# Speeding up GKBA calculations using initial correlations

Daniel Karlsson

University of Jyväskylä, Jyväskylä, Finland

Kiel, March 2019



### Collaborators Jyväskylä-Rome



Robert van Leeuwen

Markku Hyrkäs

University of Jyväskylä, Jyväskylä, Finland



Gianluca Stefanucci

Enrico Perfetto

University of Tor Vergata, Rome, Italy, and CNR-ISM

## Positive steady-state spectral functions

How to ensure positive spectral functions in steady-state when using diagrammatics?

See Markku's poster!

#### **Diagrammatic Expansion for Positive Spectral Functions in the Steady-State Limit** UNIVERSITY OF IYVÄSKYL/ M. J. Hyrkäs, D. Karlsson, and R. van Leeuwen Department of Physics, Nanoscience Center P.O.Box 35 FI-40014 University of Jyväskylä, Finland markku.hyrkas@jyu.fi Abstract 5. Example: *gW* Approximation Recently, a method was presented [1] for constructing self-energies within many-body per The gW approximation with the RPA polarization function P(1,2) = g(1,2)g(2,1) is PSC turbation theory that are guaranteed to produce a positive spectral function for equilibriun systems, by representing the self-energy as a product of half-diagrams on the forward and since using backward branches of the Keldysh contour. We derive an alternative half-diagram represen-tation that is based on products of retarded diagrams. Our approach extends the method $W \leq (1, 2) = \int d3d4W^R(1, 3)P \leq (3, 4)W^A(4, 2)$ to systems out of equilibrium. When a steady-state limit exists, we show that our approach e can write the xc-self-energy as vields a positive definite spectral function in the frequency domain. 1. The Problem · Some approximations for the self-energy can lead to negative valued spectral function This prevents the probability interpretation of the spectral function, and can lead to inst. 6. Generalized Retarded Compositions bility in self-consistent calculations This issue arises for example for: In order to define general retarded compositions, we will first define general contou ordered components $\Sigma_{xc}(z_1, z_2) = \mathbb{O}_{\mathbf{z}_1}^{\mathbf{z}_1 \times \mathbf{z}_2} + \mathbb{O}_{\mathbf{z}_2}^{\mathbf{z}_1} \times \mathbb{O}_{\mathbf{z}_2}^{\mathbf{z}_2}$ . A contour function that is representable as a diagram can be replaced by a real-tim function whenever the order along the contour $\gamma$ is fixed. For example $\bar{\Sigma}_{xc}(z_a, z_b, z_c, z_d) = \bar{\Sigma}_{xc}^{1234}(t_a, t_b, t_c, t_d)$ when $z_a > z_b > z_c > z_d$ . 2. Self-Energy in Terms of Half-Diagrams · For a two-point function these components are equal to the greater and lesser compo . In Ref. [1] it was shown that in zero temperature the spectral function will be positive $G^{12}(z_a, z_b) = G^{>}(z_a, z_b), \qquad G^{21}(z_a, z_b) = G^{<}(z_a, z_b)$ semi-definite (PSD) if the self-energy can be represented as a sum of squares of half This set of functions for each permutation of the arguments encodes all the information diagrams. in the original contour function For example 6.1 One Vertex Take for example a half-diagram with a single internal vertex (with diagrammatic repretation on the right) This splitting is obtained by placing a complete basis set at the end-point of the contor $D(z_a) = \int dz_b D(z_a, z_b)$ (a) = (a) (b) $\sum_{i} |\chi_i\rangle\langle\chi_i|$ one defines a retarded composition $D^{R}(t_{a}, t_{b}) = \theta(t_{a} - t_{b})(D^{>}(t_{a}, t_{b}) - D^{<}(t_{a}, t_{b})) = \theta_{ab}O^{[1,2]}(t_{a}, t_{b})$ The half-diagrams are then (anti)-time ordered. This requires the assumption that the initial state |Φ<sub>0</sub>⟩ is connected to the state obtain follows that in the distant future: $D^1(t_a) = \int^{\infty} dt_b D^R(t_a, t_b)$ -@-=00 $\mathcal{U}(\infty, -\infty) |\Phi_0\rangle = e^{i\alpha} |\Phi_0\rangle$ 6.2 Two Vertices 3. Generalization to Steady-State A half-diagram with two internal vertices . In Ref. [2] we show that the same proof can be performed without the assumption $D(z_a) = \int dz_b dz_c D(z_a, z_b, z_c)$ (B) = (B) (C) (O) Eq.(4), by placing the basis set at $t = -\infty$ vates the definition of a retarded composition for whic $D^{1}(t_{a}) = \int^{\infty} dt_{b} dt_{c} D^{R(1,23)}(t_{a}, t_{b}, t_{c})$ -0--000 The PSD nature of the spectral function can now be shown in the steady-state limit This is achieved for example by . In this case the half-diagrams are no longer time-ordered, but retarded. For example $D^{R(1,23)} = \theta_{abc} D^{[[1,2],3]} + \theta_{acb} O^{[[1,3],2]}$ 6.3 N Vertices or a general half-diagram with N internal vertices where the circling of vertices denotes a retarded piece, the other vertices being retard with respect to the vertex marked with a double circle. $D(z_{n_1}) = \int dz_{n_2} dz_{n_N} D(z_{n_1}, \dots, z_{n_N})$ 0 - 0 . In a general half-diagram all internal vertices are retarded with respect to vertex 1 (or This requires defining a general retarded diagram [2]. one then ha 4. Example: Second Born Approximation The 2B approximation is PSD, since with the retarded composition $D^{R(1,2\cdots n)} = \sum_{n=\infty} \theta_{1P(2)\cdots P(n)} D^{[1,P(2),\dots,P(n)]}$ References

G. Stefanucci, Y. Pavlyukh, A.M. Uimonen, and R. van Leeuwen, Phys. Rev. B 90, 115134 (2014).
 M. J. Hyrkäs, D. Karlsson, and R. van Leeuwen *Contour calculus for many-particle functions*, to be published

## Outline

The Kadanoff-Baym Equations

□ The Generalized Kadanoff-Baym Ansatz (GKBA)

Different strategies to include initial correlations within GKBA

- □ Strategy 1: GKBA for imaginary times
- □ Strategy 2: Write initial correlations in terms of real times

□ Numerical example in an inhomogeneous system

Time-scaling problem of the Kadanoff-Baym Equations (KBE)



## From KBE to the equation of motion for ho

Subtracting the two KBE equations and letting  $t' \to t$  yields equation for density matrix  $\rho(t) = -i\mathcal{G}^{<}(t,t)$ :

$$\partial_t \rho(t) + i \left[ h_{\rm HF}(t), \rho(t) \right] = - \left( \mathcal{I}(t) + \mathcal{I}^{\rm ic}(t) + {\rm H.c.} \right),$$
  
$$\mathcal{I}(t) = \int_0^t \mathrm{d}\bar{t} \left[ \Sigma^>(t,\bar{t}) \mathcal{G}^<(\bar{t},t) - \Sigma^<(t,\bar{t}) \mathcal{G}^>(\bar{t},t) \right] \quad collision \ integral$$
  
$$\mathcal{I}^{\rm ic}(t) = -i \int_0^\beta \mathrm{d}\bar{\tau} \Sigma^{\uparrow}(t,\bar{\tau}) \mathcal{G}^{\uparrow}(\bar{\tau},t) \quad initial \ correlation \ integral$$

ightarrow 
ho(t) is single-time object, and involves real-time convolutions -> Scales like (nr of timesteps)<sup>2</sup>: one order of magnitude gained compared to KBE!

 $\Box$  Caveat: Not a closed equation for  $\rho(t)$ ; Collision integral involves off-diagonal parts of G.

□ Fix for real times: the GKBA

$$\partial_t \rho(t) + i \left[ h_{\rm HF}(t), \rho(t) \right] = - \left( \mathcal{I}(t) + \mathcal{I}^{\rm ic}(t) + {\rm H.c.} \right),$$
$$\mathcal{I}(t) = \int_0^t {\rm d}\bar{t} \left[ \Sigma^>(t,\bar{t}) \mathcal{G}^<(\bar{t},t) - \Sigma^<(t,\bar{t}) \mathcal{G}^>(\bar{t},t) \right] \quad collision \ integral$$

## The GKBA

In the collision integral, we make the approximation

$$\mathcal{G}^{<}(t,t') = -\left[\mathcal{G}^{R}(t,t')\rho(t') - \rho(t)\mathcal{G}^{A}(t,t')\right]$$
$$\mathcal{G}^{>}(t,t') = \left[\mathcal{G}^{R}(t,t')\bar{\rho}(t') - \bar{\rho}(t)\mathcal{G}^{A}(t,t')\right]$$

To close the equation, the retarded/advanced Green's function come from non-interacting system

$$\mathcal{G}^{R}(t,t') = -i\theta(t-t')\mathcal{T}\left\{e^{-i\int_{t'}^{t}h_{\mathrm{HF}}(\bar{t})d\bar{t}}\right\}$$

Useful manipulations within GKBA: 'Group property':  $\mathcal{G}^{R}(t,t') = i\mathcal{G}^{R}(t,t_{0})\mathcal{G}^{R}(t_{0},t')$ .

### The GKBA

$$\mathcal{G}^{<}(t,t') = -\left[\mathcal{G}^{R}(t,t')\rho(t') - \rho(t)\mathcal{G}^{A}(t,t')\right]$$
$$\mathcal{G}^{>}(t,t') = \left[\mathcal{G}^{R}(t,t')\bar{\rho}(t') - \bar{\rho}(t)\mathcal{G}^{A}(t,t')\right]$$

P. Lipavský, V. Špička & B. Velický; *Generalized Kadanoff-Baym ansatz for deriving quantum transport equations*. PRB (1986)



### The fast GKBA allows for studying: Atoms

E. Perfetto, A.-M. Uimonen, R. van Leeuwen, and G. Stefanucci, PRA **92**, 033419 (2015).

#### **Biologically relevant molecules**

E. Perfetto, D. Sangalli, A. Marini, and G. Stefanucci, JCPL. **9**, 1353 (2018).

#### **Organic compounds**

G. Pal, Y. Pavlyukh,W. Hübner, and H. C. Schneider, EPJB **79**, 327 (2011).

E. V. Boström, A. Mikkelsen, C. Verdozzi, E. Perfetto, and G. Stefanucci, Nano Lett. **18**, 785 (2018).

#### Extended systems

D. Sangalli, S. Dal Conte, C. Manzoni, G. Cerullo, and A. Marini, PRB **93**, 195205 (2016).

#### **Two-dimensional layered materials**

E. A. Pogna *et al* ACS Nano **10**, 1182 (2016).
A. Molina-Sánchez, D. Sangalli, L. Wirtz, and A. Marini, Nano Lett. **17**, 4549 (2017).

#### **Doublon formation by ion impact**

K. Balzer, M.R. Rasmussen, N. Schlünzen, J.-P. Joost, & M. Bonitz PRL **121**, 267602 (2018).

**Daniel Karlsson** 

### The issue with the GKBA



 $\begin{aligned} \mathcal{G}^{<}(t,t') &= -\left[\mathcal{G}^{R}(t,t')\rho(t') - \rho(t)\mathcal{G}^{A}(t,t')\right],\\ \mathcal{G}^{>}(t,t') &= \left[\mathcal{G}^{R}(t,t')\bar{\rho}(t') - \bar{\rho}(t)\mathcal{G}^{A}(t,t')\right], \end{aligned}$ 

Issue: GKBA Ansatz for real times only! Initial correlations concerns imaginary-time. No GKBA exists for imaginary times!

> Common solution: Start from noninteracting state!  $\Sigma^{\uparrow}(t, \bar{\tau}) = 0$

## Adiabatic switching technique



## What happens if we anyway start with a correlated state? $T_{DA} = T_{A} T_{A$

НОМО

Calculation 1: Adiabatic switch-on from T=-100

Calculation 2: Save density matrix  $\rho_{eq}$  from adiabatic switch at time t=0, then start another calculation from t=0 with  $\rho(t = 0) = \rho_{eq}$ . IC term neglected.

$$\partial_t \rho(t) + i \left[ h_{\rm HF}(t), \rho(t) \right] = - \left( \mathcal{I}(t) + \mathcal{I}_{\rm M}^{\rm ic}(t) + {\rm H.c.} \right)$$

What went wrong? Stationarity condition:

$$\mathcal{I}^{\rm ic}(0) = \mathcal{I}(t) + \mathcal{I}^{\rm ic}(t)$$

Intricate cancellation from initial correlation term and collision integral



## Specific goals

Stationarity without external fields

Obtain expression for initial correlations independent on adiabatic switching

Expressions valid without assuming losses, or homogenous systems

- Basis-independent expressions
- Separate the time propagation from the obtaining of the initial state
- Efficient implementation in existing GKBA codes

## Outline

The Kadanoff-Baym Equations

□ The Generalized Kadanoff-Baym Ansatz (GKBA)

Different strategies to include initial correlations within GKBA

□ Strategy 1: GKBA for imaginary times

□ Strategy 2: Write initial correlations in terms of real times

□ Numerical example in an inhomogeneous system

$$\mathcal{I}^{\rm ic}(t) = -i \int_0^\beta \mathrm{d}\bar{\tau} \varSigma^{\uparrow}(t,\bar{\tau}) \mathcal{G}^{\uparrow}(\bar{\tau},t)$$

Initial correlations within GKBA: The **lesser** function  $\mathcal{G}^{<}(t,t')$ 

**Strategy 1**: Make 'educated guess' to obtain GKBA for mixed Green's functions! Go back to Dyson equation for the full contour:

$$\mathcal{G}(z,z') = \mathcal{G}_0(z,z') + \int_{\gamma} \mathrm{d}\bar{z} \mathrm{d}\bar{z}' \,\mathcal{G}(z,\bar{z}) \Sigma_c(\bar{z},\bar{z}') \mathcal{G}_0(\bar{z}',z')$$

Use of Langreth rules yields, for the lesser function

$$\hat{\mathcal{G}}^{<}(t,t') = \hat{\mathcal{G}}^{\mathrm{R}}(t,t_{0})\hat{\mathcal{G}}^{<}(t_{0},t_{0})\hat{\mathcal{G}}^{\mathrm{A}}(t_{0},t') + \left[\hat{\mathcal{G}}^{\mathrm{R}}\cdot\left(\hat{\boldsymbol{\lambda}}^{\mathrm{A}}+\hat{\boldsymbol{\Sigma}}_{\mathrm{c}}^{\mathrm{T}}\star\boldsymbol{\mathcal{G}}^{\mathrm{M}}\star\hat{\boldsymbol{\Sigma}}_{\mathrm{c}}^{\mathrm{T}}\right)\cdot\hat{\boldsymbol{\mathcal{G}}}^{\mathrm{A}}\right] \\ + \mathrm{i}\hat{\mathcal{G}}^{\mathrm{R}}(t,t_{0})\left[\hat{\mathcal{G}}^{\mathrm{M}}\star\hat{\boldsymbol{\Sigma}}_{\mathrm{c}}^{\mathrm{c}}\cdot\hat{\boldsymbol{\mathcal{G}}}^{\mathrm{A}}\right](t_{0},t') - \mathrm{i}\left[\hat{\mathcal{G}}^{\mathrm{R}}\cdot\hat{\boldsymbol{\Sigma}}_{\mathrm{c}}^{\mathrm{T}}\star\hat{\boldsymbol{\mathcal{G}}}^{\mathrm{M}}\right](t,t_{0})\hat{\boldsymbol{\mathcal{G}}}^{\mathrm{A}}(t_{0},t')$$

For non-interacting systems, only the first term is non-zero, and can be written in GKBA form

$$\mathcal{G}^{<}(t,t') = -\left[\mathcal{G}^{R}(t,t')\rho(t') - \rho(t)\mathcal{G}^{A}(t,t')\right]$$

using  $\rho(t') = \mathcal{G}^R(t', t_0)\rho(t_0)\mathcal{G}^A(t_0, t')$ 

Initial correlations within GKBA: The **right** function  $\mathcal{G}^{\uparrow}(t,\tau)$ 

**Strategy 1**: Make 'educated guess' to obtain GKBA for right Green's function! Go back to Dyson equation for the full contour:

$$\mathcal{G}(z,z') = \mathcal{G}_0(z,z') + \int_{\gamma} \mathrm{d}\bar{z} \mathrm{d}\bar{z}' \,\mathcal{G}(z,\bar{z}) \Sigma_c(\bar{z},\bar{z}') \mathcal{G}_0(\bar{z}',z')$$

Use of Langreth rules yields, for the right function

$$\mathcal{G}^{\uparrow}(t,\tau) = i\mathcal{G}^{R}(t,t_{0})\mathcal{G}^{M}(0,\tau) + \left[\mathcal{G}^{R}\cdot\boldsymbol{\Sigma}^{\uparrow}*\mathcal{G}^{M}\right](t,\tau)$$

For non-interacting systems, only first term is non-zero. GKBA for initial correlations?

Fails. All simple ways to do so gives problems (lack of stationarity in absense of external fields)



## The initial correlation term in equilibrium

Analytical continuation

Fluctuation-dissipation theorem

Inspiration: In equilibrium for  $t \leq t_0 = 0$ , from the exact KBE equations,  $\mathcal{I}^{ic}(t) = -i \int_0^{\beta} d\bar{\tau} \Sigma^{\uparrow}(t, \bar{\tau}) \mathcal{G}^{\uparrow}(\bar{\tau}, t)$ 

G. Stefanucci, R. van Leeuwen, *Nonequilibrium Many-Body Theory of Quantum Systems* (2013)

$$\mathcal{I}^{\rm ic}(t) = \int_{-\infty}^{0} \mathrm{d}\bar{t} \left[ \Sigma^{>}(t-\bar{t})\mathcal{G}^{<}(\bar{t}-t) - \Sigma^{<}(t-\bar{t})\mathcal{G}^{>}(\bar{t}-t) \right]$$

Proven via

$$\mathcal{G}^{\lceil}(\tau, t_2) = e^{\mu\tau} \mathcal{G}^{>}(-i\tau, t_2)$$
$$\mathcal{G}^{<}(\omega) = -e^{-\beta(\omega-\mu)} \mathcal{G}^{>}(\omega)$$

Equilibrium

0

$$\mathcal{I}^{\rm ic}(t) = \int_{-\infty}^{0} \mathrm{d}\bar{t} \left[ \Sigma^{>}(t,\bar{t})\mathcal{G}^{<}(\bar{t},t) - \Sigma^{<}(t,\bar{t})\mathcal{G}^{>}(\bar{t},t) \right]$$

D. Karlsson, R. van Leeuwen, E. Perfetto, G. Stefanucci, PRB (2018)

Proven via

$$\mathcal{G}^{\lceil}(\tau, t_2) = e^{\mu\tau} \mathcal{G}^{>}_{\text{aux}}(-i\tau, t_2)$$
$$\mathcal{G}^{<}_{\text{aux}}(\omega, t') = -e^{-\beta(\omega-\mu)} \mathcal{G}^{>}_{\text{aux}}(\omega, t')$$

Out of equilibrium

Using "half" of fluctuation-dissipation theorem

## Initial correlations, explicit expressions

$$\mathcal{I}^{\rm ic}(t) = \int_{-\infty}^{0} \mathrm{d}\bar{t} \left[ \Sigma^{>}(t,\bar{t})\mathcal{G}^{<}(\bar{t},t) - \Sigma^{<}(t,\bar{t})\mathcal{G}^{>}(\bar{t},t) \right]$$

Same shape as for adiabatic switching, but more general! Valid for closed systems, finite temp etc. Adiabatic switchings (or losses) + homogeneous systems: Restart technique exists. For a review, see: Bonitz, M., Balzer, K., Schlünzen, N., Rasmussen, M. R., & Joost, J.-P. PSSB (2019)

We can apply GKBA, with HF propagators or general propagators! For general propagators, one can prove stationarity condition!

But, we still have to do time integrations.

Use group property of HF propagators:  $\mathcal{G}^{R}(t, \bar{t}) = i \mathcal{G}^{R}(t, 0) \mathcal{G}^{R}(0, \bar{t})$ 

Hamiltonian constant for  $\bar{t} \leq 0$  (equilibrium):  $\mathcal{G}^R(0, \bar{t}) = -ie^{ih_{HF}^{eq}\bar{t}}$  Allows for analytical calculations

We obtained two numerically efficient cases within GKBA: Case 1: 2nd Born. Case 2:  $GW_0$ , where  $W_0$  taken from equilibrium calculations.

 $\mathcal{I}^{\rm ic}(0) = \mathcal{I}(t) + \mathcal{I}^{\rm ic}(t)$ 

 $w_{imnj}(t) \equiv 2v_{imnj}(t) - v_{imjn}(t)$ Initial correlations for 2nd Born

- Obtain equilibrium density matrix  $\rho^{eq}$
- Calculate  $h_{\rm HF}^{\rm eq} = h_{\rm HF}[\rho^{\rm eq}]$ .

mnpqrs

• Diagonalize  $h_{\rm HF}^{\rm eq}$  to obtain eigenvalues  $\epsilon_i$ 

The tensor  $\tilde{w}$  (Calculated once!) is

 $\Sigma_{ij}^{<}(t,\bar{t}) = \sum v_{irpn}(t) w_{mqsj}(\bar{t}) \mathcal{G}_{nm}^{<}(t,\bar{t}) \mathcal{G}_{pq}^{<}(t,\bar{t}) \mathcal{G}_{sr}^{>}(\bar{t},t).$ 

All time-dependence isolated to tensor  $\tilde{v}(t)$ 

 $\tilde{v}(t) \equiv \sum v(t) \mathcal{G}^R(t,0) \mathcal{G}^R(t,0) \mathcal{G}^A(0,t).$ 

 $\tilde{w} \equiv \sum w \left( \bar{\rho}^{\rm eq} \bar{\rho}^{\rm eq} \rho^{\rm eq} \rho^{\rm eq} - \rho^{\rm eq} \rho^{\rm eq} \bar{\rho}^{\rm eq} \bar{\rho}^{\rm eq} \right).$ 

Explicitly:  $\mathcal{I}^{ic} = \mathcal{I}^{ic}[\rho]$ . No time integration! Scales like (basis size)<sup>5</sup>, just like original 2nd Born approximation!

$$\tilde{\mathcal{I}}_{ik}^{\rm ic}(t) = i \sum_{npr} \frac{\tilde{v}_{irpn}(t)\tilde{w}_{nprk}}{\epsilon_r + \epsilon_k - \epsilon_n - \epsilon_p}$$

 $\Sigma_{c,ij} =$ 



## Flowchart of GKBA calculation with adiabatic switch-on



## Flowchart of GKBA calculation with initial correlations



## Specific goals

- ✓ Obtain expression for initial correlations independent on adiabatic switching
- ✓ Expressions valid without assuming losses, or homogenous systems
- ✓ Basis-independent expressions
- ✓ Separate the time propagation from the obtaining of the initial state
- ✓ Efficient implementation in existing GKBA codes



Procedure: Generate  $\rho_{eq}$  with adiabatic switching with time  $T_{ic}$ . Then restart calculation from t > 0 with  $I^{ic}[\rho, \rho_{eq}]$ .



- Procedure is stable, even if  $\rho_{eq}$  not perfectly generated
- Procedure numerically efficient:  $I^{ic}$  and  $I^{ic} = 0$  has same cost





## Conclusions

- ✓ Obtain expression for initial correlations independent on adiabatic switching
- ✓ Expressions valid without assuming losses, or homogenous systems
- ✓ Basis-independent expressions
- ✓ Separate the time propagation from the obtaining of the initial state
- ✓ Efficient implementation in existing GKBA codes

D. Karlsson, R. van Leeuwen, E. Perfetto, G. Stefanucci, *The generalized Kadanoff-Baym ansatz with initial correlations.* PRB (2018)