}^{\text{HF}}(t) \delta_{ik}, \quad \mathcal{G}_{ijkl}^{\text{H}, \geq}(t) := G_{ik}^{\geq}(t, t) G_{jl}^{\geq}(t, t), \quad (8)$$

$$\Psi_{ijkl}^\pm(t) = i^2 \sum_{pqrs} w_{pqrs}^\pm(t) \{ \mathcal{G}_{ijpq}^{\text{H}, >} \mathcal{G}_{rskl}^{\text{H}, <} - (>\leftrightarrow<) \}_t, \quad (9)$$

²⁶Schluzen et al., Phys. Rev. Lett. **124**, 076601 (2020)

²⁷Balzer et al., Phys. Rev. B **107**, 155141 (2023)

Equation for $G^{s<}$ on time diagonal contains additional “embedding” collision integral:

$$i\partial_t G_{ij}^{s<}(t) - [h^{\text{HF},s}, G^{s<}]_{ij,t}^s = (I(t) + I^\dagger(t))_{ij}, \quad I_{ij}(t) = I_{ij}^{\text{cor}}(t) + I_{ij}^{\text{emb}}(t), \quad (10)$$

$$I_{ij}(t) = \int_{t_0}^t d\bar{t} \{ \Sigma_{ik}^>(t, \bar{t}) G_{kj}^{s<}(\bar{t}, t) - \Sigma_{ik}^<(t, \bar{t}) G_{kj}^{s>}(\bar{t}, t) \}, \quad \Sigma^{\geq} = \Sigma^{\text{cor}, \geq} + \Sigma^{\text{emb}, \geq} \quad (11)$$

Time differentiation of $I^{\text{emb}} = h^{\text{HF}, \text{se}} G^{\text{se}, <}$ yields equation for charge transfer NEGF, $G^{\text{es}, <}$:

$$G_{ij}^{\text{es}, <}(t) = \int_{t_0}^t d\bar{t} h_{\underline{k}\underline{l}}^{\text{HF}, \text{es}}(\bar{t}) \left[g_{\underline{i}\underline{k}}^{\text{e}, >}(t, \bar{t}) G_{lj}^{s, <}(\bar{t}, t) - g_{\underline{i}\underline{k}}^{\text{e}, <}(t, \bar{t}) G_{lj}^{s, >}(\bar{t}, t) \right].$$

$$i \frac{d}{dt} G_{ij}^{\text{es}, <}(t) - (h^{\text{HF}, \text{e}}, G^{\text{es}, <})_{ij,t}^{\text{e}} + (G^{\text{es}, <} h^{\text{HF}, \text{s}})_{ij,t}^{\text{s}} = (h^{\text{HF}, \text{es}}, G^{s, <})_{ij,t}^{\text{s}} - (g^{\text{e}, <} h^{\text{HF}, \text{es}})_{ij,t}^{\text{e}}.$$

$$i \frac{d}{dt} g_{ij}^{\text{e}, <}(t) = [h^{\text{HF}, \text{e}}, g^{\text{e}, <}]_{ij,t}^{\text{e}}, \quad (AB)_{ij,t}^{s, \text{e}} = \sum_{k \in s, \text{e}} A_{ik}(t) B_{kj}(t),$$

²⁸Balzer et al., Phys. Rev. B **107**, 155141 (2023)

Numerical test: charge transfer between a Hubbard chain + 1 site

Hubbard chain ($i = 1 \dots L$) with Hartree interaction, $\langle \hat{n}_i^s \rangle(t) = -iG_{ii}^{rs, <}(t)$

$$h_{ij}^{\text{HF}, s}(t) = -J\delta_{\langle i, j \rangle} + \delta_{ij} U \left(\langle \hat{n}_i^s \rangle(t) - \frac{1}{2} \right),$$

time-dependent charge transfer to site "0"

$$h_{i0}^{\text{se}}(t) = \delta_{i1} \gamma_0 \cdot e^{-(t-t_\gamma)^2/2\tau_\gamma^2}, \quad t_0 = J^{-1}$$

K. Balzer and M. Bonitz, Contrib. Plasma Phys. 62 (2021)

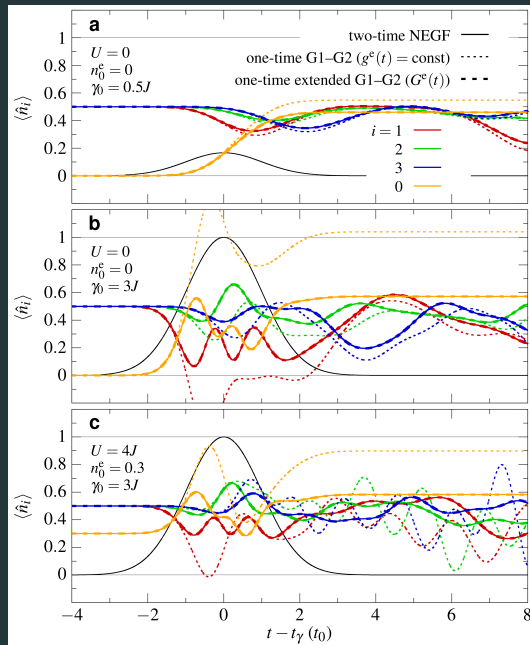
Figure for $L = 6$ and three s-e couplings γ_0

black line: charge transfer pulse

full lines: two-time embedding scheme

dotted lines: present G1-G2 scheme

unexpected failure for large γ_0 !



- for large charge transfer amplitude γ_0 , simulations yield negative site occupations, occupation of target site (“environment”) exceeds 1
- occurs already for the simplest case (correlations turned off)
- the original NEGF simulations have built in conservation laws (energy, particle number) and spin statistics (Pauli principle)
- the HF-GKBA (and the G1-G2 scheme) do not violate conservation laws
- no problems observed in two-time embedding equations for arbitrary γ_0 , exact agreement with KBE for full system

⇒ Need to reconsider treatment of charge transfer in time-local G1–G2 equations.

Need to extend the environment equation and the Hartree-Fock-GKBA:

$$\left\{ i\partial_t \delta_{\underline{ik}} - h_{\underline{ik}}^{\text{HF},e}(t) \right\} G_{\underline{kj}}^e(t, t') = \delta_{\underline{ij}} \delta_C(t, t') + h_{\underline{ik}}^{\text{HF},es}(t) G_{\underline{kj}}^{\text{se}}(t, t') \quad (12)$$

$$G_{\underline{ij}}^{\text{s}\geq}(t, t') = i \left[G_{\underline{ik}}^{\text{sR}}(t, t') G_{\underline{kj}}^{\text{s}\geq}(t') - G_{\underline{ik}}^{\text{s}\geq}(t) G_{\underline{kj}}^{\text{sA}}(t, t') \right] \\ + i \left[G_{\underline{ik}}^{\text{seR}}(t, t') G_{\underline{kj}}^{\text{es}\geq}(t') - G_{\underline{ik}}^{\text{se}\geq}(t) G_{\underline{kj}}^{\text{esA}}(t, t') \right], \quad (13)$$

Result: extended time-local embedding equations:

$$i \frac{d}{dt} G_{\underline{ij}}^{\text{es},<}(t) = \left(h^{\text{HF},es} G^{\text{s},<} \right)_{\underline{ij},t}^{\text{s}} - \left(G^{\text{e},<} h^{\text{HF},es} \right)_{\underline{ij},t}^{\text{e}} + \left(h^{\text{HF},e} G^{\text{es},<} \right)_{\underline{ij},t}^{\text{e}} - \left(G^{\text{es},<} h^{\text{HF},s} \right)_{\underline{ij},t}^{\text{s}}, \quad (14)$$

$$i \frac{d}{dt} G_{\underline{ij}}^{\text{e},<}(t) = \left[h^{\text{HF},e}, G^{\text{e},<} \right]_{\underline{ij},t}^{\text{e}} + \left(h^{\text{HF},es} G^{\text{se},<} \right)_{\underline{ij},t}^{\text{s}} - \left(G^{\text{es},<} h^{\text{HF},se} \right)_{\underline{ij},t}^{\text{s}}. \quad (15)$$

Comments:

- Eq. (14) remains unchanged, with substitution $g^e \rightarrow G^e$
- Main change: yellow terms in Eq. (15), this restores conservation laws
- **Advantage of two-time version of embedding:** G^e not needed at all, only g^e .

²⁹Balzer et al., Phys. Rev. B **107**, 155141 (2023)

Test of the extended time-local embedding scheme

Hubbard chain ($L = 6$) with Hartree interaction, $\langle \hat{n}_i^s \rangle(t) = -iG_{ii}^{s,<}(t)$

$$h_{ij}^{\text{HF},s}(t) = -J\delta_{\langle i,j \rangle} + \delta_{ij} U \left(\langle \hat{n}_i^s \rangle(t) - \frac{1}{2} \right),$$

time-dependent charge transfer to site "0"

$$h_{i0}^{\text{se}}(t) = \delta_{i1} \gamma_0 \cdot e^{-(t-t_0)^2/2\tau_\gamma^2}, \quad t_0 = J^{-1}$$

black line: charge transfer pulse

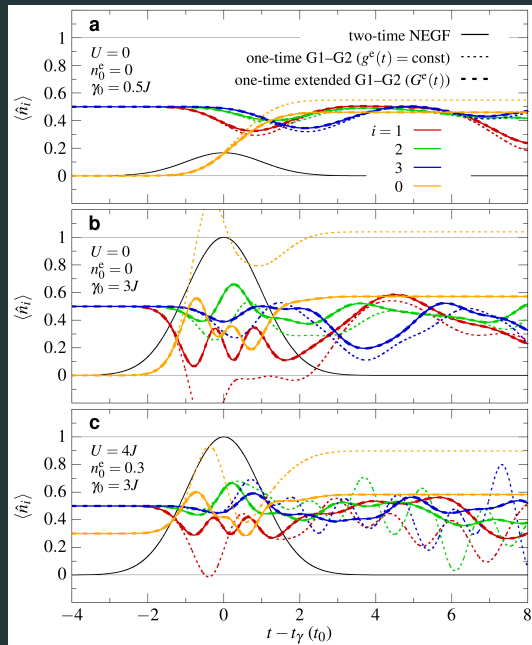
full lines: two-time embedding scheme

dots: standard G1-G2 embedding scheme

extended scheme: dashes

correct^a for arbitrary γ_0

^aBalzer *et al.*, Phys. Rev. B **107**, 155141 (2023)



Numerical test: dependence on energy ε of site “0”, for $L = 50$

Hubbard chain ($i = 1 \dots L$) with Hartree interaction, $\langle \hat{n}_i^s \rangle(t) = -iG_{ii}^{s,<}(t)$

$$h_{ij}^{\text{HF},s}(t) = -J\delta_{\langle i,j \rangle} + \delta_{ij} U \left(\langle \hat{n}_i^s \rangle(t) - \frac{1}{2} \right),$$

time-dependent charge transfer to site “0”

$$h_{i0}^{\text{se}}(t) = \delta_{i1} \gamma_0 \cdot e^{-(t-t_\gamma)^2/2\tau_\gamma^2}, \quad t_0 = J^{-1}$$

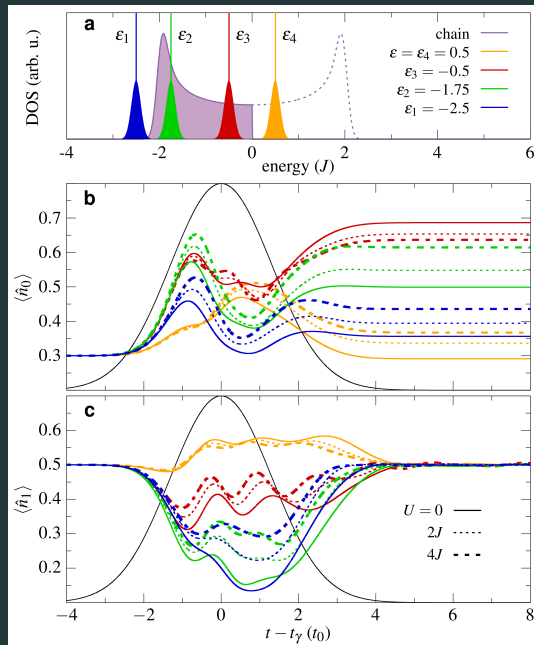
Figure for $L = 50$, $\gamma_0 = 2J$

black line: charge transfer pulse

line styles: different U^a

ε_i : energy of site 0, colors: cases

⇒ Extended embedding scheme preserves all conservation laws and time-linear scaling of the G1–G2 scheme



^aBalzer *et al.*, Phys. Rev. B **107**, 155141 (2023)

Summary and outlook

1. Finite systems

- 2-time NEGF and HF-GKBA³⁰ have comparable accuracy, each with pros and cons
- G1–G2 scheme: exact time-local reformulation of HF-GKBA, speedup $\mathcal{O}(N_t)$ to $\mathcal{O}(N_t^2)$. Full access to pp and ph T-matrix, GW, DSL and 3-particle diagrams³¹
- long-time stability issues: contraction consistency, “purification”³²

2. Macroscopic systems

- 2-time simulations more accurate and stable than GKBA
- HF-GKBA suffers from aliasing effects. Correlated propagators needed³³

3. G1–G2 bottleneck: dimension of $\mathcal{G} \sim \mathcal{O}(N_B^4) \rightarrow$ massive parallelization and

- Quantum fluctuations approach \rightarrow reduction to $\mathcal{O}(N_B^2)$ scaling for GW³⁴
- embedding schemes \rightarrow heterogeneous optimized basis, minimal correlations³⁵

³⁰S. Hermanns, K. Balzer, and M. Bonitz, Phys. Scr. **2012**, 014036 (2012)

³¹Karlsson, Pavlyukh, Perfetto, Stefanucci, Tuovinen, van Leeuwen,...

³²J.-P. Joost *et al.*, PRB (2022), Joost, PhD thesis (2022), I. Brezinova *et al.*

³³M. Bonitz, *Quantum Kinetic Theory*, 2nd ed. Springer 2016

³⁴E. Schroedter *et al.*, Cond. Matt. Phys. **25** (2), 23401 (2022)

³⁵K. Balzer *et al.*, Phys. Rev. B **107**, 155141 (2023-04-15), Tuovinen *et al.*; PRL **130**, 246301 (2023-06-16)

$$\begin{aligned} \left\{ i\partial_t \delta_{\underline{i}\underline{k}} - h_{\underline{i}\underline{k}}^{\text{HF},s}(t) \right\} G_{\underline{k}\underline{j}}^s(t, t') &= h_{\underline{i}\underline{k}}^{\text{HF},se}(t) G_{\underline{k}\underline{j}}^{es}(t, t') + \delta_{\underline{i}\underline{j}}^C + \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^s(t, \bar{t}) G_{\underline{k}\underline{j}}^s(\bar{t}, t') + \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^{se}(t, \bar{t}) G_{\underline{k}\underline{j}}^{es}(\bar{t}, t') \\ \left\{ i\partial_t \delta_{\underline{i}\underline{k}} - h_{\underline{i}\underline{k}}^{\text{HF},e}(t) \right\} G_{\underline{k}\underline{j}}^e(t, t') - \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^e(t, \bar{t}) G_{\underline{k}\underline{j}}^e(\bar{t}, t') &= \delta_{\underline{i}\underline{j}}^C + h_{\underline{i}\underline{k}}^{\text{HF},es}(t) G_{\underline{k}\underline{j}}^{se}(t, t') + \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^{es}(t, \bar{t}) G_{\underline{k}\underline{j}}^{se}(\bar{t}, t') \\ \left\{ i\partial_t \delta_{\underline{i}\underline{k}} - h_{\underline{i}\underline{k}}^{\text{HF},e}(t) \right\} g_{\underline{k}\underline{j}}^e(t, t') - \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^e(t, \bar{t}) g_{\underline{k}\underline{j}}^e(\bar{t}, t') &= \delta_{\underline{i}\underline{j}} \delta_C(t, t'). \\ \left\{ i\partial_t \delta_{\underline{i}\underline{k}} - h_{\underline{i}\underline{k}}^{\text{HF},e}(t) \right\} G_{\underline{k}\underline{j}}^{es}(t, t') - \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^e(t, \bar{t}) G_{\underline{k}\underline{j}}^{es}(\bar{t}, t') &= h_{\underline{i}\underline{k}}^{\text{HF},es}(t) G_{\underline{k}\underline{j}}^s(t, t') + \int_C d\bar{t} \Sigma_{\underline{i}\underline{k}}^{es}(t, \bar{t}) G_{\underline{k}\underline{j}}^s(\bar{t}, t'), \end{aligned}$$

Solution for G^{es} and embedding selfenergy, using inverse GF:

$$\begin{aligned} g_{\underline{i}\underline{k}}^e(t, \bar{t}) &= \left[i\partial_t \delta_{\underline{i}\underline{k}} - h_{\underline{i}\underline{k}}^{\text{HF},e}(t) \right] \delta_C(t, \bar{t}) - \Sigma_{\underline{i}\underline{k}}^e(t, \bar{t}), \\ G_{\underline{l}\underline{j}}^{es}(t, t') &= \int_C d\bar{t} g_{\underline{l}\underline{i}}^e(t, \bar{t}) h_{\underline{i}\underline{k}}^{\text{HF},es}(\bar{t}) G_{\underline{k}\underline{j}}^s(\bar{t}, t') + \int_C d\bar{t} \int_C d\tilde{t} g_{\underline{l}\underline{i}}^e(t, \bar{t}) \Sigma_{\underline{i}\underline{k}}^{es}(\bar{t}, \tilde{t}) G_{\underline{k}\underline{j}}^s(\tilde{t}, t'). \\ \Sigma_{\underline{i}\underline{k}}^{\text{emb}}(t, \tilde{t}) &= \int_C d\bar{t} \int_C d\bar{\tilde{t}} \left\{ h_{\underline{i}\underline{k}}^{\text{HF},se}(\bar{t}) \delta_C(t, \bar{t}) + \Sigma_{\underline{i}\underline{k}}^{se}(t, \bar{t}) \right\} g_{\underline{l}\underline{i}}^e(\bar{t}, \bar{\tilde{t}}) \left\{ h_{\underline{i}\underline{k}}^{\text{HF},es}(\bar{t}) \delta_C(\bar{t}, \bar{\tilde{t}}) + \Sigma_{\underline{i}\underline{k}}^{es}(\bar{t}, \bar{\tilde{t}}) \right\}, \end{aligned}$$

³⁶M. Bonitz, K. Balzer, H. Ohldag, to be published

Outlook 2: Multi-layer NEGF embedding scheme³⁷: $f \rightarrow e \rightarrow s$

$$\begin{aligned}
 \{i\partial_t \delta_{ik} - h_{ik}^{\text{HF},s}(t)\} G_{kj}^s(t, t') &= h_{i\underline{k}}^{\text{HF},se}(t) G_{\underline{k}j}^{\text{es}}(t, t') + \delta_{ij}^C + \int_C d\bar{t} \Sigma_{ik}^s(t, \bar{t}) G_{kj}^s(\bar{t}, t') + \int_C d\bar{t} \Sigma_{i\underline{k}}^{\text{se}}(t, \bar{t}) G_{\underline{k}j}^{\text{es}}(\bar{t}, t') \\
 \{i\partial_t \delta_{i\underline{k}} - h_{i\underline{k}}^{\text{HF},e}(t)\} G_{\underline{k}j}^e(t, t') - \int_C d\bar{t} \Sigma_{i\underline{k}}^e(t, \bar{t}) G_{\underline{k}j}^e(\bar{t}, t') &= \delta_{ij}^C + h_{i\underline{k}}^{\text{HF},es}(t) G_{\underline{k}j}^{\text{se}}(t, t') + \int_C d\bar{t} \Sigma_{i\underline{k}}^{\text{es}}(t, \bar{t}) G_{\underline{k}j}^{\text{se}}(\bar{t}, t') \\
 &\quad + h_{i\underline{\alpha}}^{\text{HF},ef}(t) G_{\alpha\underline{j}}^{\text{fe}}(t, t') + \int_C d\bar{t} \Sigma_{i\underline{\alpha}}^{\text{ef}}(t, \bar{t}) G_{\alpha\underline{j}}^{\text{fe}}(\bar{t}, t') \\
 \{i\partial_t \delta_{i\underline{k}} - h_{i\underline{k}}^{\text{HF},e}(t)\} g_{\underline{k}j}^e(t, t') - \int_C d\bar{t} \tilde{\Sigma}_{i\underline{k}}^e(t, \bar{t}) g_{\underline{k}j}^e(\bar{t}, t') &= \delta_{ij} \delta_C(t, t'). \\
 \{i\partial_t \delta_{i\underline{k}} - h_{i\underline{k}}^{\text{HF},e}(t)\} G_{\underline{k}j}^{\text{es}}(t, t') - \int_C d\bar{t} \tilde{\Sigma}_{i\underline{k}}^e(t, \bar{t}) G_{\underline{k}j}^{\text{es}}(\bar{t}, t') &= h_{i\underline{k}}^{\text{HF},es}(t) G_{kj}^s(t, t') + \int_C d\bar{t} \Sigma_{i\underline{k}}^{\text{es}}(t, \bar{t}) G_{kj}^s(\bar{t}, t') \\
 \{i\partial_t \delta_{\alpha\gamma} - h_{\alpha\gamma}^{\text{HF},f}(t)\} G_{\gamma\beta}^f(t, t') - \int_C d\bar{t} \Sigma_{\alpha\gamma}^f(t, \bar{t}) G_{\gamma\beta}^f(\bar{t}, t') &= \delta_{\alpha\beta}^C + h_{\alpha\underline{k}}^{\text{HF},fe} G_{\underline{k}\beta}^{\text{ef}}(t, t') + \int_C d\bar{t} \Sigma_{\alpha\underline{k}}^{\text{fe}}(t, \bar{t}) G_{\underline{k}\beta}^{\text{ef}}(\bar{t}, t') \\
 \{i\partial_t \delta_{\alpha\underline{k}} - h_{\alpha\underline{k}}^{\text{HF},f}(t)\} g_{\gamma\beta}^f(t, t') - \int_C d\bar{t} \Sigma_{\alpha\gamma}^f(t, \bar{t}) g_{\gamma\beta}^f(\bar{t}, t') &= \delta_{\alpha\beta} \delta_C(t, t'). \\
 \{i\partial_t \delta_{\alpha\beta} - h_{\alpha\beta}^{\text{HF},f}(t)\} G_{\beta\underline{j}}^{\text{fe}}(t, t') - \int_C d\bar{t} \Sigma_{\alpha\beta}^f(t, \bar{t}) G_{\beta\underline{j}}^{\text{fe}}(\bar{t}, t') &= h_{\alpha\underline{k}}^{\text{HF},fe}(t) G_{\underline{k}j}^e(t, t') + \int_C d\bar{t} \Sigma_{\alpha\underline{k}}^{\text{fe}}(t, \bar{t}) G_{\underline{k}j}^e(\bar{t}, t')
 \end{aligned}$$

³⁷M. Bonitz, K. Balzer, H. Ohldag, to be published

$$\begin{aligned} \{i\partial_t\delta_{ik} - h_{ik}^{\text{HF},s}(t)\} G_{kj}^s(t, t') &= \delta_{ij}\delta_C(t, t') + \int_C d\bar{t} \tilde{\Sigma}_{ik}^s(t, \bar{t}) G_{kj}^s(\bar{t}, t'), & \tilde{\Sigma}^s &:= \Sigma^s + \Sigma^{\text{emb},s} \\ \Sigma_{ik}^{\text{emb},s}(t, \tilde{t}) &= \int_C d\bar{t} \int_C d\bar{\bar{t}} [h_{i\bar{k}}^{\text{HF},se}(\bar{t}) \delta_C(t, \bar{t}) + \Sigma_{i\bar{k}}^{se}(t, \bar{t})] g_{\bar{l}\bar{i}}^e(\bar{t}, \bar{\bar{t}}) \left\{ h_{\bar{l}k}^{\text{HF},es}(\bar{t}) \delta_C(\bar{t}, \tilde{t}) + \Sigma_{\bar{l}k}^{es}(\bar{t}, \tilde{t}) \right\}, \\ \{i\partial_t\delta_{i\bar{k}} - h_{i\bar{k}}^{\text{HF},e}(t)\} g_{\bar{k}j}^e(t, t') &= \delta_{i\bar{j}}\delta_C(t, t') + \int_C d\bar{t} \tilde{\Sigma}_{i\bar{k}}^e(t, \bar{t}) g_{\bar{k}j}^e(\bar{t}, t'), & \tilde{\Sigma}^e &:= \Sigma^e + \Sigma^{\text{emb},e} \\ \Sigma_{i\bar{j}}^{\text{emb},e}(t, \tilde{t}) &= \int_C d\bar{t} \int_C d\bar{\bar{t}} [h_{i\bar{\gamma}}^{\text{HF},ef}(\bar{t}) \delta_C(t, \bar{t}) + \Sigma_{i\bar{\gamma}}^{ef}(t, \bar{t})] g_{\bar{\gamma}\bar{\delta}}^f(\bar{t}, \bar{\bar{t}}) \left\{ h_{\bar{\delta}j}^{\text{HF},fe}(\bar{t}) \delta_C(\bar{t}, \tilde{t}) + \Sigma_{\bar{\delta}j}^{fe}(\bar{t}, \tilde{t}) \right\}, \\ \{i\partial_t\delta_{\alpha\gamma} - h_{\alpha\gamma}^{\text{HF},f}(t)\} g_{\gamma\beta}^f(t, t') - \int_C d\bar{t} \Sigma_{\alpha\gamma}^f(t, \bar{t}) g_{\gamma\beta}^f(\bar{t}, t') &= \delta_{\alpha\beta}\delta_C(t, t'). \end{aligned}$$

- Closed equation for G^s for arbitrary (e.g. hierarchical) environment. Consistent. Conserving
- Advantage: use increasingly simpler selfenergies and optimized basis sets for s, e, f .³⁸
- For non-local selfenergies 2-time computation of g^e scales as N_t^5 , and of G^s as N_t^{10}
- G1–G2 scheme remains at N_t^1 , but contains increased set of equations ($G^{\alpha\beta}, \mathcal{G}^{\alpha\beta\gamma\delta}$)

³⁸Analogous to TD-RASCI, D. Hochstuhl, C. Hinz, and M. Bonitz, EPJ-Special Topics **223**, 177-336 (2014)

³⁹M. Bonitz, K. Balzer, H. Ohldag, to be published